REQUEST FOR RECONSTONAUTHORITY L.vE BLANK (NARA use only) 10: NATIONAL ARCHAPS and RECORDS ADMINISTRATION (NIR) JOB NUMBER WASHINGTON, DC 20408 MAID SUBDIVISION 11: FROM (degency or stabilizations) DATE RECEIVED 22: MAJOR SUBDIVISION DATE RECEIVED 3. MINOR SUBDIVISION DATE RECEIVED 3. MINOR SUBDIVISION In according with the provisions of 4 4. NAME OF PERSON WITH WHOM TO CONFER 5 3. MINOR SUBDIVISION In according with the provisions of 4 6. AGENCY CERTIFICATION Intereby contribution that interim the provisions of 1 1. Intereby contribution Intereby contribution that interim the provisions of 1 6. AGENCY CERTIFICATION Intereby contribution 1. Bredby contribution Intereby contribution 6. AGENCY CERTIFICATION Intereby contribution 1. Bredby contribution Intereby contribution 6. AGENCY CERTIFICATION Intereby contribution 1. Bredby contribution Intereby contribution 2. AGENCY CERTIFICATION Intereby contribution 3. Bred Records and the provisions of Titles of the GAO Manual for Guidance of Federal Accounting Office, under the provisions of Titles of the GAO Manual for Guidance of Federal Accounting Office, under the provestion of Supersectified; and that metanocitic in the descretation of the seconds officer 7. Tribution Is attached; or<		()			<u> </u>	- 1 5		
WASHINGTON, DC 20408 M1 - 431 - 01-1 MALOR SUBDIVISION DATE RECEIVED 2. MAUOR SUBDIVISION DATE RECEIVED 3. MINOR SUBDIVISION In adordands mit days were for execution and and an adding amendmany in adordands mit days were for execution and and an adding amendmany in adordands on reduced of the second of the second or were for execution and the second of the second or were for execution and the second of the second or were for execution and the second of the second or were for execution and the second or were for the second or the second or t	REQU	EST FOR RECONNS DISPOSITION		RITY	۔ پر ال	E BLANK (NAF	A use only)	
U.S. Nuclear Regulatory Commission ///20/03 2. MAJOR SUBDIVISION Office of Investigations NOTIFICATION TO AGENCY 3. MINOR SUBDIVISION In accordance with the provisions of 4 U S C S003 the dispositor negress. U S C S003 the dispositor negress. 4. NAME OF PERSON WITH WHOM TO CONFER John Harris 5 TELEPHONE In accordance with the provisions of 4 U S C S003 the dispositor negress. 6. AGENCY CERTIFICATION John Harris 201-415-5885 In accordance with the provisions of 4 U S C S003 the dispositor of the uspositor 6. AGENCY CERTIFICATION Interest part may be on the dispositor of the usposition of its records and that the records proposed for disposation of the disposition of its records and that the records proposed for disposation of the disposition of its records and that the records proposed for disposation of the disposition of its records and that the records proposed for disposation of the disposition of its records and that the records proposed for disposation of the disposition of its records and that the records proposed for disposation of Title8 of the GAO Manual for Guidance of Federal Agencies. 20 IT SIGNATURE OF AGENCY REPRESENTATIVE Window Marking and the reports and the records in paper form and not in the Agency wide Documents Access and Management System due to their sensitivity. 9 GR8 OR NO TITLE 7.20 8 8 DESCRIPTION OF ITEM AND PROPOSED DISPOSITION 9 GR8 OR NO TITLE 9 OARC OR NO ACTION 1 Investigations. NRC will maintain the records in paper form and not								
Office of Investigations In accordance with the provisions of 44 3. MINOR SUBDIVISION Is a accordance with the provisions of 44 4. NAME OF PERSON WITH WHOM TO CONFER 5 John Harris 301-415-5885 301-415-5885 Date 6. AGENCY CERTIFICATION In accordance with the provisions of 44 7. Agency Certification Date 6. AGENCY CERTIFICATION Date 1 In ectody controls of the act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached 2 page(s) are not now needed for the business of this agency or will not be needed after the retention penode specified; and that written concurrence from the General Accounting Office, under the provisions of Title8 of the GAO Manual for Guidance of Federal Agencies, Ø is not required; Is attached; or In has been requested. DATE SIGNATURE OF AGENCY REPRESENTATIVE ITTLE If Model Stepsetion of remain the cords in page retorm and not in the Agency wide Numarita the records in page retorm and not in the Agency wide with the cords within the Office of ratio of the second solution of the records within the Office of ratio of the second solution of the records in page retorm and not in the Agency wide Decuments Access and Management System due to their senanticity. 1 Investigation. INC contractors, or vendon SC and records in pager form and not in the Agency wide attention from the news media;<						RECEIVED		
