NOTICE - SOME ITEMS SUPERSEDED OR OBSOLETE

Schedule Number: NC-412-76-008

Some items in this schedule are either obsolete or have been superseded by new NARA approved records schedules. This information is accurate as of: <u>09/07/2022</u>

ACTIVE ITEMS

These items, unless subsequently superseded, may be used by the agency to disposition records. It is the responsibility of the user to verify the items are still active.

All other items remain active.

SUPERSEDED AND OBSOLETE ITEMS

The remaining items on this schedule may no longer be used to disposition records. They are superseded, obsolete, filing instructions, non-records, or were lined off and not approved at the time of scheduling. References to more recent schedules are provided below as a courtesy. Some items listed here may have been previously annotated on the schedule itself.

Item 1 was superseded by N1-412-86-001 item 7. It was also shown as superseded by N1-412-94-002 item 23 and N1-412-07-002 item 6.

Item 2 was superseded by N1-412-86-001 item 4.

Item 3 was superseded by N1-412-86-001 item 8.

Item 4 was superseded by N1-412-86-001 item 9. It was also shown as superseded by N1-412-94-002 item 37 and N1-412-06-027.

Item 9 was superseded by N1-412-86-001 item 10. It was also shown as superseded by N1-412-94-002 item 23 and N1-412-07-002 item 6.

Item 10 was superseded by N1-412-86-001 item 11.

Item 14 was superseded by N1-412-86-001 item 12.

Item 16 was superseded by N1-412-86-001 item 13.

Item 17 was superseded by N1-412-86-001 item 14.

Item 18g was superseded by N1-412-86-001 item 15a.

Item 21 was superseded by N1-412-86-001 item 15b.

Item 24 was superseded by N1-412-86-001 item 16.

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REQUEST	R	AUTH	ORITY
TO DISPO	SE O	F REC	ORDS

(See Instructions on Reverse)

LEAVE BLANK DATE RECEIVED JOB NO. 2 6 1975 412-NOTIFICATION TO AGENCY In accordance with the provisions of 44 U.S.C. 3303a the disposal request, including amendments, is approved except for items that may be stamped "disposal not approved" or "withdrawn" in column 10.

TO: GENERAL SERVICES ADMINISTRATION, NATIONAL ARCHIVES AND RECORDS SERVICE, WASHINGTON, D.C. 20408

1. FROM (AGENCY OR ESTABLISHMENT)

Environmental Protection Agency

2. MAJOR SUBDIVISION

Office of Air and Waste Management

3. MINOR SUBDIVISION

Office of Radiation Programs

4. NAME OF PERSON WITH WHOM TO CONFER

David O. Stephens

5. TEL. EXT. 755-0830

6. CERTIFICATE OF AGENCY REPRESENTATIVE:

t beseby certify that I am authorized to act for this agency in matters pertaining to the disposal of the agency's records; that the records proposed for disposal in this Request of page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified.

Sept. 23, 1975

Chief, Administrative Management Br.

Archivist of the United States

Date /

(Date) (Signature of Agency Representative) (Title) 8. DESCRIPTION OF ITEM 10. SAMPLE OR ITEM NO. (With Inclusive Dates or Retention Periods) **ACTION TAKEN** JOB NO. Descriptions and retention schedules for the Office of 1 - 24Radiation Programs, Office of Air and Waste Management. Items 1 - 24 are attached. Copy to Agency 511-760

STANDARD FORM 115 Revised November 1970 Prescribed by General Services Administration FPMR (41 CFR) 101-11.4

115-105

RECORDS CONTROL SCHEDULES

MANUAL

RECORDS MANAGEMENT

RADIATION PROGRAMS RECORDS

The records described below pertain to the radiation activities of the Agency, including development of radiation protection policies, standards, criteria, measurement and control of radiation exposure; research requirements for radiation programs; and technical assistance to States through EPA Regional Offices.

Item	Name and Description of Record/File	Retention Period and Disposition
1.	Regulations, Standards, and Guidelines. Contains information relating to the development and implementation of rules for implementing radiation legislation passed by Congress. File also contains supporting data for the development of radiation rules promulgated by the Atomic Energy Commission and the Energy Research and Development Agency. Significant feature of Uranium Fuel Cycle Standard is data pertaining to the conflict between EPA and AEC-ERDA over definition of areas of responsibility for rule making. Records consist of requests to develop work group, minutes of work group meetings, drafts of proposed rules, comments on rule, Foderal Register tear sheets, supporting literature, hearing arrangements and transcripts.	Retention: Permanent. Disposition: Break file upon publication of final rule in Federal Register. Keep in office for 1 year, then transfer to the Federal Records Center. Keep in FRC for 20 years, then offer to the National Archives.

