F	ISPOSI1 کی REQUEST FOR RECORD See Instructions on reve		,	JOB NUMBER	EBLANK (NARA	use only)	
TO NATIONAL ARCHIVES and RECORDS ADMINISTRATION (NIR) WASHINGTON, DC 20408					·- 431-6 VED · 15,99	, U - / A	
1. FR	OM (Agency or establishment)		-	NOT	IFICATION TO	AGENCY	
	U.S. Nuclear Regulatory Com	mission		In accord	ance with the pro	visions of 44	
2. M.	AJOR SUBDIVISION Office of Investigations		l	U S.C 33	303a the disposi mendments, is appr	tion request,	
3 MIN	NOR SUBDIVISION		l	items that	may be marked "or "withdrawn"	disposition not	
4 NA	ME OF PERSON WITH WHOM TO CONFER	5 TELEPHONE	Ī	DATE		IE UNITED STATES	
	John Harris	301-415-5885	Ľ	12-28-01	John W	Pal	
and of the Gen Age	I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached 16 page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified; and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies,  is not required; is attached; or has been requested.  DATE SIGNATURE OF AGENCY REPRESENTATIVE TITLE  NRC Records Officer						
7 ITEM NO	8 DESCRIPTION OF ITEM AND PROF	POSED DISPOSITION		SUF	GRS OR PERSEDED CITATION	10 ACTION TAKEN (NARA USE ONLY)	
Agencywide Documents Access and Management System (ADAMS) Programmatic Records of the NRC Office of Investigations.  ADAMS is an electronic information system that maintains the Nuclear Regulatory Commission's (NRC's) unclassified official programmatic and administrative records in a centralized electronic document repository.  ADAMS is designed to meet all National Archives and Records Administration (NARA) regulations to ensure NARA approval of ADAMS as an official electronic recordscepting system. Beginning January 1,2000, NRC headquarters and resional offices will no longer create or maintain paper-based record collections because the electronic documents in ADAMS will become the "official records" of the agency. The "official record" of documents maintained in ADAMS is a Portable Document Format (PDF) file or a Tagged Image File Format (TIFF) file with an associated document profile containing 'fielded' data to describe the document and its attributes. In addition, any electronic data related to a digital signature and/or electronic data that evidence final NRC management and staff concurrence in a document is linked to and considered part of the official record.  Records disposition schedules for the NRC records maintained in ADAMS are divided into two broad groups:  1) Programmatic records created or received by each individual NRC office  2) Administrative records created or received by any NRC offices							

115-109

STANDARD FORM 115 (REV. 3-91)
Prescribed by NARA
36 CFR 1228

115-109

NSN 7540-00-634-4064

PREVIOUS EDITION NOT USABLE

This form was designed using InForms: Agency, HR, NWMW, NWMD, NWME

RE	QUEST FOR RECORDS DIS SITION AUTHORITY CONTINUATION	JOB NUMBER	PAGE 2 OF 16
7 ITEM NO.	8 DESCRIPTION OF ITEM AND PROPOSED DISPOSITION	9 GRS OR SUPERSEDED JOB CITATION	10. ACTION TAKEN (NARA USE ONLY)
	The records disposition schedules for the administrative records series of all NRC offices are under development for later submittal to NARA.		
	The records disposition schedules for the programmatic records series of each NRC office will be submitted to NARA under separate SF 115 for each office.		
	This SF 115 pertains to the programmatic records of the NRC Offices of Investigations.		

