

**REQUEST FOR RECORD DISPOSITION AUTHORITY**  
(See Instructions on reverse)

LEAVE BLANK	
JOB NO	
NCL-266-83-6	
DATE RECEIVED Revised	
January 27, 1984	
NOTIFICATION TO AGENCY	
<small>In accordance with the provisions of 44 U.S.C. 3303a the disposal request, including amendments, is approved except for items that may be stamped "disposal not approved" or "withdrawn" in column 10</small>	
2-6-84 <small>Date</small>	<i>DMW</i> <small>Archivist of the United States</small>

TO **GENERAL SERVICES ADMINISTRATION,  
NATIONAL ARCHIVES AND RECORDS SERVICE, WASHINGTON, DC 20408**

1 FROM (AGENCY OR ESTABLISHMENT)  
SECURITIES AND EXCHANGE COMMISSION

2 MAJOR SUBDIVISION  
OFFICE OF APPLICATIONS AND REPORTS SERVICES

3 MINOR SUBDIVISION

4 NAME OF PERSON WITH WHOM TO CONFER  
Charles A. Moore

5 TEL EXT  
272-7216

6 CERTIFICATE OF AGENCY REPRESENTATIVE

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposal of the agency's records; that the records proposed for disposal in this Request of 2 page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified.

A Request for immediate disposal.

B Request for disposal after a specified period of time or request for permanent retention.

C DATE <u>1/27/84</u>	D SIGNATURE OF AGENCY REPRESENTATIVE <i>Charles A. Moore</i>	E TITLE Charles A. Moore Records Officer
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7. ITEM NO	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. SAMPLE OR JOB NO	10. ACTION TAKEN
1.	<p>The Securities and Exchange Commission was created under authority of the Securities Act of 1934 (49 Stat. 881: 15 U.S.C. 78a to 78jj), and was organized on July 2, 1934. The general objective of the statutes administered by the Securities and Exchange Commission is to provide the fullest possible disclosure to the investing public, and to <del>protect</del> protect the interests of the public and investors against malpractice in the securities and financial markets.</p> <p>SEC Commissioners Files (Excluding Chairmen), 1934 to the present.</p> <p>Both subject and chronological files maintained by commissioners who either never served as chairman or by commissioners during the period they did not serve as chairman.</p> <p>DISPOSABLE: Destroy 1 year after Commissioner's tenure of office.</p>		<i>3 items</i>

## Request for Records Disposition Authority - Continuation

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7 ITEM NO	8 DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9 SAMPLE OR JOB NO	10 ACTION TAKEN
2.	<p>SEC Chairmen's "Case" Files, 1934-1972.</p> <p>Subject files maintained by individuals during the time they served as Chairman of the SEC. Included among these records are "case files" containing chronological files. Arrangement by the name of Chairman and thereunder alphabetically by the title of the subject file. Volume on hand, 262 cubic feet.</p> <p>Permanent: Offer to NARS in July 1984.</p>		
3.	<p>SEC Chairman's Chronological Files for the period 1972 to the present.</p> <p>Letters signed by SEC officials answering letters addressed to the chairman, and letters signed by the chairman. Almost all of the letters have background documents attached to them.</p> <p>Disposable: Destroy 1 year after chairman's tenure of office.</p>		