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**Records of the United States
Nuernberg War Crimes Trials
WA Series
1940-1945**



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RECORDS OF THE UNITED STATES NUERNBERG WAR CRIMES TRIALS
WA SERIES, 1940-1945

This microfilm publication contains on a single roll reproductions of the records of the entire WA Series consisting of 37 documents from 1940 to 1945. The record items in this series, all in German, are accompanied by data sheets providing brief descriptions of the records. The WA Series was assembled by the Ministries Division of the Berlin Branch of the Office, Chief of Counsel for War Crimes (OCCWC), Nuernberg; individual items, redesignated NG (Nuernberg Government) documents, were offered as evidence in the prosecution of officials or citizens of the Third Reich in one or more of the following 12 proceedings before U.S. Military Tribunals I-VI sitting from 1946 to 1949 at Nuernberg in the U.S. Zone of Occupation in Germany.

<u>Case No.</u>	<u>United States v.</u>	<u>Popular Name</u>	<u>No. of Defendants</u>
1	<i>Karl Brandt et al.</i>	Medical Case	23
2	<i>Erhard Milch</i>	Milch Case (Luftwaffe)	1
3	<i>Josef Altstoetter et al.</i>	Justice Case	16
4	<i>Oswald Pohl et al.</i>	Pohl Case (SS)	18
5	<i>Friedrich Flick et al.</i>	Flick Case (Industrialist)	6
6	<i>Carl Krauch et al.</i>	I. G. Farben Case (Industrialist)	24
7	<i>Wilhelm List et al.</i>	Hostage Case	12
8	<i>Ulrich Greifelt et al.</i>	RuSHA Case (SS)	14
9	<i>Otto Ohlendorf et al.</i>	Einsatzgruppen Case (SS)	24
10	<i>Alfried Krupp et al.</i>	Krupp Case (Industrialist)	12
11	<i>Ernst von Weizsaecker et al.</i>	Ministries Case	21
12	<i>Wilhelm von Leeb et al.</i>	High Command Case	14

The Office, Chief of Counsel for War Crimes, was officially established October 24, 1946, having evolved from the Subsequent Proceedings Division of the Office, Chief of Counsel for the Prosecution of Axis Criminality (OCCPAC), which was prosecuting the Nazi war criminals at the International Military Tribunal (IMT) at Nuernberg. The Chief of Counsel for War Crimes, Brig. Gen. Telford Taylor, was appointed by the U.S. prosecutor at the IMT, Justice Robert H. Jackson.

In addition to the Apprehension and Locator Branch and the Interrogation Branch, the Evidence Division of OCCWC included the Document Control Branch, headed by Lt. Fred Niebergall, which handled the safekeeping and registration of all documents

brought to Nuernberg for evidentiary purposes and assembled them into document series. From these series, items were selected, placed in document books, and introduced as evidence before the various tribunals.

There are six U.S. Nuernberg trial record series: the NG (Nuernberg Government) Series containing 5,889 enumerated record items with some gaps, the NI (Nuernberg Industrialists) Series holding 15,681 record items also with gaps, the NM (Nuernberg Miscellaneous) Series with 20 record items, the NO (Nuernberg Organizations) Series consisting of 6,039 record items with many gaps, the NOKW (Nuernberg Armed Forces High Command) Series containing 3,573 record items with gaps, and the NP (Nuernberg Propaganda) Series composed of 119 record items. These series include records of potential evidentiary value from the era of the Weimar Republic and the Third Reich and a few records of an earlier period. The provenance of many of the records of the NG Series is the German Foreign Office (Auswaertiges Amt) or other German Government departments. The NI Series records deal mainly with German industry, finances, and economic affairs, particularly those affecting the Krupp, Flick, and I. G. Farben industrial firms. The NM Series contains records of German labor unions. The records of the NO Series relate most often to the various functions and formations of the SS (Schutz Staffel). Those of the NOKW Series relate to records originating with the different German military commands, while the records of the NP Series refer to the Foreign Office Branch, Auslands Organization (AO), that dealt with Germans in other countries. The N Series were constituted at Nuernberg from many sources, including the Rosenberg collection of records, the I. G. Farben Library at Griesheim, and the output of various document teams. By far the largest number of records were obtained from OCCWC's permanent branch in Berlin and its temporary branch in Washington. In Berlin, six record series were established: BB, BBH, F, BBT, WA, and SS. If of sufficient evidential value, individual records of the first three series were redesignated at Nuernberg usually as NI Series documents. Many BBT Series items and several WA Series items were redesignated with NG Series numbers, and many SS Series records and several WA Series records become NO Series items. In Washington, the WB and WC Series were established. Many WB Series records became NOKW Series records, and documents of the WC Series became NI Series records.

