

NOTICE - SOME ITEMS SUPERSEDED OR OBSOLETE

Schedule Number: N1-431-92-001

Some items in this schedule are either obsolete or have been superseded by new NARA approved records schedules. This information is accurate as of: 1/31/2024

ACTIVE ITEMS

These items, unless subsequently superseded, may be used by the agency to disposition records. It is the responsibility of the user to verify the items are still active.

Item 1/A is active.

SUPERSEDED AND OBSOLETE ITEMS

The remaining items on this schedule may no longer be used to disposition records. They are superseded, obsolete, filing instructions, non-records, or were lined off and not approved at the time of scheduling. References to more recent schedules are provided below as a courtesy. Some items listed here may have been previously annotated on the schedule itself.

Item 1/B was superseded by N1-431-00-020 / 11/B/1.

Item 1/C was superseded by N1-431-00-020 / 11/C/1.

Item 1/D was superseded by N1-431-00-020 / 11/E/1.

ITEM

NO. DESCRIPTION OF RECORDSAUTHORIZED DISPOSITION1. Inspection and Enforcement Case Files

Files documenting the inspection activity and enforcement actions relating to power and non-power reactors, byproduct, source and special nuclear material licenses, including copy of license, inspection and follow-up reports, inspectors' notes, enforcement action documents, final certification that premises are free of radiological contamination, and related documentation. Decommissioning records for this series are permanent and require maintenance as a subset of each file as specified in SECY-90-316. Reference item c. below for authorized disposition. Post 1983 regional inspection and enforcement documentation for byproduct, source, and special nuclear material, are retained with the licensing case file and follow the authorized disposition for material licensing case files (N1-431-92-3, Items 1.b, c, and d.). For the purposes of applying the authorized disposition instructions, a withdrawn or denied license application is considered terminated on the date of withdrawal or denial.

a. Regional inspection and enforcement case files for material licenses terminated 1965 and prior.

Permanent. Transfer to NARA at end of FY 1996.

b. Official case files including the decommissioning segment, except for those described in item a. above, located at Regional offices determined by the NRC to have exceptional value because of the historical significance of their contents or their uniqueness. Included are those inspection and enforcement case files that relate to the docket numbers retained permanently under NRC Schedule N1-431-92-2, Item 1.a, and N1-431-92-3. Item 1.b., i.e., those files that:

Permanent. Retain current fiscal year and last four years in Regional file room. Retire, by facility, to WNRC through IRM annually if the records consist of at least one cubic foot and are over five years old. Closing date is the termination date following completion of decommissioning procedure (which is the license date plus 40 years). Transfer to NARA 20 years after termination of license.

Changes per discussion with John Faibisy, NARA.

John Harris 2/29/96

ITEM

NO. DESCRIPTION OF RECORDSAUTHORIZED DISPOSITION

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| <ol style="list-style-type: none"> 1. result in judicial decisions of legislation that affect the functions and activities of NRC, 2. result in significant changes in regulatory activities and procedures, or 3. were the subject of Congressional investigation or were of great public interest. <p>c. Decommissioning records segment of disposable inspection and enforcement case files relating to power and non-power reactors located at Regional offices consisting of Regional records relating to the following types of documents:</p> <ol style="list-style-type: none"> 1. Application for Possession-Only license. 2. Possession-Only license amendment and any associated technical specifications (TS). 3. Decommissioning or Dismantling Plan and associated TS changes. 4. Requests for additional information on applications for Possession-Only licenses, Decommissioning and Dismantling Plans, and responses from licensee. 5. Federal Register Notices for applications for Possession-Only licenses and Decommissioning and Dismantling Plans. 6. Decommissioning and Dismantling Orders. 7. Final site surveys by licensee. | <p><u>Permanent.</u> Retain current fiscal year and last four years in Regional file room. Retire, by facility, to WNRC through IRM annually if the records consist of at least one cubic foot and are over five years old. Closing date is termination date following completion of decommissioning procedure (which is the license date plus 40 years). Transfer to NARA 20 years after termination of license.</p> |
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Changes per discussion with John Fairbisy, NARA. JAF 2/29/96

| <u>ITEM NO.</u> | <u>DESCRIPTION OF RECORDS</u> | <u>AUTHORIZED DISPOSITION</u> |
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| 8. | Final site surveys by regional inspectors. | |
| 9. | All license amendments and associated TS changes following the initial application by the licensee for Possession-Only license. | |
| 10. | All documents related to financial assurance for decommission, including decommissioning funding plans, certifications of financial assurance for decommissioning, related cost estimates, and records of funding methods. | |
| 11. | Records of spills and other unusual occurrences involving the spread of contamination in and around the facility, equipment, or site. | |
| 12. | License termination orders and associated safety evaluations. | |
| 13. | As-built drawings and modifications of structures and equipment in restricted areas where radioactive materials were used or stored and locations of possible inaccessible contamination. | |
| 14. | Any additional documents which refer to decommissioning, decontamination, or termination of the license, including interim or partial decommissioning of specific facilities at any time during the history of licensed operations. | |
| 15. | Any enforcement documents related to decommissioning and decontamination activities. | |

Changes per discussion with John Frabieu NARA - 1-2/2/29/96

ITEM

NO. DESCRIPTION OF RECORDSAUTHORIZED DISPOSITION

Decommissioning records segment of disposable inspection and enforcement case files relating to post 1983 Regional Fuel Facilities, Transportation, Byproduct, source, and Special Nuclear Material licenses are filed with the related material licensing case files. Reference the records schedules for the material licensing case files contained in N1-431-92-3, items 1.b, c, and d, for the material licensing decommissioning records types and their authorized disposition.

- d. Other case files in Regional offices that do not meet criteria for permanent retention, excluding the decommissioning segment.

Retain current fiscal year and last four years in Regional file room. Retire, by facility, to WNRC through IRM annually if the records consist of at least one cubic foot and are over five years old. Closing date is the termination date following completion of decommissioning procedure (which is the same as license date plus 40 years). Destroy 20 years after termination of license.

- ~~e. All other copies in NMSS, NRR, and Regional offices.~~

Nonrecord. Destroy when 1 year old or earlier if no longer needed.

Changes per discussion with John Fairbry, NARA, JAF 2/29/96