

# NOTICE - SOME ITEMS SUPERSEDED OR OBSOLETE

Schedule Number: N1-470-09-003

Some items in this schedule are either obsolete or have been superseded by new NARA approved records schedules. This information is accurate as of: 4/22/2021

## **ACTIVE ITEMS**

These items, unless subsequently superseded, may be used by the agency to disposition records. It is the responsibility of the user to verify the items are still active.

Item 3, Chronological Files

Item 4, Administrative Meeting Files

Item 5, Case Files Pending Before ALJs

Item 6, Subject Files

Item 7, Chronological Files

## **SUPERSEDED AND OBSOLETE ITEMS**

The remaining items on this schedule may no longer be used to disposition records. They are superseded, obsolete, filing instructions, non-records, or were lined off and not approved at the time of scheduling. References to more recent schedules are provided below as a courtesy. Some items listed here may have been previously annotated on the schedule itself.

DAA-0470-2019-0002-0001 supersedes item 1.

DAA-0470-2019-0002-0002 supersedes item 2.

NOTICE - SOME ITEMS SUPERSEDED OR OBSOLETE

|  |   |   |  |
|--|---|---|--|
| <b>REQUEST FOR RECORDS DISPOSITION AUTHORITY</b>   |   | LEAVE BLANK (NARA use only)   |  |
| To NATIONAL ARCHIVES & RECORDS ADMINISTRATION<br>8601 ADELPHI ROAD, COLLEGE PARK, MD 20740-6001  |   | JOB NUMBER <i>N1-470-09-3</i>   |  |
| 1 FROM (Agency or establishment)<br><i>Federal Mine Safety and Health Review Commission</i>  |   | Date Received<br><i>August 6, 2009</i>  |  |
| 2 MAJOR SUB DIVISION<br><i>Office of Administrative Law Judges</i>   |   | NOTIFICATION TO AGENCY  |  |
| 3 MINOR SUBDIVISION  |   | In accordance with the provisions of 44 U S C 3303a, the disposition request, including amendments is approved except for items that may be marked "disposition not approved" or "withdrawn" in column 10 |  |
| 4 NAME OF PERSON WITH WHOM TO CONFER<br><i>Sarah Stewart</i>   | 5 TELEPHONE<br><i>202-434-9957</i>                              | DATE<br><i>1/3/11</i>   | ARCHIVIST OF THE UNITED STATES<br><i>[Signature]</i> |
| <b>6 AGENCY CERTIFICATION</b><br>I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached <u>3</u> page(s) are not needed now for the business of this agency or will not be needed after the retention periods specified, and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies,<br><input checked="" type="checkbox"/> is not required <input type="checkbox"/> is attached, or <input type="checkbox"/> has been requested |   |   |  |
| DATE<br><i>7-22-09</i>   | SIGNATURE OF AGENCY REPRESENTATIVE<br><i>Robert [Signature]</i> |   | TITLE<br>Chief Administrative Law Judge              |
| 7 ITEM NO  | 8 DESCRIPTION OF ITEM AND PROPOSED DISPOSITION                  | 9 GRS OR SUPERSEDED JOB CITATION  | 10 ACTION TAKEN (NARA USE ONLY)                      |
|  | See attached sheets   | N1-470-98-1   |  |

# OFFICE OF ADMINISTRATIVE LAW JUDGES

## CHIEF ADMINISTRATIVE LAW JUDGE

### Item 1 Case Files Pending Before CHALJ

(1)

CHALJ's files, Extra copies of materials in cases pending before judge, including copies of pleadings, orders, decisions, transcripts, and exhibits as well as notes by Judge

Disposition TEMPORARY Cut off files upon issuance of CHALJ's disposition  
Destroy when no longer needed for the case or for reference, whichever is later  
Destroy decision drafts when superseded by final decision

### Item 2 Subject Files

(2)

Subject matter files, which contain copies of proposed legislation relating to the agency, documents relating to internal administration of agency, staffing, training, compilations of legal research, and substantive information regarding legal issues involving the Mine Act

Disposition TEMPORARY Destroy when no longer needed for reference

### Item 3 Chronological Files

(3)

Chronological files, which contain copies of all correspondence, memoranda decisions and orders

Disposition TEMPORARY Cut off files at end of calendar year Destroy 2 years after cutoff, or when no longer needed for reference, whichever is later

### Item 4 Office Administrative Files

Copies of routine records, including records that relate to office organization, staffing, procedures, communications, expenditure of funds, budget records, day-to-day administration of office personnel, training, travel, supplies, office services, equipment requests and receipts, use of office space and utilities, and other materials that do not serve as unique documentation of the programs of the office

Disposition TEMPORARY Destroy when 2 years old, or when no longer needed, whichever is sooner {GRS 23, item 1}

Item 5

**Administrative Meeting Files**

(4)

Files containing notices, agendas, paper copies of emails, notes and minutes of staff meetings, computer meetings, library meetings and other administrative meetings

Disposition TEMPORARY Cut off at end of calendar year Destroy  
3 years after cutoff

Item 6

**Employment Applications**

Applications, including OF 612, resumes, and any other application that this agency may develop for unique jobs with specialized requirements, and related records, EXCLUDING records relating to appointments requiring Senatorial confirmation and applications resulting in appointment which are filed in the OPF

Disposition TEMPORARY Destroy upon receipt of OPM inspection report or when 2 years old, whichever is earlier (GRS 1, item 32)

Item 7

**Supervisor's Personnel Files and Duplicate Official Personnel Folder Documentation**

a Supervisor's Personnel files

Correspondence, forms, and other records relating to positions, authorization, pending actions, position descriptions, requests for personnel action, and records on individual employees duplicated in or not appropriate for the OPF

Disposition TEMPORARY Review annually and destroy superseded or obsolete documents, or destroy file relating to an employee within 1 year after separation or transfer {GRS 1, item 18(a)}

b Duplicate Documentation

Other copies of documents duplicated in OPFs not provided for elsewhere in this schedule

Disposition TEMPORARY Destroy when 6 months old {GRS 1, item 18(b)}

## INDIVIDUAL ADMINISTRATIVE LAW JUDGES

### Item 8 Case Files Pending Before ALJs

(5) Judges' files, Extra copies of materials in cases pending before judge, including copies of pleadings, orders, decisions, transcripts, and exhibits, as well as notes by Judge

Disposition TEMPORARY Cut off files upon issuance of Judges' disposition  
Destroy when no longer needed for the case or for reference, whichever is later  
Destroy decision drafts when superseded by final decision

### Item 9 Subject Files

(6) Subject matter files, which contain copies of proposed legislation relating to the agency, documents relating to internal administration of agency, compilations of legal research, and substantive information regarding legal issues involving the Mine Act

Disposition TEMPORARY Destroy when no longer needed for reference

### Item 10 Chronological Files

(7) Chronological files, which contain copies of all correspondence, memoranda, decisions or orders

Disposition TEMPORARY Cut off files at end of calendar year Destroy 2 years after cutoff, or when no longer needed for reference, whichever is sooner