

Request for Records Disposition Authority

Records Schedule Number DAA-0600-2017-0015
Schedule Status Approved

Agency or Establishment National Indian Gaming Commission
Record Group / Scheduling Group Records of the National Indian Gaming Commission
Records Schedule applies to Major Subdivision
Major Subdivision Division of IT
Schedule Subject NIGC Tracking System
Internal agency concurrences will be provided No

Background Information The National Indian Gaming Commission (NIGC) was created in 1988 with the passage of the Indian Gaming Regulatory Act (IGRA), which was enacted to support and promote tribal economic development, self-sufficiency, and strong tribal governments through the operation of gaming on Indian lands. The Act provides a statutory basis for the federal regulation of Indian gaming. IGRA establishes the Commission to regulate and support tribal gaming as a means of generating revenue for tribal communities. See 25 U.S.C. § 2704.

The NIGC is committed to fulfilling its responsibilities by:

- regulating and monitoring certain aspects of Indian gaming;
- regulating and monitoring certain aspects of Indian gaming;
- coordinating its regulatory responsibilities with tribal regulatory agencies
- providing training and technical assistance to tribal regulatory agencies;
- reviewing and approving tribal gaming ordinances and management agreements;
- reviewing the backgrounds of individuals and entities to ensure the suitability of those seeking to manage or invest in Indian gaming;
- overseeing and reviewing the conduct and regulation of Indian gaming operations;
- enforcing violations against the IGRA and its regulations; and
- referring law enforcement matters to appropriate tribal, Federal, and state entities.[VT1]

The NIGC is comprised of a Chair, appointed by the President and confirmed by the Senate, and two additional commissioners appointed by the Secretary of the Interior. The NIGC's organizational

structure is composed of two main branches, the Office of General Counsel which handles legal affairs, and the Office of the Chief of Staff, which manages day-to-day activities and includes the Division of Compliance, Division of Finance, Division of Public Affairs, and the Division of Technology.

Item Count

Number of Total Disposition Items	Number of Permanent Disposition Items	Number of Temporary Disposition Items	Number of Withdrawn Disposition Items
2	0	2	0

GAO Approval

Outline of Records Schedule Items for DAA-0600-2017-0015

Sequence Number	
1	NIGC Tracking System
1.1	Tribal Gaming Operations List Disposition Authority Number: DAA-0600-2017-0015-0001
1.2	Management Contracts Review Tracking Database Disposition Authority Number: DAA-0600-2017-0015-0002

Records Schedule Items

Sequence Number	
1	<p>NIGC Tracking System Contains a list of gaming Tribes, Tribal gaming facilities and general information about such Tribes (addresses and contact information). It is also used by Management Contracts to log in new contract review submission and to record the issuance of issues letters and the final conclusion of the review.</p>
1.1	<p>Tribal Gaming Operations List Disposition Authority Number DAA-0600-2017-0015-0001</p> <p>List of gaming Tribes, Tribal gaming operations, and related, general information about such as Tribal addresses and Tribal contact information.</p> <p>Final Disposition Temporary</p> <p>Item Status Active</p> <p>Is this item media neutral? Yes</p> <p>Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? Yes</p> <p>Do any of the records covered by this item exist as structured electronic data? Yes</p> <p>GRS or Superseded Authority Citation N1-0220-2009-3 / B2</p> <p>Disposition Instruction</p> <p>Cutoff Instruction cut off at end end of the year when information is superseded</p> <p>Retention Period Destroy no sooner than 5 year(s) after cut off but longer retention is authorized</p> <p>Additional Information</p> <p>GAO Approval Not Required</p>
1.2	<p>Management Contracts Review Tracking Database Disposition Authority Number DAA-0600-2017-0015-0002</p> <p>Records the reception of new management contract submissions, the issuance of any issue letters and subsequent responses, and the final approval (or disapproval or withdrawl) of the contract.</p> <p>Final Disposition Temporary</p>

Item Status	Active
Is this item media neutral?	Yes
Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing?	Yes
Do any of the records covered by this item exist as structured electronic data?	Yes
GRS or Superseded Authority Citation	N1-0220-2009-3 / B2
Disposition Instruction	
Cutoff Instruction	cut off at then end of the year in which the contract is approved (or disapproved or withdrawn)
Retention Period	Destroy no sooner than 10 year(s) after cut off but longer retention is authorized
Additional Information	
GAO Approval	Not Required

Agency Certification

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal in this schedule are not now needed for the business of the agency or will not be needed after the retention periods specified.

Signatory Information

Date	Action	By	Title	Organization
09/25/2017	Certify	Tim Osumi	Records Manager	Technology Division - Records Management Program
12/14/2017	Submit for Concur rence	Valerie Terray	Archives Specialist	National Archives and Records Administration - Records Management Services
12/19/2017	Concur	Margaret Hawkins	Director of Records Management Servic es	National Records Management Program - ACNR Records Management Services
12/19/2017	Concur	Margaret Hawkins	Director of Records Management Servic es	National Records Management Program - ACNR Records Management Services
12/21/2017	Approve	David Ferriero	Archivist of the Unite d States	Office of the Archivist - Office of the Archivist