RECORDS MANAGEMENT

Item

Name and Description of Record/File

2.

Program Menagement Files.
Contains data relating to all phases of radiation surveillance and control. Records consist of correspondence and reports relative to policy, strategy, program control, research, intergovernmental and industrial activity, litigation, regulation, legislation, radiation sources and applications, regional office activities, etc.

b. Administrative and Management Files. Includes records used in administrative and program management functions of the water supply program. Records consist of reference materials, administrative reports and other related records.

3. Contracts and Grants Program File. Documentation relating to the formulation, award, control, and changes in contracts and grants for radiation studies. Contract and grant services primarily used to develop background data for the writing of a standard regulation, or guideline. Records consist of orders for services, procurement requests, justification statements, task orders, proposals, bid evaluations, award notices, contracts or agreements, progress reports, change notices, staff comments on contract activities, etc.

Final Reports Resulting from Studies and Services by Contractors, Grantees, and Intergovernmental Groups. Final reports submitted by contractors, grantees, and interagency study groups.

Retention Period and Disposition

Retention: Permanent.

<u>Disposition</u>: Break file at end of 2 years. Keep in office for 2 years, then transfer to the Federal Records Center. Keep in FRC for 8 years, then offer to NARS.

Retention: Retain 10 years.

Disposition: Break file at end of 2 years. Keep in office for 2 years, then transfer to the Federal Records Center. Keep in FRC for 8 years, then destroy,

Retention: Retain 7 years.

Disposition: Break file
upon completion of contract
or grant. Keep in office
for 1 year, then transfer to
the Federal Records Center.
Keep in FRC for 6 years,
then destroy when 7 years.

Retention:

- a. Record or Master Copy. Permanent.
- b. Information Copies. As / determined by users -needs: year.

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MANUAL

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Item	Name and Description of Record/File		Retention Period and Disposition	
5.	KWIC (Key Word in Context) Technical Data Retrieval File. File consists of technical reports (numerically filed) and a KWIC Index book. Index book lists key words and identification numbers of the reports in which words appear. System	a. Bre of in tra Rec FRC off Arc b. Des Ret Dis aft Kee	Record or Master Copy. ak file upon completion contract or grant. Keep office for 1 year, then used to the Federal ords Center. Keep in for 20 years, then er to the National hives. Information Copies. troy when no longer used ention: Retain 1 year. position: Break file er termination of system p in office for 1 year, in destroy.	. / ႘ ႜႜႜႜႜႜ
6.	formulated and used at the Twinbook Research Laboratory - 1971. Accident Project Area - Risk/ Benefit Files. Correspondence and reports relative to existing and potential accident situations. Data covers a wide range of risk/benefit studies and nuclear incident and accident material. Covers such areas as the effects of airborn radioactivity on communities near nuclear plants, minimizing health risks for communities downwind of incidents, radioactive foods, thyroid blocking prevention, thyroid cancer inactivity following radiation exposure, etc.	Dis end off the Rec FRO	ention: Retain 12 years position: Break file at of 4 years. Keep in ice for 2 years, in transfer to the Federa ords Center. Keep in for 10 years, then atroy.	

RECORDS CONTROL SCHEDULES

Item	Name and Description of Record/File	Retention Period and Disposition
7.	State Radiation and Nuclear Regulation Files. Covers	Retention: Retain 6 years.
	contacts and activities re-	Disposition: Break file at
	lative to the development of	end of 2 years. Keep in
	radiation regulations by the	office for 6 years, then
	the states. Records consist	destroy.
	of model state regulations,	
	copies of state laws and	
	regulations, request from	
	states for assistance in	
	developing legislation and	
	effecting regulations, state	
	guidelines for hospital person-	
	nel, names of officials on state commissions and in state	
	1	
	agencies, state radiological response plans, etc.	
_		Retain 5 years
8.	Environmental Impact State-	Retention: Permanent.
	ment Review and Comment Files.	D. 1. C.7.
	Covers the review and analysis	Disposition: Break file
	environmental impact state-	upon completion of review.
	ments submitted on nuclear power plants, uranium processing,	Keep in office for 2 years, then transfer to the Federal
	plutonium fabricating, nuclear	Records Center. Keep in
	waste disposal, nuclear powered	FRC for 22 years, then
	ships, etc.	offer to the National dostuny.
		Archives.
0	Formania Analogia Duniant Eilea	
9.	Economic Analysis Project Files. Contains documentation re-	Retention: Retain 7 years.
	lated to the economic review	Disposition: Break file
	and analysis data in environ-	upon completion of project.
	mental impact statements,	Keep in office for 2 years,
	strategy papers, and inter-	then transfer to the Federal
	agency reports. Also contains	Records Center. Keep in
	development of economic data	FRC for 5 years, then
	for radiation standards and	destroy.
	guidelines. Records consist of	
	review or development requests,	
	analysts' workpapers, drafts	
	of conclusions, comments on	
	economic reviews and con-	
	clusions.	