REQUEST FOR RECORGO DISPOSITION AUTHORITY				L (EBLANK, (NARA use only)				
TO: NATIONAL ARCHIVES and RECORDS ADMINISTRATION (NIR) WASHINGTON, DC 20408				JOB NUMBER N1-431-00-12				
FROM (Agency or establishment)     U.S. Nuclear Regulatory Commission				DATE RECEIVED				
MAJOR SUBDIVISION     Office of Investigations				NOTIFICATION TO AGENCY				
3. MINOR SUBDIVISION				In accordance with the provisions of 44 U.S.C. 3303a the disposition request, including amendments, is approved except for				
4 NAME OF PERSON WITH WHOM TO CONFER 5 TELEPHONE				items that may be marked "disposition not approved" or "withdrawn" in column 10				
		John Harris	301-415-5885		DATE	ARCHIVIST OF THE UNITED STATES		
I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached 16 page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified; and that written concurrence from the General Accounting Office, under the provisions of Title8 of the GAO Manual for Guidance of Federal Agencies,  I is not required;  I is attached; or  I has been requested.						e business ce from the		
DATE 11/05/	/99	SIGNATURE OF AGENCY REPRESEN		TITLE	C Records	Officer		
11/00/		Brenda Jo. Shelton /S/; Revised	103/22/00	INFO	CRecords	Onicei		
7 ITEM NO	8 DESCRIPTION OF ITEM AND PROPOSED DISPOSITION				9 GRS OR SUPERSEDE D JOB CITATION	10 ACTION TAKEN (NARA USE ONLY)		
	Agencywide Documents Access and Management System (ADAMS) Programmatic Records of the NRC Office of Investigations.  ADAMS is an electronic information system that maintains the Nuclear Regulatory Commission's (NRC's) unclassified official programmatic and administrative records in a centralized electronic document repository. ADAMS is designed to meet all National Archives and Records Administration (NARA) regulations to ensure NARA approval of ADAMS as an official electronic recordkeeping system. Beginning April 1, 2000, NRC headquarters and regional offices will no longer create or maintain paper-based record collections because the electronic documents in ADAMS will become the "official records" of the agency. The "official record" of documents maintained in ADAMS is a Portable Document Format (PDF) file or a Tagged Image File Format (TIFF) file with an associated document profile containing "fielded" data to describe the document and its attributes. In addition, any electronic data related to a digital signature and/or electronic data that evidence final NRC management and staff concurrence in a document is linked to and considered part of the official record.  Records disposition schedules for the NRC records maintained in ADAMS are divided into two broad groups:  1) Programmatic records created or received by each individual NRC office  2) Administrative records created or received by any NRC offices							
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REQU	EST FOR RECORDS D. DSITION AUTHORITY CONTINUATION	JOB	PAGE 2 OF 16
7. EM O.	8 DESCRIPTION OF ITEM AND PROPOSED DISPOSITION	9 GRS OR SUPERSEDED JOB CITATION	10 ACTION TAKEN (NARA USE ONLY)
	The records disposition schedules for the administrative records series of all NRC offices are under development for later submittal to NARA.		
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# RECORDS OF THE OFFICE OF INVESTIGATIONS PROGRAMMATIC RECORDS

	•	PAGE NO
Item 1	General Program Correspondence Files (Subject Files)	4
Item 2	Investigation Case Files	8
Item 3	Investigation Procedures Manual and Investigative Guidance	13
Item 4	Legal Interpretations	15

### ADAMS RECORDS SCHEDULES OFFICE OF INVESTIGATIONS

**APPROVED ITEM** NO. **DESCRIPTION OF RECORDS AUTHORIZED DISPOSITION CITATION** 1. General Program Correspondence Files (Subject Files) Letters, memoranda, messages, studies, reports, forms, and other data documenting and/or implementing plans, policies, procedures, accomplishments, opinions, and decisions pertaining to Office of Investigations (OI) program and staff activities. a. Program Correspondence Files at the Office Director Level. Files that document policymaking decisions or significant OI program management functions that are signed by or addressed to the OI Director. Excluded is program correspondence that documents routine transactions for which the record content is summarized or duplicated in

(1) Paper records created before 04/01/2000. Permanent. Cut off at close of fiscal year. Hold 2 years and retire to the Washington National Record Center through (NUREG-0910 OCIO. OCIO will transfer to NRCS 1-2.2.a) NARA when 20 years old.

(2) Paper records used as the source to create ADAMS Tagged Image File Format (TIFF) files and ASCII files.

higher level documents. (See

item c. below.)

Destroy paper documents 2 months after creation of ADAMS electronic record.

**TBD** 

NARA-

### ITEM

#### NO. DESCRIPTION OF RECORDS

(3) Copies of electronic files stored outside of ADAMS used to create ADAMS Portable Document Format (PDF) files, TIFF files, and ASCII files that remain with staff members and OCIO processing staff after creation of the ADAMS records. Included are electronic records created internally using office

automation tools (e.g., WordPerfect, Lotus, InForms, e-mail, etc.) and electronic records received from outside the agency.