Authority for the proceedings of the IMT against the major Nazi war criminals derived from the Declaration on German Atrocities (Moscow Declaration) released November 1, 1943, Executive Order 9547 of May 2, 1945, the London Agreement of August 8, 1945, the Berlin Protocol of October 6, 1945, and the Charter of the IMT.

Authority for the 12 subsequent cases stemmed mainly from Control Council Law 10 of December 20, 1945, and was reinforced

by Executive Order 9679 of January 16, 1946; U.S. Military Government Ordinances 7 and 11 of October 18, 1946, and February 17, 1947, respectively; and U.S. Forces, European Theater General Order 301 of October 24, 1946. The procedures applied by U.S. Military Tribunals in the subsequent proceedings were patterned after those of the IMT and further developed in the 12 cases, which required over 1,200 days of court sessions and generated more than 330,000 transcript pages. The processes of collection, verification of authenticity, and handling of the records by the prosecution at Nuernberg in the IMT are described in the affidavit of November 19, 1945, by Maj. William H. Coogan. These processes for the 12 U.S. trials at Nuernberg are summarized in a similar affidavit dated December 3, 1946, by Fred Niebergall.

The provenance of the records of the WA Series is the German Foreign Office. Thirty-one record items (possibly 33, with two items undetermined) are from the files of Gruppe Inland II and its forerunners (Abteilung Deutschland and Sonderreferat Deutschland), while four items come from the files of the office of the Under Secretary of State in the Foreign Office.

Gruppe Inland II, directed by senior Legation counselor Horst Wagner, was chiefly responsible for liaison between the German Foreign Office and the various offices, formations, and functions of the SS. Gruppe Inland II was subdivided into four branches. The first branch, Inland II A, headed by Legation counselor Eberhardt von Thadden, essentially provided liaison with Reichsfuehrer SS Heinrich Himmler. This branch was also responsible for matters concerning Jews. Inland II B, headed first by consul Geiger and then by deputy consul Sonnenhol, established liaison with various police and espionage and counter-espionage agencies and agents, including police attaches. Inland II C, led by Dr. Reichel, dealt with liaison between the Foreign Office and various offices of the SS concerned with the execution of Nazi race policies, such as the Race and Settlement Main Office of the SS. The function of the fourth branch, Inland II D, also directed by Dr. Reichel, was economic and financial liaison.

The WA records that originated in Gruppe Inland II or its predecessors deal with several subjects, including espionage activities in Turkey (WA 5-7), the establishment of a special commando for record and art looting activities (WA 8-11), People's Court proceedings against persons involved in the July 20, 1944, plot against Hitler's life (WA 12, 35, and 36), espionage activities in Balkan countries (WA 13, 17, and 30-32), other espionage activities and police attache matters (WA 14, 15, 21-24, and 26-28), the "Jewish Question" (WA 16 and 18-20), and other topics (see table of contents). The records of the WA Series originating with the Office of the Under Secretary of State were concerned with the German justification for the attack on Belgium, Holland, and Luxemburg on June 10, 1940 (WA 1-4).

Each of the 37 records in this series is preceded by a data sheet providing such information as microfilm publication and record group numbers, provenance, date, and type of record, as well as a short description of its contents. All record items are photostats. The original records of the WA Series presumably are in the Politische Archiv des Auswaertigen Amtes in Bonn. Most of the records of Gruppe Inland are listed by file title in *A Catalog of Files and Microfilms of the German Foreign Ministry Archives, 1920-1945*, 4 vols., compiled and edited by George O. Kent (Stanford, 1962-72), Vol. III, p. 234-333. Records of the Office of the Under Secretary of State are listed on p. 18-24 in that volume.

The records of the WA Series, as the records of the other Nuernberg and Far East war crimes trials, are part of the National Archives Collection of World War II War Crimes Records, Record Group 238. They are closely related to other microfilmed records in Record Group 238, specifically prosecution exhibits submitted to the IMT, T988; NI Series, T301; NOKW Series, T1119; NG Series, T1139; records of the Ohlendorf case, M895, records of the Greifelt case, M894, and records of the List case, M893. In addition, the record of the IMT at Nuernberg has been published in *Trial of the Major War Criminals Before the International Military Tribunal* (Nuernberg, 1947), 42 vols. Excerpts from the subsequent proceedings have been printed as *Trials of War Criminals Before the Nuernberg Military Tribunal Under Control Council Law No. 10*, 15 vols. (U.S. Government Printing Office). The Audiovisual Archives Division of the National Archives and Records Service holds motion picture records of many sessions of the IMT and of the 12 U.S. proceedings and sound recordings of the IMT only. The records of the WA Series are also related to Records of the United States Strategic Bombing Survey, Record Group 243; National Archives Collection of Foreign Records Seized, 1941- , Record Group 242; and Records of the Office of the Judge Advocate General (Army), Record Group 153.