4 NAME OF PERSON WITH WHOM TO CONFER 5 TELEPHONE 4 NAME OF PERSON WITH WHOM TO CONFER 5 TELEPHONE 301-415-5885 301-415-5885 approved or whithdrawn'in column 10 6 AGENCY CERTIFICATION hereby certify that 1 am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached 2: page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified; and that writhen concurrence from the General Accounting Office, under the provisions of Title8 of the GAO Manual for Guidance of Federal Agencies, Image: SignATURE OF AGENCY REPRESENTATIVE Agencias, Signation of its strengency or will not be needed after the retention periods specified; and that writhen concurrence from the General Accounting Office, under the provisions of Title8 of the GAO Manual for Guidance of Federal Agencies, Image: SignATURE OF AGENCY REPRESENTATIVE Agencias, Signation of its records of the Nuclear Regulatory Commission (NEC) that pertain to Investigation Case File records within the Office of Investigations, NRC will maintain the records in paper form and not in the Agencywide Documents Access and Management System due to their sensitivity. 9 GR SOR 10 ACTION 1 Investigation Case Files Official case files documenting investigators of licensees, applicants, contractors, or vendors and any wrongdoing by individuals ofter than NRC employees and NRC contractors. Files also include statements, miterivers, support information relaiting tob the investigation, filen agencias, and transf	2. MAJOR SUBDIVISION					JOTIFICATION TO AGENCY		
4 NAME OF PERSON WITH WHOM TO CONFER John Harris 5 TELEPHONE 301-415-5885 -Inters hain may be marked viscoution not approved or whotharem in column 10 6 AGENCY CERTIFICATION DATE breached	3. MIN	OR SUBDIVISION	USC 330					
ONTE DATE Addiver the unified states in the second states of the second s	4 NAME OF PERSON WITH WHOM TO CONFER 5 TELEPHONE appr				-items that r	ems that may be marked "disposition not		
I hereby certify that I am authorized to act for this agency in matters pertaining to the ¹ algosolition of its records and that the records proposed for disposal on the attached 2 page(s) are not now needed for the business of this agency or will not be needed after the retention pends specified; and that writter concurrence from the General Accounting Office, under the provisions of Title8 of the GAO Manual for Guidance of Federal Agencies, Is not required;		John Harris	301-415-5885					
If My/m Denda Jo. Shelton, Bounded 12/19/00 NRC Records Officer 7. TTEM NO a DESCRIPTION OF ITEM AND PROPOSED DISPOSITION 9 GRS OR SUPERSECED JOB CITATION 10 ACTION SUPERSECED JOB CITATION 7. TTEM NO This schedule covers text records of the Nuclear Regulatory Commission (NRC) that pertain to Investigation Case File records within the Office of Investigations. NRC will maintain the records in paper form and not in the Agencywide Documents Access and Management System due to their sensitivity. Investigation Case Files 1 Investigation Case Files Official case files documenting investigations of licensees, applicants, contractors, or vendors and any wrongdoing by individuals other than NRC employees and NRC contractors. Files include statements, interviews, support information relating to the investigation, interim reports and the reports of investigation. Files also include evidence files and chain of custody records. Records are created in the regional field offices and transferred to headquarters for final processing. Supersedes NC1-431- 83-6, Item 2. a to clarify head- quarters and field • Was of significant interest to Congress, the White House, or NRC Commissioners; Guidance. Also changes initual Also changes initual • Was involved in extensive litigation; Head- quarters and field Changes initual transfer date from year 2002 to year 2002 to year	I hereby and that of this a General Agencie	certify that I am authorized to act for this age the records proposed for disposal on the att gency or will not be needed after the retentio Accounting Office, under the provisions of T s,	ached 2 pa in periods sp itle8 of the G	age(s) are ecified; a	e not now nd that wr ual for G ui	needed for the l itten concurrend dance of Feder	ousiness ce from the	
