

NOTICE - SOME ITEMS SUPERSEDED OR OBSOLETE

Schedule Number: NC1-412-78-06

Some items in this schedule are either obsolete or have been superseded by new NARA approved records schedules. This information is accurate as of: 09/07/2022

ACTIVE ITEMS

These items, unless subsequently superseded, may be used by the agency to disposition records. It is the responsibility of the user to verify the items are still active.

All other items remain active.

SUPERSEDED AND OBSOLETE ITEMS

The remaining items on this schedule may no longer be used to disposition records. They are superseded, obsolete, filing instructions, non-records, or were lined off and not approved at the time of scheduling. References to more recent schedules are provided below as a courtesy. Some items listed here may have been previously annotated on the schedule itself.

Item 1 was superseded by N1-412-94-002 items 1 and 22

Item 2 was superseded by N1-412-06-006 EPA Schedule 127

Item 3 was superseded by N1-412-94-002 item 22

Item 4 was superseded by N1-412-07-068 EPA Schedule 519

Item 6a was superseded by N1-412-94-002 item 22

Item 6b was superseded by N1-412-06-006 EPA Schedule 127

Item 7 was superseded by N1-412-94-002 item 4

Item 8 was superseded by N1-412-86-002 item 7

NOTICE - SOME ITEMS SUPERSEDED OR OBSOLETE

**REQUEST FOR AUTHORITY
TO DISPOSE OF RECORDS**

(See Instructions on Reverse)

LEAVE BLANK	
DATE RECEIVED 24 FEB 1978 NC1 412 78 6	JOB NO.
NOTIFICATION TO AGENCY	
In accordance with the provisions of 44 U.S.C. 3303a the disposal request, including amendments, is approved except for items that may be stamped "disposal not approved" or "with-drawn" in column 10.	
4-26-78 Date	<i>James B. Rhoads</i> Archivist of the United States

TO: **GENERAL SERVICES ADMINISTRATION,
NATIONAL ARCHIVES AND RECORDS SERVICE, WASHINGTON, D.C. 20408**

1. FROM (AGENCY OR ESTABLISHMENT)
Environmental Protection Agency

2. MAJOR SUBDIVISION
Office of the Administrator and Deputy Administrator

3. MINOR SUBDIVISION

4. NAME OF PERSON WITH WHOM TO CONFER
David O. Stephens

5. TEL. EXT.
755-0830

6. CERTIFICATE OF AGENCY REPRESENTATIVE:

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposal of the agency's records; that the records proposed for disposal in this Request of 3 page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified.

2-17-78
(Date)

Harold R. ...
(Signature of Agency Representative)

Chief, Administrative Management Br.
(Title)

7. ITEM NO.	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. SAMPLE OR JOB NO.	10. ACTION TAKEN
1-16	Request continuing disposition authority for records of the EPA Administrator and Deputy Administrator. Items 1-16 are attached.		
<p><i>changes made with approval of David Stephens. 4/12/78. JAW</i></p>			

Sent to agency, NCR, NNB - 4/21/78

18 items

U.S. ENVIRONMENTAL PROTECTION AGENCY--RECORDS CONTROL SCHEDULES

SCHED. NO.