RECORDS CONTROL SCHEDULES

MANUAL

Item	Name and Description of Record/File	Retention Period and Disposition
10.	Professional Association Files. Covers contacts and activities with professional associations and institutions. Records con- sist of organization brochures, newsletters, lists of members and officers, meeting and con- ference arrangements, minutes and transcripts of meetings, special reports and studies, requests for information, speeches, papers, etc.	Retention: Retain 6 years. Disposition: Break file at end of 4 years. Keep in office for 6 years, then destroy.
11.	Nuclear Facility Files. Contains data relating to the design, construction, operation, maintenance, and environmental effects of nuclear power plants and fuel processing and fabrication contract facilities. Records primarily consist of AEC and ERDA Environmental Statements, Safety Evaluation Reports, Licensing Decisions, Technical Specifications, periodic plant operating reports, and incident reports. Other records include AEC and ENDA investigation reports, hearing reports, construction permits, and EPA comments and evaluations, suspension notices, change requests, etc.	Retention: Retain 6 years. Disposition: Break file upon termination of facility operation. Keep in office for 1 year, then transfer to the Federal Records Center. Keep in FRC for 5 years, then destroy.
12.	Nuclear Plant Safety Analysis Reports (SAR's). Nuclear plant construction and process design reports received from AEC and ERDA. Report received in stages reflecting AEC-ERDA review and approval. Process starts with initial Safety Analysis Report, Changes to Report, and then Final Report.	Retention: a. Initial Report and Changes. Retain until receipt of final report. b. Final Report. Retain until receipt of microfilm copy. c. Microfilm Copy. Retain 6 years.

MANUAL

APPENDIX B

RECORDS MANAGEMENT

RECORDS CONTROL SCHEDULES

Item	Name and Description of Record/File	Retention Period and Disposition
13.	Nuclear Plant Environmental Impact Statements (EIS). EIS's made by plant ownership and design groups for submission to Atomic Energy Commission and Energy Research and Development Agency.	Disposition: a. Initial Report and Changes. Destroy upon receipt of Final Report. b. Final Report. Destroy upon receipt of microfilm copy. c. Microfilm Copy. Break file upon termination of plant operation. Keep in office for 6 years, then destroy. Retention: a. Original Report. Retain until receipt of microfilm copy. b. Microfilm Copy. Retain 6 years. Disposition: a. Original Report. Destroupon receipt of microfilm copy. b. Microfilm Copy. Break file upon termiantion of plant operation. Keep in office for 6 years, then destroy.
		TN

MANUAL

Item	Name and Description of Record/File	Retention Period and Disposition
14.	Advanced Technology Problem Area Projects File. Contains data relating to nuclear fuel processing, fabrication of of uranium and plutonium, generic field studies, waste disposal, enrichment, trans- portation, and other radiation technology problem areas. Records consist of problem statements and work plans, anal- ysis and calculation workpapers, field investigation reports, re- quests for proposals, contracts, testimony before state and federal legislative bodies, technical reports, etc.	Retention: Retain 10 years. Disposition: Break file upon completion of project. Keep in office for 2 years, then transfer to the Federal Records Center. Keep in FRC for 8 years, then destroy.
15.	State Radiological Emergency Response Plans. Contains data relative to state plans or programs for responding to radiological emergency situations. Covers such areas as minimizing exposure, organi- zation of response teams, decontamination and clean up, incident reporting system, instrumentation and equipment.	Retention: Retain 1 year. Disposition: Break file upon the revision or termination of state plan. Keep in office for 1 year, then destroy.
16.	Emergency Planning Program File. Covers EPA's program for the recognition, handling, and preventions of radiological incidents. Records consist of accident and incident reports, investigation reports, emergency planning symposium arrangements, model emergency plans, newspaper clips, interagency meetings and conference minutes, scenarios for power plant accident exercises, etc.	Retention: Retain 10 years. Disposition: Break file at end of 2 years. Keep in office for 2 years, then transfer to the Federal Records Center. Keep in FRC for 8 years, then destroy.