TTEM NO SUPERSEDED JOB CITATION TAKEN (NARA USE ONLY) This schedule covers text records of the Nuclear Regulatory Commission (NRC) that pertan to Investigation Case File records within the Office of Investigations. NRC will maintain the records in paper form and not in the Agencywide Documents Access and Management System due to their sensitivity. Investigation Case Files Investigation Case Files 1 Investigation Case Files Official case files documenting investigations of licensees, applicants, contractors, or vendors and any wrongdoing by individuals other than NRC employees and NRC contractors. Files include extements, interviews, support information relating to the investigation, interim reports and the reports of investigation. Files also include evidence files and chain of custody records. Records are created in the regional field offices and transferred to headquarters for final processing. Supersedes NC1-431- 83-6, Item 2.a to clarify head- quarters and field a. Official case files created by field investigators and maintained at regional field offices that are selected by NRC because they meet one or more of the following criteria: - Received wide attention from the news media; - Was involved in extensive litigation; - Was involved in extensive litigation; - Was involved in a major policy discussion and/or change in the Office of Investigations or in the Nuclear Regulatory Commission as a whole; and Supersedes NC1-431- 83-0, Item 2.a to clarify head- quarters and field					C Records	Officer		
(NRC) that pertain to Investigation Case File records within the Office of Investigations. NRC will maintain the records in paper form and not in the Agencywide Documents Access and Management System due to their sensitivity. 1 Investigation Case Files Official case files documenting investigations of licensees, applicants, contractors, or vendors and any wrongdoing by individuals other than NRC employees and NRC contractors. Files include statements, interviews, support information relating to the investigation, interim reports and the reports of investigation. Files also include evidence files and chain of custody records. Records are created in the regional field offices and transferred to headquarters for final processing. Supersedes a. Official case files created by field investigators and maintained at regional field offices that are selected by NRC because they meet one or more of the following criteria: Supersedes Beceived wide attention from the news media; 2.a to clarify head-quarters and field guidance. Also Was of significant interest to Congress, the White House, or NRC Commissioners; Was involved in a major policy discussion and/or change in the Office of Investigations or in the Nuclear Regulatory Commission as a whole; and Changes initial	ITEM	B DESCRIPTION OF ITEM AND PROPOSED DISPOSI	TION			SUPERSEDED	TAKEN (NARA	
regional field offices that are selected by NRC because they meet one or more of the following criteria: - Received wide attention from the news media; - Was of significant interest to Congress, the White House, or NRC Commissioners; - Was involved in extensive litigation; - Was involved in extensive litigation; - Was involved in a major policy discussion and/or change in the Office of Investigations or in the Nuclear Regulatory Commission as a whole; and	1 <u>1</u>	(NRC) that pertain to Investigation Case File Investigations. NRC will maintain the records Agencywide Documents Access and Manage sensitivity. Investigation Case Files Official case files documenting investigations contractors, or vendors and any wrongdoing NRC employees and NRC contractors. Files interviews, support information relating to the and the reports of investigation. Files also inc of custody records. Records are created in the transferred to headquarters for final processing	ice of ot in the their nts, an reports nd chain as and	Supercodes	· ·			
 Was of significant interest to Congress, the White House, or NRC Commissioners; Was involved in extensive litigation; Was involved in extensive litigation; Was involved in a major policy discussion and/or change in the Office of Investigations or in the Nuclear Regulatory Commission as a whole; and 		regional field offices that are selected by or more of the following criteria:	NC1-431- 83-6, Item 2.a to clarify					
 Was involved in extensive litigation; Was involved in a major policy discussion and/or change in the Office of Investigations or in the Nuclear Regulatory Commission as a whole; and Changes initial transfer date from year 2002 to year 		- Was of significant interest to Congress,	field guidance.					
Ce: Agency, NWMD, NR		- Was involved in a major policy discussi Office of Investigations or in the Nuclea a whole; and		changes initial transfer date from year 2002 to year				
	l_	Ce: Agency, NWM	D, n	<u>R</u>				