#### **AUTHORIZED DISPOSITION**

Destroy after creation of ADAMS electronic record or when no longer needed for reference or updating, whichever is later. NARA-APPROVED <u>CITATION</u>

**TBD** 

## (4) ADAMS PDF and TIFF files.

PERMANENT. Cut-off electronic files at close of fiscal year. Transfer to the National Archives 2 years after cut-off. At the time of transfer, NARA and NRC will determine medium and format in which records will be transferred as well as their arrangement. Every effort will be made to transfer records electronically in accordance with the standards for permanent electronic records contained in 36 CFR 1228.270 or standards applicable at the time. If transfer in an electronic medium is not feasible, prior to transfer, with NARA concurrence, records will be converted to paper or to microform. Destroy NRC copy 18 years after transferring records to NARA.

(5) ADAMS document profiles and ADAMS document packages created for the management, search, and retrieval of the PDF files and TIFF files, and ADAMS data related to digital signatures, and data that

Rermanent. Cut off electronic files at close of fiscal year. Convert image files to paper, microform, magnetic tape, 3480 class tape cartridge, or any other medium and format that meets NARA regulations found in Subchapter B of 36 CFR Chapter XII and transfer to NARA 2 years after cutoff. Prior to transfer, NARA and NRC will determine the medium and format in which the records will be transferred. Desixoy NRC copy 18 years after transferring record to NARA

Permanent. Cut off electronic files with related PDF files and TIFF files. Transfer to NARA according to regulations found in 36 CFR § 1228.188 when the PDF files and TIFF files are transferred. Destroy NRC copy

**TBD** 

# ITEM NO. DESCRIPTION OF RECORDS

**AUTHORIZED DISPOSITION** 

NARA-APPROVED CITATION

evidence final NRC management and staff concurrences in documents that are linked to and considered part of the official records.

18 years after transferring record to NARA.

b. <u>Program Correspondence Files</u>
<u>Created or Received Below the</u>
<u>Office Director Level.</u>

Files that document policy making decisions, significant or OI program management functions and program operations. They are signed by or addressed to OI management and staff below the OI Director level. Excluded is program correspondence that documents routine transactions for which the record content is summarized or duplicated in higher level documents. (See item c. below.)

Cut off at close of fiscal year. Hold 2 years and retire to the Washington National Record Center through OCIO. Destroy 10 years after cutoff.

(NUREG-0910 NRCS 1-2.2.b)

NC1-431-81-5

Item 1.2.15.b

(2) Paper records used as the source to create ADAMS
Tagged Image File Format
(TIFF) files and ASCII files.

(1) Paper records created before

04/01/2000.

Destroy paper documents 2 months after creation of ADAMS electronic record.

**TBD** 

(3) Copies of electronic files stored outside of ADAMS used to create ADAMS Portable Document Format (PDF) files, TIFF files, and ASCII files that remain with

Destroy after creation of ADAMS electronic record or when no longer needed for reference or updating, whichever is later.

#### <u>NO.</u> **DESCRIPTION OF RECORDS**

staff members and OCIO processing staff after creation of the ADAMS records. Included are electronic records created internally using office automation tools (e.g., WordPerfect, Lotus, InForms, e-mail, etc.) and electronic records received from outside the agency.

(4) ADAMS PDF files and their linked native application source files; ADAMS TIFF files and their linked ASCII files; ADAMS document profiles and document packages; and ADAMS digital signature and concurrence data.

Cut off electronic files at close of fiscal year. Destroy 10 years after cutoff.

**TBD** 

c. Routine Program Correspondence Files Created or Received at All Organizational Levels.

> Files documenting program transactions of a routine or recurring nature, the record content of which is summarized or duplicated in Item a. or b. above.

(1) Paper records created before 04/01/2000.

Destroy when 2 years old, or sooner if purpose has been served.

NC1-431-81-5 Item 1.2.15.c

(NUREG#0910 NRCS 1-2.2.6)

NARA-**ITEM** APPROVED <u>NO.</u> **DESCRIPTION OF RECORDS AUTHORIZED DISPOSITION CITATION** (2) Paper records used as the Destroy paper documents TBD source to create ADAMS 2 months after creation of Tagged Image File Format ADAMS electronic record. (TIFF) files and ASCII files. (3) Copies of electronic files Destroy after creation of **TBD** stored outside of ADAMS ADAMS electronic record or used to create ADAMS when no longer needed for Portable Document Format reference or updating, (PDF) files, TIFF files, and whichever is later. ASCII files that remain with staff members and OCIO processing staff after creation of the ADAMS records. Included are electronic records created internally using office automation tools (e.g., WordPerfect, Lotus, InForms, e-mail, etc.) and electronic records received from outside the agency. (4) ADAMS PDF files and their Cut off electronic files at close **TBD** linked native application of fiscal year. Destroy 2 years source files; ADAMS TIFF after cutoff. files and their linked ASCII files: ADAMS document profiles and document

