

<b>REQUEST FOR RECORDS DISPOSITION AUTHORITY</b> <i>(See Instructions on reverse)</i>	
TO: NATIONAL ARCHIVES and RECORDS ADMINISTRATION (NIR) WASHINGTON, DC 20408	
1. FROM (Agency or establishment) U.S. Office of Government Ethics	
2. MAJOR SUBDIVISION Office of General Counsel	
3. MINOR SUBDIVISION	
4. NAME OF PERSON WITH WHOM TO CONFER	5. TELEPHONE
Michael J. Lewandowski	(202)208-8000

<b>LEAVE BLANK (NARA use only)</b>	
JOB NUMBER N1-522-01-1	
DATE RECEIVED 2-9-2001	
NOTIFICATION TO AGENCY	
In accordance with the provisions of 44 U.S.C. 3303a the disposition request, including amendments, is approved except for items that may be marked "disposition not approved" or "withdrawn" in column 10.	
DATE 6-6-01	ARCHIVIST OF THE UNITED STATES <i>John W. Paul</i>

**6. AGENCY CERTIFICATION**  
I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached \_\_\_ page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified; and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies,

is not required;  is attached; or  has been requested.

DATE 2/7/01	SIGNATURE OF AGENCY REPRESENTATIVE <i>Michael J. Lewandowski</i>	TITLE Records Management Officer
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7. ITEM NO.	8. DESCRIPTION OF ITEM AND PROPOSED DISPOSITION	9. GRS OR SUPERSEDED JOB CITATION	10. ACTION TAKEN (NARA USE ONLY)
	See attached		

*Sent to f c e n w m d n r*

## U.S. Office of Government Ethics

The U.S. Office of Government Ethics (OGE), previously a part of the Office of Personnel Management, was established as a separate agency on October 1, 1989 (5 U.S.C. app. § 401). OGE provides overall direction for executive branch policies in preventing conflicts of interest on the part of officers and employees of all executive branch agencies. OGE is the principal agency administering the Ethics in Government Act for the executive branch.

### Office of General Counsel and Legal Policy

The Office of General Counsel and Legal Policy (OGC) is responsible for developing executive branch ethics program policies, for interpreting laws and regulations, for assisting agencies in legal and policy implementation of their ethics programs, and for reviewing legislation and recommending changes in conflict of interest and ethics statutes.

Item 1. **Agency Statutory Conflict of Interest Waivers and Other Ethics Determinations.** Records relating to the issuance of waivers and other ethics determinations submitted by agencies concerning potential or actual financial conflicts of interest, including:

- records relating to agency issuance of individual waivers under 18 U.S.C. § 208 (b)(1) and (b)(3).
- opinions covering the application of 18 U.S.C. §§ 202 - 209 as they apply to individuals, sent to OGE by Designated Agency Ethics Officials pursuant to 5 C.F.R. § 2638.313.

Destroy when 6 years old.

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 2/7/01 | *Michael J. Lewandowski* | Records Management Officer

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