

REQUEST FOR RECORDS DISPOSITION AUTHORITY
(See Instructions on reverse)

LEAVE BLANK	
JOB NO NC1-266-83-9	
DATE RECEIVED 7-18-83	
NOTIFICATION TO AGENCY In accordance with the provisions of 44 U.S.C. 3303a the disposal request, including amendments, is approved except for items that may be stamped "disposal not approved" or "withdrawn" in column 10	
9/2/83 <i>Date</i>	<i>Robert W. May</i> <i>Archivist of the United States</i>

TO **GENERAL SERVICES ADMINISTRATION,
NATIONAL ARCHIVES AND RECORDS SERVICE, WASHINGTON, DC 20408**

1 FROM (AGENCY OR ESTABLISHMENT)

Securities and Exchange Commission

2 MAJOR SUBDIVISION

Office of Applications and Reports Services

3 MINOR SUBDIVISION

4 NAME OF PERSON WITH WHOM TO CONFER

Charles A. Moore

5 TEL EXT

272-7216

6 CERTIFICATE OF AGENCY REPRESENTATIVE

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposal of the agency's records, that the records proposed for disposal in this Request of 1 page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified

A Request for immediate disposal

B Request for disposal after a specified period of time or request for permanent retention.

C DATE <u>7/18/83</u>	D SIGNATURE OF AGENCY REPRESENTATIVE <i>Charles A. Moore</i>	E TITLE Charles A. Moore Records Officer
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7 ITEM NO	8 DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9 SAMPLE OR JOB NO	10 ACTION TAKEN
1	Completed forms, computer printouts, transmittal correspondence, and documents indicating what has been imputed into a computer. All of this material, most of which is completed questionnaires forms, pertains to the Institutional Investors Study (Accession 266-73-2, boxes 548-676).		<i>1 item</i>

*Agency sent 9-7-83 by DMW.
NINE sent 9-7-83 by DMW.*

NCW sent 9-12-83 by DMW

APPRAISAL REPORT ON RECORDS DISPOSITION REQUEST

JOB NUMBER
NC1-266-83-9

SECTION I - ACTION TAKEN

1. APPROVED FOR DISPOSAL. The records described under all items of the schedule, except those that may be listed in blocks 2, 3, and 4 of this section, are disposable because they do not have sufficient value for purposes of historical or other research, functional documentation, or the protection of individual rights to warrant permanent retention by the Federal Government.

GENERAL ACCOUNTING OFFICE CONCURRENCE IS NOT NECESSARY IS NECESSARY AND HAS BEEN OBTAINED

2. APPROVED FOR PERMANENT RETENTION. The records described under the following item or items have been appraised by the National Archives and Records Service (NARS) and are designated for permanent retention by the Federal Government. The agency will offer these records to NARS as specified in the schedule.

3. DISPOSITION NOT APPROVED. The records described under the following item or items are not approved for disposition. See Section III of this form for explanation.

4. WITHDRAWN. The records described under the following item or items have been withdrawn at the request of the agency.

SECTION II - RECOMMENDATION/CONCURRENCES

	TITLE	SIGNATURE	DATE
14 22 Aug 83 APPRAISAL	APPRaiser	<i>F. Lane Moore</i>	August 19, 1983
	DIRECTOR, RECORDS DISPOSITION DIVISION	<i>Raymond A. Bradley</i>	8/23/83
CON- CURRENCES	NNF	<i>James T. Duggan</i>	9-1-83

SECTION III - APPRAISER'S COMMENTS

This job schedules as disposable case working material (mainly completed questionnaires) which has only short term value.