At the beginning of this roll are filmed key documents from which the tribunals derived their jurisdiction: the Moscow Declaration, U.S. Executive Orders 9547 and 9679, the London Agreement, the Berlin Protocol, the Charter of the IMT, Control Council Law 10, U.S. Military Government Ordinances 7 and 11, and U.S. Forces, European Theater General Order 301. Also filmed at the beginning of the roll are affidavits by Major Coogan and Lieutenant Niebergall.

John Mendelsohn arranged the records in this publication for microfilming and wrote the introduction and record descriptions.

CONTENTS

<u>WA No. and Date</u>	<u>Provenance</u>	<u>Description</u>
WA 1 May 10, 1940	Auswaertiges Amt (AA), Buero des Unter- staatssekretaers, Belgien, Holland, Luxemburg.	Transcript of von Ribbentrop's special conference in which he announced that the German Army would take action against England and France.
WA 2 May 9, 1940	do.	Memorandum to Belgian and the Netherlands Governments on securing the neutrality of these countries by force of arms.
WA 3 May 9, 1940	do.	Memorandum to Luxemburg Government on defensive operations by German military forces in Luxemburg.
WA 4 Mar. 29, 1940	do.	Copy of report signed by Himmler and Frick on Belgian, British, and Dutch espionage ac- tivities (the "Venlo Incident"), in which the SS posed as an anti- Hitler resistance group. Also report on Dirk Klopp by Staatspolizei- leitstelle Duesseldorf.
WA 5 July 16, 1943	AA, Inland II geheim, geheime Reichssachen.	Report by von Papen to AA on cooperation with Turkish secret service.
WA 6 July 6, 1943	AA, Gruppe Inland II.	Application to AA re- questing passport and cover name for Schellen- berg for his trip to Turkey.

<u>WA No. and Date</u>	<u>Provenance</u>	<u>Description</u>
WA 7 June 30- July 8, 1943	AA, Gruppe Inland II.	Request from Wagner of Gruppe Inland II to Foreign Minister (RAM) for passport for Schellenberg. Reply from RAM granting request, and telegram to von Papen announcing Schellenberg's visit.
WA 8 Feb. 4-10, 1941	AA, Abteilung Deutschland II (forerunner of Gruppe Inland II).	Two reports, one signed Kuensberg, on the establishment of a Sonderkommando of the AA in the SS Security Service (SD).
WA 9 Feb. 6-10, 1941	AA, Abteilung Deutschland II.	Correspondence of Kuensberg and Picot pertaining to the installation of a special AA commando (Sonderkommando) in the SD.
WA 10 n.d.	Undetermined; probably Abteilung Deutschland II of AA.	Request from various members of the AA commando for authorization to wear SS uniforms.
WA 11 Feb. 20, 1941	AA, Abteilung Deutschland II.	Report pertaining to negotiations on the installation of the AA commando.
WA 12 Sept. 8- Oct. 23, 1944	AA, Inland II geheim, Volksgericht- verhandlung gegen Graf von der Schulenburg.	Various memorandums relating to the people's court proceedings against Graf von der Schulenburg.
WA 13 Jan. 11-13, 1945	AA, Inland II geheim, Abwehr Ablagesachen.	Correspondence from Wagner to Franz Six pertaining to cooperation of Gruppe Inland II with Amt VI and relating to Horia Sima of Rumania.

