INACTIVE - ALL ITEMS SUPERSEDED OR OBSOLETE

Schedule Number: N1-465-95-001

All items in this schedule are inactive. Items are either obsolete or have been superseded by newer NARA approved records schedules.

Description:

This schedule is superseded by N1-465-09-001.

Date Reported: 08/27/2020
REQUEST FOR RECORDS DISPOSITION AUTHORITY

(See Instructions on reverse)

TO: NATIONAL ARCHIVES and RECORDS ADMINISTRATION (NARA)
WASHINGTON, DC 20408

1. FROM (Agency or establishment)
Pension Benefit Guaranty Corporation (PBGC)

2. MAJOR SUBDIVISION
Corporate Finance and Negotiations Dept.

3. MINOR SUBDIVISION

4. NAME OF PERSON WITH WHOM TO CONFER
Bruce I. Campbell

5. TELEPHONE
(202) 326-4150

6. AGENCY CERTIFICATION
I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached 1 page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified; and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies,

X is not required; □ is attached; or □ has been requested.

DATE
Jun 05
1995

SIGNATURE OF AGENCY REPRESENTATIVE
Janet A. Smith

TITLE
Director, Facilities and Services Department - Records Management Officer

7. ITEM NO.
8. DESCRIPTION OF ITEM AND PROPOSED DISPOSITION

1. CORPORATE FINANCE AND NEGOTIATIONS DEPARTMENT

Active Negotiation Case Files. Bankruptcy documents, relevant SEC reports, summary of settlement offer, financial analysis studies, chronology of events summary, correspondence, meeting notes, and other documents pertaining to the processing of cases involving companies with underfunded pension plans of $25 million or greater where a significant event has occurred (bankruptcy, minimum funding waiver, etc.).

Transfer to FRC 30 days after case is closed. Destroy 15 years after case is closed.

9. GRS OR SUPERSEDED JOB CITATION
new item

10. ACTION TAKEN (NARA USE ONLY)

115-109
NSN 7540-00-634-4064
PREVIOUS EDITION NOT USABLE

STANDARD FORM 115 (REV. 3-91)
Prescribed by NARA
36 CFR 1228
<table>
<thead>
<tr>
<th>ITEM NO.</th>
<th>8. DESCRIPTION OF ITEM AND PROPOSED DISPOSITION</th>
</tr>
</thead>
<tbody>
<tr>
<td>2.</td>
<td><strong>High-Level Monitoring Case Files.</strong> Financial statements, 5500 Forms, actuarial valuation reports, standard format package and pension information sheets, SEC Reports, and other documents pertaining to cases involving the financial transactions of companies (underfunded pension plans of $25 million or greater) that pose a significant risk exposure to the Corporation. Break files every five years. Destroy inactive files in 5 year blocks when 10 years old.</td>
</tr>
<tr>
<td>3.</td>
<td><strong>Low-Level Monitoring Case Files.</strong> Financial summaries, industry research, newswire releases, Form 1 Schedule As, and other documents pertaining to the processing of cases involving companies with underfunded pension plans of $25 million or greater. Break files every three years. Destroy inactive files in 3 year blocks when 6 years old.</td>
</tr>
<tr>
<td>4.</td>
<td><strong>Actuarial Monitoring Files.</strong> Actuarial filings and reports (PBGC forms), actuarial calculation (contractor and in-house) sheets, correspondence, and other documents pertaining to the processing of high-level monitoring and active cases. Transfer to FRC 30 days after case is closed. Destroy 15 years after case is closed.</td>
</tr>
</tbody>
</table>