TITLE OF SCHEDULE		COVERAGE OF SCHEDULE
RECORDS OF THE ADMINISTRATOR AND THE DEPUTY ADMINISTRATOR		APPLICABLE HEADQUARTERS OFFICE
ITEM NO.	NAME AND DESCRIPTION OF RECORD/FILE	RETENTION PERIOD AND DISPOSITION
1.	<u>Controlled Correspondence of the Administrator and Deputy Administrator.</u> Includes copies of all controlled correspondence signed by either the Administrator or the Deputy Administrator. Also includes copies of letters to members of Congress. This correspondence was processed under special handling control procedures because of the importance of the letters or time requirements of replies. Records consist of copies of incoming letters, copies of the responses, and enclosures. Records arranged chronologically, then alphabetically by name of correspondent.	<u>Retention:</u> Permanent. <u>Disposition:</u> Break file at end of year. Keep in office current year plus 1 additional year, then transfer to the Federal Records Center (FRC). Keep in FRC for 10 years, then offer to the National Archives.
2.	<u>General Correspondence of the Administrator and Deputy Administrator.</u> Includes copies of non-controlled (routine) correspondence. Records consist of incoming letters, and enclosures.	<u>Retention:</u> Retain 5 years. <u>Disposition:</u> Break file at end of year. Keep in office current year plus 1 additional year, then transfer to the FRC. Keep in FRC for 4 years, then destroy.
5.	<u>Intra-agency Correspondence of the Administrator and Deputy Administrator.</u> Includes copies of correspondence and memoranda to and from top officials of the EPA and the Administrator and Deputy Administrator. Includes copies of memorandums, replies, and supporting documents. Records arranged by name of program and by regional office.	<u>Retention:</u> Permanent. <u>Disposition:</u> Break file at end of year. Keep in office current year plus 1 additional year, then transfer to the FRC. Keep in FRC for 10 years, then offer to the National Archives.
4.	<u>Federal Register Documents Signed by the Administrator or the Deputy Administrator.</u> Includes copies of all Federal Register documents signed by the Administrator or the Deputy Administrator.	<u>Retention:</u> Retain 7 years. <u>Disposition:</u> Break file at end of year. Keep in office current year plus 1 additional year, then transfer to the FRC. Keep in the FRC for 6 years, then destroy.
5.	<u>Court Papers.</u> Includes reference copies of legal papers sent to Administrator or Deputy Administrator from the General Counsel, Agency Judicial Officer, or Administrative Law Judge. Includes copies of briefs, motions, decisions, and other legal documents.	<u>Retention:</u> Retain 5 years. <u>Disposition:</u> Break file at end of year. Keep in office current year plus 1 additional year, then transfer to the FRC. Keep in the FRC for 4 years, then destroy.
6.	<u>Multiple Letters File.</u> a) Includes copies of incoming letters with responses that are sent to more than one party, such as to all members of Congress, all states, EPA regional offices, and so on. Records arranged chronologically, then by addressee. <i>b) non-environmental letters. Include retirement letters, thank yous and similar routine administrative enclosures.</i>	<u>Retention:</u> Permanent. <u>Disposition:</u> Break file at end of year. Keep in office current year plus 1 additional year, then transfer to the FRC. Keep in the FRC for 10 years, then offer to the National Archives. <i>c) Retention: Retain 3 years. Disposition: Break file at end of year and remove these items. Destroy in office when 3 years old.</i>

RECORDS MANAGEMENT MANUAL

U.S. ENVIRONMENTAL PROTECTION AGENCY - RECORDS CONTROL SCHEDULES

SCHED. NO.

TITLE OF SCHEDULE		COVERAGE OF SCHEDULE
RECORDS OF THE ADMINISTRATOR AND THE DEPUTY ADMINISTRATOR		APPLICABLE HEADQUARTERS OFFICE
ITEM NO.	NAME AND DESCRIPTION OF RECORD/FILE	RETENTION PERIOD AND DISPOSITION
7.	<u>Administrator's Policy Decisions, Agreements, and Directives File.</u> Includes records relating to policy decisions and internal Agency directives signed by the Administrator. Records consist of Administrator's decision documents, delegations of authority signed by the Administrator, interagency agreements, agreements with states, approval of Agency policy and procedure directives, memorandums of understanding signed by the Administrator, briefing memorandums, and other related records.	<p><u>Retention:</u> Permanent.</p> <p><u>Disposition:</u> Break file at end of year. Keep in office current year plus 1 additional year, then transfer to the FRC. Keep in the FRC for 10 years, then offer to the National Archives.</p>
8.	<u>Freedom of Information Responses File.</u> Includes copies of incoming requests for information under the Freedom of Information Act, copies of the replies, and copies of any intra-agency memoranda concerning the request. Files does not include copy of the document requested.	<p><u>Retention:</u> Retain 5 years.</p> <p><u>Disposition:</u> Break file at the end of year. Keep in office current year plus 2 additional years, then transfer to the FRC. Keep in the FRC for 3 years, then destroy.</p>
9.	<u>Freedom of Information Logbooks.</u> Logbooks showing the receipt date, date of reply, office of referral, payment receipt, and other processing information concerning Freedom of Information requests	<p><u>Retention:</u> Retain 10 years.</p> <p><u>Disposition:</u> Break file at end of each year. Keep in office current year plus 9 additional years, then destroy.</p>
10.	<u>Duplicate Copies of Freedom of Information Requests/Responses.</u> Includes copies of Freedom of Information requests and responses available for public inspection.	<p><u>Retention:</u> Retain 1 year.</p> <p><u>Disposition:</u> Break file at the end of year. Keep in office current year plus 1 additional year, then destroy.</p>
11.	<u>Freedom of Information Processing Control Slips File.</u> Includes cross-reference copies of Mail Control Schedules, EPA Form 5180-1, used to reference the official Freedom of Information request/response files.	<p><u>Retention:</u> Retain 2 years.</p> <p><u>Disposition:</u> Break file at end of each year. Keep in office current year plus 2 additional years, then destroy.</p>
12.	<u>Agency Judicial Officer Appeals Case File.</u> Includes cases related to environmental litigation appealed to the Administrator for decision. Records consist of petitions for appeal, appeal documents submitted by parties, decisions of the Administrator, and other related records.	
	a. <u>Landmark Cases.</u> Includes cases resulting in an important legal precedent which establishes or affirms Agency policy with respect to environmental actions of national importance in which major regulatory issues are litigated. Records arranged alphabetically by case.	<p><u>Retention:</u> Permanent.</p> <p><u>Disposition:</u> Break file upon termination of case or resolution of issues involved. Keep in office for 3 additional years, then transfer to the FRC. Keep in the FRC for 10 years, then offer to the National Archives.</p>
	b. <u>Other Cases.</u>	<p><u>Retention:</u> Retain 10 years.</p> <p><u>Disposition:</u> Break file upon completion of appeal or termination of case, whichever is later. Keep in the office for 1 additional year, then transfer to the FRC. Keep in FRC for 9 years, then destroy.</p>

