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**Records of the United States  
Nuernberg War Crimes Trials  
NM Series  
1874-1946**



NATIONAL ARCHIVES AND RECORDS SERVICE  
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RECORDS OF THE UNITED STATES  
 NUERNBERG WAR CRIMES TRIALS  
 NM SERIES, 1874-1946

This microfilm publication contains, on a single roll, reproductions of the records of the entire NM Series consisting of 20 documents dated from 1874 to 1946. The NM Series was assembled by the Document Control Branch of the Evidence Division of the Office, Chief of Counsel for War Crimes (OCCWC), Nuernberg, and individual items listed below were offered as evidence in some of the 12 proceedings against 185 officials or citizens of the Third Reich before U.S. Military Tribunals I-VI sitting at Nuernberg in the U.S. Zone of Occupation in Germany from 1946 to 1949.

<u>Case No.</u>	<i>United States v.</i>	<u>Popular Name</u>
1	<i>Karl Brandt et al.</i>	Medical Case
2	<i>Ehrhardt Milch</i>	Milch Case (Luftwaffe)
3	<i>Josef Altstoetter et al.</i>	Justice Case
4	<i>Oswald Pohl et al.</i>	Pohl Case (SS)
5	<i>Friedrich Flick et al.</i>	Flick Case (Industrialist)
6	<i>Carl Krauch et al.</i>	I. G. Farben Case (Industrialist)
7	<i>Wilhelm List et al.</i>	Hostage Case
8	<i>Ulrich Greifelt et al.</i>	RuSHA Case (SS)
9	<i>Otto Ohlendorf et al.</i>	Einsatzgruppen Case (SS)
10	<i>Alfried Krupp et al.</i>	Krupp Case (Industrialist)
11	<i>Ernst von Weizsaecker et al.</i>	Ministries Case
12	<i>Wilhelm von Leeb et al.</i>	High Command Case

The record items in this series are accompanied by such finding aids as register cards and an occasional staff evidence analysis (SEA) providing brief descriptions of the records. The documents are in German except for NM-014 and NM-019 (English) and NM-020 (French).

OCCWC was officially established on October 24, 1946, having evolved from the Subsequent Proceedings Division of the Office, Chief of Counsel for the Prosecution of Axis Criminality (OCCPAC) prosecuting the Nazi war criminals at the International Military Tribunal (IMT) at Nuernberg. The Chief of Counsel for War Crimes, Brig. Gen. Telford Taylor, was appointed by the U.S. Prosecutor at the IMT, Justice Robert H. Jackson.

The Evidence Division of OCCWC included, in addition to the Apprehension and Locator Branch and the Interrogation Branch, the Document Control Branch, headed by Fred Niebergall, which handled the safekeeping and registration of all documents brought to Nuernberg for evidentiary purposes and assembled them into document series. From these series, items were selected, placed

in document books, and introduced as evidence before the various tribunals.

There are six U.S. Nuernberg trial record series: the NG (Nuernberg Government) Series containing 5,889 enumerated record items with some gaps, the NI (Nuernberg Industrialists) Series holding 15,681 record items also with gaps, the NM (Nuernberg Miscellaneous) Series with 20 record items, the NO (Nuernberg Organizations) Series consisting of 6,039 record items with many gaps, the NOKW (Nuernberg High Command) Series containing 3,573 record items with gaps, and the NP (Nuernberg Propaganda) Series composed of 119 record items. These series include records of potential evidentiary value from the era of the Weimar Republic and the Third Reich, and a few records of an earlier period. The provenance of many of the records of the NG Series is the German Foreign Office (Auswaertiges Amt) or other German Government departments. The NI Series records deal mainly with German industry, finances, and economic affairs, particularly those affecting the Krupp, Flick, and I. G. Farben industrial firms. The NM Series will be described below. The records of the NO Series relate most often to the various functions and formations of the SS (Schutz Staffel). Those of the NOKW Series touch on records stemming from the different German military commands, while the records of the NP Series refer to the Auslands Organization (AO) of the German Foreign Office dealing with Germans in other countries. The N Series were constituted at Nuernberg from many sources, including the Rosenberg Collection of records, the I. G. Farben Library at Griesheim, and the output of various document teams; however, by far the largest number of records stemmed from OCCWC's permanent branch in Berlin and its temporary branch in Washington. In Berlin six record series were established: the BB, BBH, F, BBT, SS, and WA. If they were of sufficient evidential value, individual records of the first three series were converted at Nuernberg, usually to NI Series documents; many BBT Series items were redesignated with NG Series numbers; many documents of the SS Series became NO Series items; and several WA Series records were converted to NG and NO Series documents. In Washington the WB and WC Series were established. The WB Series documents were redesignated NOKW Series records, and the WC Series became NI Series records.

Authority for the proceedings of the International Military Tribunal against the major Nazi war criminals derived from the Declaration on German Atrocities (Moscow Declaration) released November 1, 1943, Executive Order 9547 of May 2, 1945, the London Agreement of August 8, 1945, the Berlin Protocol of October 6, 1945, and the Charter of the International Military Tribunal.

Authority for the 12 subsequent cases stemmed mainly from Control Council Law 10 of December 20, 1945, and was reinforced by Executive Order 9679 of January 16, 1946; U.S. Military

Government Ordinance Nos. 7 and 11 of October 18, 1946, and February 17, 1947; and U.S. Forces, European Theater General Order 301 of October 24, 1946. The procedures applied by U.S. Military Tribunals in the subsequent proceedings were patterned after those of the International Military Tribunal and further developed in the 12 cases, which required over 1,200 days of court sessions and generated more than 330,000 transcript pages. The processes of collection, verification of authenticity, and handling of the records by the prosecution at Nuernberg in the IMT are described by Maj. William H. Coogan in an affidavit of November 19, 1945. These processes for the 12 U.S. trials at Nuernberg are summarized by Fred Niebergall in a similar affidavit of December 3, 1946.