#### Investigation Case Files

Official case files documenting investigations of licensees, applicants, contractors, or vendors and any wrongdoing by individuals other than NRC employees and NRC contractors. Files include statements, interviews, support information relating to the investiga-

packages; and ADAMS digital signature and concurrence data.

withdrawn per NRC Weer & 11/20/2000

tion, interim reports and the reports of investigation. The official record of this series is maintained both in ADAMS and in paper form to ensure availability of evidence and exhibits for adjudication purposes. Paper files contain evidence files and chain of custody records that are not placed in ADAMS. Records are created in the regional field offices and transferred to headquarters for final processing.

- a. Official case files created by field investigators and maintained at regional field offices that are selected by NRC because they meet one or more of the following criteria:
  - Received wide attention from the news media;
  - Was of significant interest to Congress, the White House, or NRC Commissioners;
  - Was involved in extensive litigation;
  - Was involved in a major policy discussion and/or change in the Office of Investigations or in the Nuclear Regulatory Commission as a whole; and
  - Prompted significant changes in designs or procedures by or relating to the nuclear industry.

Note: Case files for investigations begun prior to 04/01/2000 will be maintained in hard copy only, including related documents created or received after 04/01/2000. Case files for investigations begun on or after 04/01/2000 will be maintained in both ADAMS and hard copy.

**AUTHORIZED DISPOSITION** 

Withdrawn per New Letter & Weekon

#### ITEM NO.

#### **DESCRIPTION OF RECORDS**

- (1) Paper records for investigations begun before 04/01/2000 and those created after that date. Note that OI headquarters will discontinue creating paper records that duplicate records placed in ADAMS by OI regional office investigators and rely on ADAMS for access. Note that only case files for investigations begun of or after 04/01/2000 will be maintained in ADAMS.
- (2) Paper records used as the source to create ADAMS
  Tagged Image File Format
  (TIFF) files and ASCII files.
- (3) Copies of electronic files stored outside of ADAMS used to create ADAMS Portable Document Format (PDF) files, TIFF files, and ASCII files, and to create paper records for hard copy maintenance, that remain with staff members and ADAMS intake and capture processing staff after creation of the ADAMS and paper records. Included are electronic records created internally using office automation tools (e.g., WordPerfect, Lotus,

#### **AUTHORIZED DISPOSITION**

Permanent. Cut off files when case is closed. Hold in field office for 6 months then forward to headquarters for processing. Headquarters will combine with its files, hold for 2 years, then retire to Washington National Record Center (WNRC). NRC will select cases for archival retention before retiring records to WNRC, using criteria listed above. The cases selected between 1984 and 1992 will be transferred to NARA in 2012 and subsequent 10 year blocks will be transferred at 10 year intervals thereafter.

NARA-APPROVED <u>CITATION</u>

Supersedes NC1-431-83-6 Item 2.a. to clarify headquarters and field guidance. Also changes initial transfer date from year 2002 to year 2012.

(NUREG-0910 NRCS 2-17.2.a)

Return to Official File Station for maintenance and disposition in accordance with item a., above, after ADAMS processing.

Destroy after creating ADAMS electronic record and creating paper record for filing at Official File Station, or when no longer needed for reference or updating, whichever is later.

TBD

**TBD** 

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ITEM NO.

#### **DESCRIPTION OF RECORDS**

AUTHORIZED DISPOSITION

NARA-APPROVED CITATION

InForms, e-mail, etc.) and electronic records received from outside the agency.

(4) ADAMS PDF and TIFF files.

PERMANENT. Cut-off electronic files when case is closed. Transfer to the National Archives 2 years after cut-off. At the time of transfer, NARA and NRC will determine medium and format in which records will be transferred as well as their arrangement. Every effort will be made to transfer records electronically in accordance with the standards for permanent electronic records contained in 36 CFR 1228.270 or standards applicable at the time. If transfer in an electronic medium is not feasible, prior to transfer, with NARA concurrence, records will be converted to paper or to microform.

(5) ADAMS document profiles and ADAMS document packages created for the management, search, and retrieval of the PDF files and TIFF files, and ADAMS data related to digital signatures, and data that evidence final NRC management and staff concurrences in documents that are linked to and considered part of the official records.

 Other official case files created by field investigators and maintained at regional field offices that do not meet the criteria for permanent retention.