RECORDS MANAGEMENT

Name and Description
of Record/File
Protective Action Planning Manual - Work File. Contains data and supporting documentation for the development of the nuclear incident protective planning manual. Records consist of technical articles and reports, task force organization, work plans and reports, section drafts, comments, program reports, etc.
Radiological Surveillance and Monitoring Files. File divided into seven major segments with record contents as follows:
a. DACO (Data Collection). Radiograph activity in surface and ground water reports, radiological surveillance on milk-water-air reports, Nevada test site monitoring report, surveillance report on NS Savannah. 1959-1971
b. Nuclear Power Facilities. Quarterly air and water sample reports, surveys of hydrographysediments - etc., air and water data collections, semi-annual surveillance reports, correspondence regarding plant designs and operations. 1970-1972. c. Alaska - Whole Body Counting. Reports of radioactivity in Caribou and reindeer samples, reports of radioactivity in Eskimos, report on air-water-milk-and food samples. 1966-1968.

Retention Period and Disposition

Retention: Retain 1 year.

<u>Disposition</u>: Break file upon completion of manual. Keep in office for 1 year, then destroy.

Retention: Retain 6 years.

Disposition: Review file every 2 years. Bring forward ongoing reference materials and destroy outdated or superceded ones. Break file upon completion or termination of surveillance project or program. Keep in office for 1 year, then transfer to the FRC. Keep in FRC for 5 years, then destroy.

RECORDS CONTROL SCHEDULES

MANUAL

RECORDS MANAGEMENT

Name and Description

Item of Record/File

- d. Pan American Health Organization. Monitoring reports of gross beta radioactive in air and milk, milk and air sampling program, statement of equipment requirements. 1962-1972.
- e. Pasteurized Milk Network.
 Reports of radio nuclides concentrations in pasteurized milk, history of milk sampling, surveys of milk stations.
 1964-1969.
- f. Institutional Total Diet
 Network. Reports of measure—
 ment of radio nuclides in total
 diet of selected population in
 group, reports on food and
 milk samples, shipping papers
 for samples, basic fact sheets.
 1965-1970
- g. Quality Control. To assure quality of analytical procedures used by labs. Interlab cross check reports, trip reports, special project analysis reports. 1968-1972.
- 19. Surveillance Guide and Development File. Development of and comments on Environmental Radioactivity Surveillance Guide. Records consist of work plan, preliminary and final drafts, intra and interagency comments, background data, etc.

Retention Period and Disposition

Retention:

- a. Record or Master Copy. Permanent.
- b. Information Copies. Asdetermined by users' needs. Retain
- c. <u>Development File</u>. Retain 1 year.

Item	Name and Déscription of Record/File	Retention Period and Disposition
		Disposition: a. Record or Master Copy. Break file upon completion of Guide. Keep in office for 1 year, then transfer to the Federal Records Center. Keep in FRC for 20 years, then offer to the National Archives. b. Information Copies. Destroy when relonger used c. Development File. Break file upon completion of Guide. Keep in office for 1 year, then destroy.
20.	Work Maps. Geological Survey maps used to show locations of nuclear plants, monitoring stations, test sites, etc.	Retention: Retain 1 year. Disposition: Break file upon completion or termination of map. Keep in office for 1 year, then destroy.
21.	"Radiation Data and Reports". Official publication. Presents an analysis and interpretation of data on environmental radiation levels. Also contains reports of individual investigation of scientists in the field, and reports by Radiation Programs, other government agencies, and universities. Issued monthly from 1960-1974.	Retention: a. Record or Master Copy. Permanent. b. Distribution Supply Copies. Retain 3 years. c. Information Copies. Asdetermined by users needs.

RECORDS CONTROL SCHEDULES

MANUAL

Item	Name and Description of Record/File	Retention Period and Disposition
		Disposition: a. Record or Master Copy. Break file after publication. Keep in office for 20 years, then offer to the National Archives.
		b. <u>Distribution Copies</u> . Break file after printing and initial distribution. After 3 years destroy undistributed copies.
		c. Information Copies. Destroy when no longer used./year ald.
22.	Manuscript of Articles Sub- mitted for Publication in "Radiation Data and Report". Original manuscripts or articles submitted for publication. Includes un- published as well as published articles.	Retention: Retain 3 years. Disposition: Break file after receipt or publication. Keep in office for 3 years, then destroy.
23.	Reprints of Articles. Reprints of articles published in "Radiation Data and Reports" or professional journals.	Retention: Retain 2 years. Disposition: Break file on date of last request for reprint. Keep in office for 2 years, then destroy.
24.	Personal Reference Collections. Collections of technical and related reference documents and printed material maintained by individual staff members for personal use. Records consist of articles from professional journals, information copies of technical reports, copies of authored and received memos and correspondence, photos, maps, handbooks, etc.	Retention: None Disposition: Break file upon termination or transfer of owner staff member. Re- view file and remove any records of value to the Agency. Offer file to departing Staff member. If offer rejected, keep for 1 month, then destroy.