The first 14 records of the NM Series (NM-001 - NM-014) deal with the mistreatment of German union officials by the Nazi government. These records are of particularly great research potential since they relate to anti-Fascist unions in Germany, a subject for which there is a paucity of source materials. The remaining six records in this small collection relate to foreign workers and prisoners of war in Germany (for greater detail, see the table of contents).

Each of the 20 records in this series is preceded by a register card providing much information on the handling of the individual records at Nuernberg, including record number, type of document, number of pages, date, language, subject, author, place of origin, location of original of the record, and other data. The register card of documents NM-016 - NM-019 is followed by an SEA. The SEA form was devised to establish reference control over the great influx of documents into the Office of the Chief of Counsel. This form gives the title, date, and source of the documents; names of persons and organizations implicated; cross-references to related records; and a summary of the content emphasizing data potentially applicable as evidence. Register card and SEA are followed by the actual document. Documents NM-001 - NM-004 and NM-011 - NM-012 are accompanied by translations; document NM-014 is followed by a certificate giving the provenance of the record.

The records of the NM Series, as well as those of the other Nuernberg and Far East (IMTFE) war crimes trials, are part of the National Archives Collection of World War II War Crimes Records, Record Group 238. They are closely related to other microfilmed records in Record Group 238, specifically Prosecution Exhibits Submitted to the International Military Tribunal, T988; NI (Nuernberg Industrialist) Series, T301; NOKW (Nuernberg Armed Forces High Command) Series, T1119; NG (Nuernberg Government) Series, T1139; and records of the Ohlendorf Case, M895, records of the Greifelt Case, M894, and records of the List Case, M893. In addition, the record of the International Military Tribunal at Nuernberg has been published

in *Trial of the Major War Criminals Before the International Military Tribunal* (Nuernberg, 1947), 42 vols. Excerpts from the subsequent proceedings have been printed as *Trials of War Criminals Before the Nuernberg Military Tribunal Under Control Council Law No. 10* (U.S. Government Printing Office: 1946-49), 15 vols. The Audiovisual Archives Division of the National Archives and Records Service holds motion picture records of many sessions of the 13 trials and tape recordings of the International Military Tribunal proceedings. The records of the NM Series are also related to the Records of the United States Strategic Bombing Survey, Record Group 243; the National Archives Collection of Foreign Records Seized, 1941- , Record Group 242; and the Records of the Office of the Judge Advocate General (Army), Record Group 153.

At the beginning of this roll are filmed key documents from which Tribunal I derived its jurisdiction: the Moscow Declaration, U.S. Executive Orders 9547 and 9679, the London Agreement, the Berlin Protocol, the Charter of the International Military Tribunal, Control Council Law 10, U.S. Military Government Ordinances 7 and 11, U.S. Forces, European Theater General Order 301, and affidavits by Major Coogan and Lieutenant Niebergall.

John Mendelsohn arranged the records in this publication for microfilming and wrote this introduction.

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<u>NM No.</u>	<u>Type</u>	<u>Date</u>	<u>Provenance</u>	<u>Description</u>
001	Affidavit	Feb. 27, 1946	U.S. Army	W. Bernier, Mistreatment of union members
002	Affidavit	Feb. 27, 1946	U.S. Army	H. Fischer, Mistreatment of union members
003	Affidavit	Feb. 27, 1946	U.S. Army	P. Gebauer, Mistreatment of union members
004	Affidavit	Feb. 27, 1946	U.S. Army	W. Maschke, Mistreatment of union members
005	Affidavit	Feb. 27, 1946	U.S. Army	J. Scherff, Mistreatment of union members
006	Affidavit	Feb. 27, 1946	U.S. Army	H. Schlimme, Mistreatment of union members
007	Affidavit	Feb. 27, 1946	U.S. Army	H. Schlimme, Mistreatment of union members
008	Affidavit	Mar. 4, 1946	U.S. Army	O. Heinrich, Mistreatment of union members
009	Affidavit	Feb. 28, 1946	U.S. Army	L. Kuechel, Mistreatment of union members
010	Affidavit	Feb. 28, 1946	U.S. Army	L. Kuechel, Mistreatment of union members
011	Affidavit	Feb. 28, 1946	U.S. Army	E. Priemer, Mistreatment of union members
012	Affidavit	May 8, 1946	OCC Nuernberg	M. Lax, Mistreatment of union members
013	Affidavit	Mar. 12, 1946	OCC Nuernberg	J. Gerum, Mistreatment of union members
014	Affidavit	Sept. 15, 1945	OCC Nuernberg	F. Reinhardt, Mistreatment of union members
015	Circular	Sept. 30, 1944	Statthalter Wien	Treatment of prisoners of war
016	Correspondence	Sept. 23, 1941	Statthalter Wien	Treatment of foreign workers
017	Correspondence	Sept. 27, 1941	Statthalter Wien	Treatment of foreign workers

<u>NM No.</u>	<u>Type</u>	<u>Date</u>	<u>Provenance</u>	<u>Description</u>
018	Correspondence	Oct. 21, 1946	OCC Nuernberg	Treatment of foreign workers
019	Pamphlet	1907	OCC Nuernberg	Hague Convention
020	Pamphlet	1874	OCC Nuernberg	Brussels Decla- ration