Rermanent. Cut off electronic files when case is closed. Convert image files to paper, microform, magnetic tape, 3480 class tape cartridge, or any other medium and format that meets NARA regulations found in Subchapter B of 36 CFR Chapter XII and transfer to NARA 2 years after cutoff. Prior to transfer, NARA and NRC will determine the medium and format in which the records will be transferred. Destroy NRC copy in 10 year blocks after 20 years coinciding with the transfer of the paper case files.

Permanent Cut off electronic files with related PDF files and TIFF files. Transfer to NARA according to regulations found in 36 CFR § 1228.188 when the PDF files and TIFF files are transferred. Destroy NRC copy in 10 year blocks after 20 years coinciding with the transfer of the paper case files.

Note: Case files for investigations begun prior to 04/01/2000 will be maintained in hard copy only, including related documents created or

**TBD** 

#### ITEM <u>NO.</u>

#### **DESCRIPTION OF RECORDS**

- (1) Paper records for investigations begun before 04/01/2000 and those created after that date. Note that OI headquarters will discontinue creating paper records that duplicate records placed in ADAMS by OI regional office investigators and rely on ADAMS for access. Note also that only case files for investigations begun on or after 04/01/2000 will be maintained in ADAMS.
- (2) Paper records used as the source to create ADAMS Tagged Image File Format (TIFF) files and ASCII files.
- (3) Copies of electronic files stored outside of ADAMS used to create ADAMS Portable Document Format (PDF) files, TIFF files, and to create paper records for hard copy maintenance, that remain with staff members and ADAMS intake and capture processing staff after creation of the ADAMS and paper records. Included are electronic records created internally using office automation tools (e.g.,

#### **AUTHORIZED DISPOSITION**

received after 04/01/2000. Case files for investigations begun on or after 04/01/2000 will be maintained in both ADAMS and hard copy.

Cut off files when case is closed. Hold in field office for 6 months then forward to headquarters for processing. Headquarters will combine with its files, hold for 2 years, then retire to Washington National Record Center (WNRC) through OCIO. Destroy 20 years after cases are closed.

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APPROVED CITATION

Supersedes NC1-431-83-6 Item 2.b to clarify headquarters and field guidance. Also to increase retention from 10 years to 20 years.

(NUREG-0910 NRCS 2-17.2.b)

Return to Official File Station for maintenance and disposition in accordance with item a., above, after ADAMS processing.

Destroy after creating ADAMS electronic record and creating paper record for filing at Official File Station, or when no longer needed for reference or updating, whichever is later.

TBD

TBD

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### ITEM

#### NO. DESCRIPTION OF RECORDS

**AUTHORIZED DISPOSITION** 

NARA-APPROVED <u>CITATION</u>

WordPerfect, Lotus, InForms, e-mail, etc.) and electronic records received from outside the agency.

(4) ADAMS PDF files and their linked native application source files; ADAMS TIFF files and their linked ASSII files; ADAMS document profiles and document packages; and ADAMS digital signature and concurrence data.

Cut off electronic files when case is closed. Destroy 20 years after cutoff.

TBD

c. Headquarter's copy.

(1) Paper records for investigations begun before 04/01/2000. Note that OI headquarters will discontinue creating paper records that duplicate records placed in ADAMS by OI regional office investigators and rely on ADAMS for access.

Cut off files when case is a closed. Combine with the field office files and process in accordance with items a. and b. above.

Supersedes NC1-431-83-6 Item 2.c to transfer files to headquarters for processing.

(NUREG-0910 NRCS 2-17.2.c)

3. <u>Investigation Procedures Manual and Investigative Guidance</u>

OI official record set of formal issuances maintained at NRC Headquarters.

a. Paper records created before 04/01/2000.

<u>Permanent.</u> Transfer a complete set to NARA in 2002 and at 10 year intervals thereafter.

Supersedes NC1-431-83-6 Item 3 to revise series title.

(NUREG-0910 NRCS 2-17.3) ITEM
NO. DESCRIPTION OF RECORDS

- b. Paper records used as the source to create ADAMS Tagged Image File Format (TIFF) files and ASCII files.
- c. Copies of electronic files stored outside of ADAMS used to create ADAMS Portable Document Format (PDF) files, TIFF files, and ASCII files that remain with staff members and OCIO processing staff after creation of the ADAMS records. Included are electronic records created internally using office automation tools (e.g., WordPerfect, Lotus, InForms, e-mail, etc.) and electronic records received from outside the agency.
- d. ADAMS PDF and TIFF files.

