

Request for Records Disposition Authority

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| Records Schedule Number | DAA-0600-2017-0004 |
| Schedule Status | Approved |
| Agency or Establishment | National Indian Gaming Commission |
| Record Group / Scheduling Group | Records of the National Indian Gaming Commission |
| Records Schedule applies to | Major Subdivision |
| Major Subdivision | Division of Compliance |
| Minor Subdivision | Tribal Fingerprinting |
| Schedule Subject | Tribal Fingerprinting Processing Records |
| Internal agency concurrences will be provided | No |

Background Information

The National Indian Gaming Commission (NIGC) was created in 1988 with the passage of the Indian Gaming Regulatory Act (IGRA), which was enacted to support and promote tribal economic development, self-sufficiency, and strong tribal governments through the operation of gaming on Indian lands. The Act provides a statutory basis for the federal regulation of Indian gaming. IGRA establishes the Commission to regulate and support tribal gaming as a means of generating revenue for tribal communities. See 25 U.S.C. § 2704.

The NIGC is committed to fulfilling its responsibilities by:

- regulating and monitoring certain aspects of Indian gaming;
- coordinating its regulatory responsibilities with tribal regulatory agencies
- providing training and technical assistance to tribal regulatory agencies;
- reviewing and approving tribal gaming ordinances and management agreements;
- reviewing the backgrounds of individuals and entities to ensure the suitability of those seeking to manage or invest in Indian gaming;
- overseeing and reviewing the conduct and regulation of Indian gaming operations;
- enforcing violations against the IGRA and its regulations; and
- referring law enforcement matters to appropriate tribal, Federal, and state entities.

The NIGC is comprised of a Chair, appointed by the President and confirmed by the Senate, and two additional commissioners

appointed by the Secretary of the Interior. The NIGC's organizational structure is composed of two main branches, the Office of General Counsel which handles legal affairs, and the Office of the Chief of Staff, which manages day-to-day activities and includes the Division of Compliance, Division of Finance, Division of Public Affairs, and the Division of Technology.

Item Count

| Number of Total Disposition Items | Number of Permanent Disposition Items | Number of Temporary Disposition Items | Number of Withdrawn Disposition Items |
|-----------------------------------|---------------------------------------|---------------------------------------|---------------------------------------|
| 3 | 0 | 3 | 0 |

GAO Approval

Outline of Records Schedule Items for DAA-0600-2017-0004

| Sequence Number | |
|-----------------|--|
| 1 | Fingerprint Processing Statements and Invoices Disposition Authority Number: DAA-0600-2017-0004-0001 |
| 2 | Fingerprint Processing Reports Disposition Authority Number: DAA-0600-2017-0004-0002 |
| 3 | Fingerprint Processing Memoranda of Understanding (MOU) Disposition Authority Number: DAA-0600-2017-0004-0003 |

Records Schedule Items

| Sequence Number | |
|-----------------|--|
| 1 | <p data-bbox="362 421 1015 453">Fingerprint Processing Statements and Invoices</p> <p data-bbox="362 474 1136 506">Disposition Authority Number DAA-0600-2017-0004-0001</p> <p data-bbox="362 527 1479 602">Statements and Invoices sent by NIGC to Tribes for services relating to fingerprint card submissions.</p> <p data-bbox="362 623 909 655">Final Disposition Temporary</p> <p data-bbox="362 676 844 708">Item Status Active</p> <p data-bbox="362 729 812 761">Is this item media neutral? Yes</p> <p data-bbox="362 783 803 900">Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? No</p> <p data-bbox="362 932 662 963">Disposition Instruction</p> <p data-bbox="362 995 1177 1027">Cutoff Instruction Cutoff at end of calendar year.</p> <p data-bbox="362 1049 1153 1081">Retention Period Destroy 3 year(s) after cutoff</p> <p data-bbox="362 1112 657 1144">Additional Information</p> <p data-bbox="362 1176 941 1208">GAO Approval Not Required</p> |
| 2 | <p data-bbox="362 1223 787 1255">Fingerprint Processing Reports</p> <p data-bbox="362 1276 1136 1308">Disposition Authority Number DAA-0600-2017-0004-0002</p> <p data-bbox="362 1330 1469 1404">Reports created and maintained by Fingerprint Processing unit that reflect volume of fingerprint processing by NIGC.</p> <p data-bbox="362 1425 909 1457">Final Disposition Temporary</p> <p data-bbox="362 1478 844 1510">Item Status Active</p> <p data-bbox="362 1532 812 1564">Is this item media neutral? Yes</p> <p data-bbox="362 1585 803 1702">Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? No</p> <p data-bbox="362 1734 662 1766">Disposition Instruction</p> <p data-bbox="362 1798 1177 1830">Cutoff Instruction Cutoff at end of calendar year.</p> <p data-bbox="362 1851 1153 1883">Retention Period Destroy 3 year(s) after cutoff</p> |

3

Additional Information

GAO Approval Not Required

Fingerprint Processing Memoranda of Understanding (MOU)

Disposition Authority Number DAA-0600-2017-0004-0003

Agreements and correspondence between the National Indian Gaming Commission (NIGC) and Tribal Governments that enable the NIGC to process fingerprint cards on behalf of the tribes for tribal employment background checks.

Final Disposition Temporary

Item Status Active

Is this item media neutral? Yes

Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? No

GRS or Superseded Authority Citation
N1-220-97-6/ 46
N1-220-97-6/ 29
N1-220-97-6/ 30
N1-220-97-6/ 31d

Disposition Instruction

Cutoff Instruction Cut off when MOU is terminated or replaced.

Retention Period Destroy 1 year(s) after cut off

Additional Information

GAO Approval Not Required

Agency Certification

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal in this schedule are not now needed for the business of the agency or will not be needed after the retention periods specified.

Signatory Information

| Date | Action | By | Title | Organization |
|------------|--------------------------|------------------|---|--|
| 03/28/2017 | Certify | Tim Osumi | Records Manager | Technology Division - Records Management Program |
| 06/21/2017 | Return for Revision | Valerie Terray | Archives Specialist | National Archives and Records Administration - Records Management Services |
| 08/22/2017 | Submit For Certification | Tim Osumi | Records Manager | Technology Division - Records Management Program |
| 08/22/2017 | Certify | Tim Osumi | Records Manager | Technology Division - Records Management Program |
| 10/26/2017 | Submit for Concurrence | Valerie Terray | Archives Specialist | National Archives and Records Administration - Records Management Services |
| 10/27/2017 | Concur | Margaret Hawkins | Director of Records Management Services | National Records Management Program - ACNR Records Management Services |
| 10/27/2017 | Concur | Margaret Hawkins | Director of Records Management Services | National Records Management Program - ACNR Records Management Services |
| 10/30/2017 | Approve | David Ferriero | Archivist of the United States | Office of the Archivist - Office of the Archivist |