<u>WA No. and Date</u>	<u>Provenance</u>	<u>Description</u>
WA 14 Sept. 7- 28, 1944	AA, Inland II geheim, Abwehr Ablagesachen.	Correspondence and report from Schellenberg and Wagner about Abwehr personnel (Auersperg, Gisevius, and Waetjen) refusing to return to Germany.
WA 15 July 14- Aug. 2, 1944	AA, Inland II geheim, Handakten Bobrich.	Correspondence of Schellenberg and Sonnleithner on the possibility of using cattle disease germs (pestis bovina) in warfare.
WA 16 May 20, 1941	AA, Abteilung Deutschland II.	Report signed by Schellenberg on emigration of Jews.
WA 17 May 3, 1941	AA, Inland II geheim, geheime Verschlussachen des Referats Kult. A.	Report by SS First Lieutenant Krallert on his work in the special AA commando to secure cartographic and other materials in Belgrade.
WA 18 Sept. 12- Oct. 12, 1942	AA, Inland II geheim, Juden in der Tuerkei.	Letter from Schellenberg on "the Jew in Turkish caricature," and telegram from Luther on treatment of Jews in occupied French Territories.
WA 19 Nov. 9, 1942	AA, Inland II geheim, Regelung der Judenfrage in Bulgarien.	Letter to AA signed by Schellenberg and report on treatment of Bulgarian Jews.
WA 20 May 13, 1943	AA, Inland II geheim, Judenausreise nach Palaestina.	Letter from the Grand Mufti of Jerusalem asking von Ribbentrop to stop emigration of Balkan Jews to Palestine.

<u>WA No. and Date</u>	<u>Provenance</u>	<u>Description</u>
WA 21 Mar. 16, 1940	AA, undetermined; probably Sonderreferat Deutschland (forerunner of Inland II).	Letter from Schellenberg to Schumburg on assign- ment of police attaches in the Balkans.
WA 22 Sept. 2, 1941	AA, Abteilung Deutschland II.	Note from von Weizsaecker to von Ribbentrop on a discussion with Heydrich et al. about the assign- ment of police attaches.
WA 23 Aug. 12, 1942	AA, Inland II geheim, Angelegenheiten des Polizeiver- bindungsfoehrs.	Order from Himmler to form an attache group in SS Security Main Office.
WA 24 Dec. 16, 1942	AA, Abteilung Deutschland II.	Copy of secret service regulations for police attaches assigned to German Embassies and Missions.
WA 25 Feb. 22- 24, 1944	AA, Inland II geheim, geheime Reichssachen.	Memorandum from Wagner to von Ribbentrop suggesting payment to the SD of 250.000- 300.000 gold marks for "Cicero matters." Also pay order signed by von Ribbentrop for 250.000 marks.
WA 26 Oct. 15- Nov. 7, 1941	AA, Inland II geheim, Abschiebung von Juden aus Serbien.	Copies of two secret telegrams to the plenipotentiary of the AA announcing the arrival of Suhr, Stuschka, and Rademacher, who were sent in place of Eichmann to dispose of 8,000 Jews. Also a report by Rademacher of his investigation and recommendations in Belgrade.

<u>WA No. and Date</u>	<u>Provenance</u>	<u>Description</u>
WA 27 Oct. 8, 1941	AA, Inland II geheim, Abschiebung von Juden aus Serbien.	Telegram to plenipotentiary of AA in Belgrade announcing arrival of Eichmann and Rademacher relative to the 8,000 Jews in Serbia.
WA 28 Oct. 3, 1941	do.	Copy of communication from Luther to Rademacher indicating desire to confer with Heydrich about the 8,000 Jews in Serbia.
WA 29 July 31, 1941	AA, Inland II geheim, Lageberichte des SD in der USSR.	Excerpts from situation and activity report by the Einsatzgruppen (murder commandos) of the SD.
WA 30 Oct. 23, 1943- Jan. 17, 1944	AA, Inland II geheim, SD Auslandsmeldungen Ungarn.	Letter from Schellenberg to AA attaching orders by Hungarian General Latakos on antipartisan activities.
WA 31 Apr. 26, 1944	do.	Telegram to AA on personnel changes in Hungarian Embassy in Bern.
WA 32 Sept. 4, 1944	do.	Telegram from Schellenberg to AA reporting on Hungary's decision to take military action against Rumania.
WA 33 Dec. 2, 1943	AA, Gruppe Inland II.	List of addresses of leading members of the SS hierarchy.
WA 34 n.d.	AA, Gruppe Inland II B.	Memorandum on close cooperation of SS offices with AA.

<u>WA No. and Date</u>	<u>Provenance</u>	<u>Description</u>
WA 35 Aug. 7, 1944	AA, Inland II geheim, Material ueber den 20 Juli 1944.	Memorandum on the arrest of Freiherr von Uexkuell, a relative of Stauffen- berg, following the 20 July 1944 attempt to kill Hitler.
WA 36 Aug. 29, 1944	AA, Inland II.	Memorandum pertaining to the interrogation of Graf von der Schulen- burg, who was arrested for complicity in the attempt to kill Hitler.
WA 37 June 22, 1943	AA, Gruppe Inland II.	Letter about the Spanish Government's interest in Jews in the sephardic colony of Greece who were considered to be Spanish citizens.