PERMANENT. Cut-off electronic files at close of fiscal year. when NRC involvement terminates. Transfer to the National Archives 2 years after cut-off. At the time of transfer, NARA and NRC will determine medium and format in which records will be transferred as well as their arrangement. Every effort will be made to transfer records electronically in accordance with the standards for permanent electronic records contained in 36 CFR 1228.270 or standards applicable at the time. If transfer in an electronic medium is not feasible, prior to transfer, with NARA concurrence, records will be converted to paper or to microform. Destroy NRC copy 8 years after transferring records to NARA.

e. ADAMS document profiles and ADAMS document packages created for the management, search, and retrieval of the PDF files and TIFF files, and

**AUTHORIZED DISPOSITION** 

Destroy paper documents 2 months after creation of ADAMS electronic record.

Destroy after creation of ADAMS electronic record or when no longer needed for reference or updating, whichever is later.

TBD

TBD

NARA-

**APPROVED** 

**CITATION** 

Permanent. Cut off electronic files at close of fiscal year. Convert image files to paper, microform, magnetic tape, 3480 class tape cartridge, or any other medium and format that meets NARA regulations found in Subchapter B of 36 CFR Chapter XII and transfer to NARA 2 years after cutoff. Prior to transfer, NARA and NRC will determine the medium and format in which the records will be transferred. Destroy NRC copy 8 years after transferring record to NARA

Permanent. Cut off electronic files with related PDF files and TIFF files. Transfer to NARA according to regulations found in 36 CFR § 1228.188 when the

TBD

# ITEM NO. DESCRIPTION OF RECORDS

ADAMS data related to digital signatures, and data that evidence final NRC management and staff concurrences in documents that are linked to and considered part of the official records.

#### **AUTHORIZED DISPOSITION**

NARA-APPROVED <u>CITATION</u>

PDF files and TIFF files are transferred. Destroy NRC copy 8 years after transferring record to NARA.

#### 4. Legal Interpretations

Legal interpretations provided by the Office of General Counsel, Regional Counsels, Department of Labor, and other sources providing guidance or establishing precedents for investigations, and other legal matters pertinent to OI functions.

a. Paper records created before 04/01/2000.

Permanent. Cut off of files on TBD 12/31/99. Retire to Washington National Records Center thorough OCIO 10 years after cutoff. Transfer to NARA 20

years after cutoff.

 Paper records used as the source to create ADAMS Tagged Image File Format (TIFF) files and ASCII files. Destroy paper documents 2 months after creation of ADAMS electronic record.

TBD

3/31/2000

c. Copies of electronic files stored outside of ADAMS used to create ADAMS Portable
Document Format (PDF) files,
TIFF files, and ASCII files that remain with staff members and
OCIO processing staff after creation of the ADAMS records.
Included are electronic records created internally using office automation tools (e.g.,
WordPerfect, Lotus, InForms, e-mail, etc.) and electronic

Destroy after creation of ADAMS electronic record or when no longer needed for reference or updating, whichever is later.

ITEM NO.

#### . DESCRIPTION OF RECORDS

NARA-APPROVED <u>AUTHORIZED DISPOSITION</u> <u>CITATION</u>

records received from outside the agency.

d. ADAMS PDF and TIFF files.

PERMANENT. Cut-off electronic files at close of fiscal year. Transfer to the National Archives 2 years after cut-off. At the time of transfer, NARA and NRC will determine medium and format in which records will be transferred as well as their arrangement. Every effort will be made to transfer records electronically in accordance with the standards for permanent electronic records contained in 36 CFR 1228.270 or standards applicable at the time. If transfer in an electronic medium is not feasible, prior to transfer, with NARA concurrence, records will be converted to paper or to microform. Destroy NRC copyl8 years after transferring records to NARA.

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Rermanent. Cut off electronic files at close of fiscal year. Convert image files to paper, microform, magnetic tape, 3480 class tapacartridge, or any other medium and format that meets NARA regulations found in Subchapter B ox 36 CFR Chapter XII and transfer to NARA 2 years after cutoff. Prior to transfer, NARA and NRC will determine the medium and format in which records will be transferred. Destroy NRC copy 18 years after transferring record to NARA.

Permanent. Cut off electronic files with related PDF files and TIFF files. Transfer to NARA according to regulations found in 36 CFR § 1228.188 when the PDF files and TIFF files are transferred. Destroy NRC copy 18 years after transferring record to NARA.

**TBD**