RECORDS MANAGEMENT MANUAL

U.S. ENVIRONMENTAL PROTECTION AGENCY—RECORDS CONTROL SCHEDULES

SCHED. NO.

TITLE OF SCHEDULE RECORDS OF THE ADMINISTRATOR AND THE DEPUTY ADMINISTRATOR	COVERAGE OF SCHEDULE APPLICABLE HEADQUARTERS OFFICE	
ITEM NO.	NAME AND DESCRIPTION OF RECORD/FILE	RETENTION PERIOD AND DISPOSITION
13.	<u>Minority Business Enterprises Task Force File.</u> Includes records related to EPA's Minority Business Enterprise Task Force. Records consist of proposals, memorandums to Administrator and Deputy Administrator, records of the Minority Business Enterprise Interagency Council, newspaper clippings, and other related records.	Retention: Permanent. Disposition: Break file upon termination of Task Force. Keep in office for 2 years, then transfer to the FRC. Keep in the FRC for 10 years, then offer to the National Archives.
14.	<u>Administrator's Meetings File.</u> Includes position papers, policy statements, and other briefing papers used by the Administrator to prepare for meetings with interest groups or other public groups. Arranged chronologically by date of meeting.	Retention: Retain 5 years. Disposition: Break file at end of each year. Keep in office for 5 years, then destroy.
15.	<u>Speeches by Administrator and Deputy Administrator.</u> Includes reference copies of speeches delivered by the Administrator and Deputy Administrator. Records consist of background papers, correspondence, and final copy of speech. Note: The archival copy of these speeches is maintained in the EPA Public Awareness Office.	Retention: Retain 5 years. Disposition: Break file at end of each year. Keep in office for 5 years, then destroy.
16.	<u>Land Use Coordination Files.</u> Includes records related to the coordination of EPA policies in the land use area. Records used in coordinating interagency activities, land use activities with state and local governments, and other appropriate government and private groups.	
	a. <u>Environmentally Sensitive Areas File.</u> Includes records related to controversial or sensitive land use policy areas, such as, floodplains, wetlands, coastal zone management, agricultural lands statements, and other environmentally sensitive areas. Records consist of copies of legislation, position papers, correspondence, and other related records.	Retention: Permanent. Disposition: Break file at end of year. Keep in office for 5 years, then transfer to the FRC. Keep in the FRC for 10 years, then offer to the National Archives.
	b. <u>Growth Management and Urban Policy File.</u> Includes records related to EPA's position on regional and urban growth and policy matters. Records consist of regional growth management seminars, EPA participation in the White House Conference on Balanced Growth and Environmental Quality, and other related records.	Retention: Retain 10 years. <i>Permanent.</i> Disposition: Break file at end of each year. Keep in office current year plus 5 additional years, then transfer to the FRC. Keep in the FRC for 5 years, then destroy <i>offer to</i> NARS.

RECORDS MANAGEMENT MANUAL