

Request for Records Disposition Authority

Records Schedule Number DAA-0021-2017-0001
Schedule Status Modified Approved Version

Agency or Establishment District Courts of the United States
Record Group / Scheduling Group Records of District Courts of the United States
Records Schedule applies to Agency-wide
Schedule Subject Non-Electronic Civil Case Files
Internal agency concurrences will be provided No

Background Information This submission adds new nature of suit codes for civil litigation. These codes pertain to new litigation categories created after the present civil case schedule was approved. This submission adds and inserts the new codes to the current schedule.

Item Count

Number of Total Disposition Items	Number of Permanent Disposition Items	Number of Temporary Disposition Items	Number of Withdrawn Disposition Items
2	1	1	0

GAO Approval

Outline of Records Schedule Items for DAA-0021-2017-0001

Sequence Number	
1	7b(4) Civil cases that progress to or past the “issue joined” stage before closing with the following nature of suit codes: Disposition Authority Number: DAA-0021-2017-0001-0001
2	7b(6) All other case files not included above. Disposition Authority Number: DAA-0021-2017-0001-0002

Records Schedule Items

Sequence Number	
1	<p data-bbox="342 380 1518 453">7b(4)Civil cases that progress to or past the “issue joined” stage before closing with the following nature of suit codes:</p> <p data-bbox="342 474 1518 506">Disposition Authority Number DAA-0021-2017-0001-0001</p> <p data-bbox="342 527 1518 1020">160 (Stockholder Suits); 191 (Employee Relations); 220 (Foreclosure); 240 (Torts to Land); 245 (Torts Product Liability – Real Property); 360 (Other Personal Injury); 362 (Medical Malpractice); 365 (Personal Injury – Product Liability); 367 (TORTS-Personal Injury-Health Care/Pharmaceutical Personal Injury/Product Liability); 368 (Asbestos); 370 (Fraud); 442 (Civil Rights – Employment); 443 (Civil Rights – Housing/Accommodations); 444 (Civil Rights – Welfare); 445 (Americans with Disabilities Act – Employment); 446 (Americans with Disabilities Act – Other); 448 (Civil Rights-Education); 462 (Naturalization Application); 465 (Other Immigration Action); 480 (Consumer Credit); 490 (Cable/Satellite TC); 510 (Motions to Vacate Sentence); 530 (Prisoner Habeas Corpus); 540 (Prisoner Petitions – Mandamus); 550 (Civil Rights); 555 (Prison Conditions); 560 (Prisoner Petitions-Civil Detainee-Conditions of Confinement); 610 (Agricultural Penalty); 820 (Copyright); 850 (Securities and Exchange Commission); 891 (Agricultural Acts); 895 (FOIA).</p> <p data-bbox="342 1041 1518 1073">Final Disposition Permanent</p> <p data-bbox="342 1094 1518 1125">Item Status Inactive</p> <p data-bbox="342 1146 1518 1178">Is this item media neutral? No</p> <p data-bbox="342 1199 1518 1503">Explanation of limitation The Judiciary is in the process of reviewing internal requirements to establish an effective national policy concerning the future transmission of electronic records to NARA. The completion of the requirement analysis, clearance, and implementation of said policy is a prerequisite to the transmission of electronic records included in this and similar proposed schedules.</p> <p data-bbox="342 1524 1518 1640">Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? Yes</p> <p data-bbox="342 1661 1518 1755">Do any of the records covered by this item exist as structured electronic data? No</p> <p data-bbox="342 1776 1518 1829">GRS or Superseded Authority Citation N1-021-10-2 Item 7b(4)</p> <p data-bbox="342 1850 1518 1881">Inactive Status Explanation This item is inactive because it was superseded by</p>

New Disposition Authority Number:
DAA-0021-2019-0004-0001

Disposition Instruction

Transfer to the National Archives for Accessioning Transfer to the National Archives 15 year(s) after close of case.

Additional Information

What will be the date span of the initial transfer of records to the National Archives? Unknown
Varies from court to court.

How frequently will your agency transfer these records to the National Archives? Unknown
Varies from court to court.

2

7b(6)All other case files not included above.

Disposition Authority Number DAA-0021-2017-0001-0002

100 (Admiralty); 110 (Insurance); 120 (Marine (Contract)); 130 (Miller Act); 140 (Negotiable Instrument); 150 (Recovery of Overpayment and Enforcement of Judgment); 151 (Recovery of Overpayment of Medicare); 152 (Student Loans); 153 (Veteran's Benefits); 190 (Other Contracts); 193 (Hospital Care Act); 195 (Contract Product Liability); 196 (Franchise); 230 (Rent, Lease, Ejectment); 290 (All Other Real Property); 320 (Assault, Liable, and Slander); 330 (Federal Employers Liability); 340 (Marine Personal Injury); 345 (Marine Products Liability); 350 (Motor Vehicle); 355 (Motor Vehicle Product Liability); 361 (Workmen's Compensation); 375 (False Claims Act); 380 (Other Personal Property Damage); 385 (Property Damage Product Liability); 420 (Bankruptcy Trustee); 421 (Bankruptcy Transfer); 422 (Bankruptcy Appeal); 423 (Bankruptcy Withdrawal); 450 (Commerce); 625 (Drug-Related Seizure of Property); 630 (Liquor Laws); 640 (Railroad and Truck); 650 (Airline Regulations); 660 (Occupational Safety and Health); 690 (Other Forfeiture and Penalty Act); 720 (Labor-Management Relations); 750 (Welfare Pension Plan Disclosure); 751 (Family and Medical Leave Act); 790 (Other Labor Litigations); 791 (Employment Retirement Income Security Act); 840 (Trademark); 860 (Social Security); 861 (Social Security Health Insurance); 863 (Social Security Disability Insurance); 864 (Social Security Supplemental Security Income); 865 (Social Security Retirement and Survivors' Benefits); 870 (Taxes); 871 (IRS Third Party); 875 (Customer Challenge); 896 (Other Statutes-Arbitration); 920 (Insanity); 940 (Substitute Trustee); 990 (Other); 992 (Local Jurisdictional Appeal).

Final Disposition Temporary

Item Status Active

Is this item media neutral? No

Explanation of limitation	The Judiciary is in the process of reviewing internal requirements to establish an effective national policy concerning the future transmission of electronic records to NARA. The completion of the requirement analysis, clearance, and implementation of said policy is a prerequisite to the transmission of electronic records included in this and similar proposed schedules.
Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing?	Yes
Do any of the records covered by this item exist as structured electronic data?	No
GRS or Superseded Authority Citation	N1-021-10-2 Item 7b(6)
Disposition Instruction	
Retention Period	Destroy 15 year(s) after close of case
Additional Information	
GAO Approval	Not Required

Agency Certification

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal in this schedule are not now needed for the business of the agency or will not be needed after the retention periods specified.

Signatory Information

Date	Action	By	Title	Organization
01/17/2017	Certify	Omar Herran	Judiciary Records Officer	DPS - CSO
09/10/2018	Submit for Concurrence	David Weber	Senior Appraisal Archivist	National Archives and Records Administration - Records Management Services
09/28/2018	Concur	Sebastian Welch	Supervisory Archives Specialist	National Archives and Records Administration - ACR4
10/02/2018	Concur	Margaret Hawkins	Director of Records Management Services	National Records Management Program - ACNR Records Management Services
10/03/2018	Approve	David Ferriero	Archivist of the United States	Office of the Archivist - Office of the Archivist