•

•

- --

.

/

7. ITE M NO.	8. DESCRIPTION OF ITEM AND PROPOSED DISPOSITION	9. GRS OR SUPERSEDED JOB CITATION	10. ACTION TAKEN (NARA USE ONLY)
	 Prompted significant changes in designs or procedures by or relating to the nuclear industry. <u>Permanent.</u> Cut off files when case is closed. Hold in field office for 6 months then forward to headquarters for processing. Headquarters will combine with its files, hold for 2 years, then retire to Washington National Record Center (WNRC). NRC will select cases for archival retention before retiring records to WNRC, using criteria listed above. The cases selected between 1984 and 1992 will be transferred to NARA in 2012 and subsequent 10 year blocks will be transferred at 10 year intervals thereafter. 	(NUREG-0910 NRCS 2-17.2.a)	
-	 b. Other official case files created by field investigators and maintained at regional field offices that do not meet the criteria for permanent retention. Cut off files when case is closed Hold in field office for 6 months then forward to headquarters for processing. Headquarters will combine with its files, hold for 2 years, then retire to Washington National.Record_Center (W.NRC) through OCIO. Destroy 20 years after cases are closed. c. Headquarter's copy. Cut off files when sase is closed. Combine with the field office files and process in accordance with items a and b, above. 	Supersedes NC1-431-83-6 Item 2.b to clarify head- quarters and field guidance. Also to increase retention from 10 years to 20 years. (NUREG-0910 NRCS 2-17.2.b) Supersedes NC1-431-83-6, Item 2.c to clarify that headquarter's files are combined with the files transferred from	
	 d. Electronic records created or received used as the source to create paper records that are filed in the investigation files. Included are electronic records created internally using office automation tools (e.g., WordPerfect, Lotus, InForms, E-mail, etc.) and electronic records received internally and from outside the agency. Create paper record of the electronic document on the day created or received or as soon as practical and file in appropriate official files. Destroy electronic version immediately after creating official record copy or when no longer needed for 	the field. (NUREG-0910 NRCS 2-17.2.b)	

9	DESCRIPTION OF ITEM AND PROPOSED DISPOSITION	.9. GRS OR	10 A0
U		SUPERSEDED JOB CITATION	TAKE (NAR) ONLY
	 Prompted significant changes in designs or procedures by or relating to the nuclear industry. 	(NUREG-0910 NRCS 2-17.2.a)	_
	Permanent. Cut off files when case is closed. Hold in field office for 6 months then forward to headquarters for processing. Headquarters will combine with its files, hold for 2 years, then retire to Washington National Record Center (WNRC). NRC will select cases for archival retention before retiring records to WNRC, using criteria listed above. The cases selected between 1984 and 1992 will be transferred to NARA in 2012 and subsequent 10 year blocks will be transferred at 10 year intervals thereafter.		
b.	Other official case files created by field investigators and maintained at regional field offices that do not meet the criteria for permanent retention. Cut off files when case is closed. Hold in field office for 6 months then forward to headquarters for processing. Headquarters will combine with its files, hold for 2 years, then retire to Washington National Record Center (WNRC) through OCIO Destroy 20 years after cases are closed.	Supersedes NC1-431-83-6 Item 2.b to clarify head- quarters and field guidance. Also to increase retention from 10 years to 20 years.	
		(NUREG-0910 NRCS 2-17.2.b)	
c.	Headquarter's copy. Cut off files when case is closed. Combine with the field office files and process in accordance with items a and b, above.	Supersedes NC1-431-83-6, Item 2.c to clarify that headquarter's tiles are combined with the files transferred from the field. (NUREG-0910 NRCS 2-17.2.b)	
		Ļ	

÷