



# *National Archives and Records Administration*

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## **Selected Records Relating to Human Rights in Brazil, 1964-1985, at the National Archives and Records Administration**

The following is an overview of selected records at the National Archives and Records Administration concerning human rights issues in Brazil between 1964 and 1985. For descriptions of series and digital images of some of the documents, please consult the online catalog of NARA's holdings at <http://www.archives.gov/research/search/>

### **I. General Records of the Department of State (Record Group 59)**

#### A. Central Files

The primary source of Department of State records for documentation on events in various countries is the Department's central file, part of RG 59: General Records of the Department of State. The records consist of communications back and forth between the Department and American diplomatic and consular posts, internal Departmental documentation, communications with other government agencies and private organizations and the public, communications with diplomatic and consular officials from other countries in the United States, and related materials.

The central files described below have been declassified and, with the exception of those few documents requiring continued protection or as otherwise explained, are open and available for public use.

From 1963 to 1973, the Department's central file is arranged according to a pre-determined subject-numeric filing scheme. The files are divided into the following time blocks: February – December 1963, 1964-1966, 1967-1969, and 1970-1973. The records are arranged in seven broad subject classes: (1) Administration; (2) Consular; (3) Culture & Information; (4) Economic; (5) Political & Defense; (6) Science; and (7) Social. Each of the subject classes is further divided into primary subjects, which are further broken down by country, region, or organization. For example, the Political & Defense subject is divided into Communism (CSM), Defense (DEF), Intelligence (INT), and Political Affairs & Relations (POL). There are about 55 different primary subjects. Subjects are further broken down by numerical designations. For example POL 12 covers "Political parties" and POL 12-3 is for "Meetings & Conferences" of political parties.

Each subject is divided by country, region, or organization. The country designator for Brazil is "BRAZ."

Many of the files relating to defense affairs ("DEF") and political affairs ("POL") have the potential to include documentation of interest. The following primary subjects within those and other subject classes are specific files categories especially likely to include documentation of interest:

<b>File Designation</b>	<b>Title</b>
<b>Defense Affairs</b>	
DEF 6 BRAZ [and sub-files]	Armed Forces
DEF 15 BRAZ [and sub-files]	Bases
<b>Political Affairs &amp; Relations</b>	
POL 2 BRAZ [and sub-files]	General Reports & Statistics
POL 12 BRAZ [and sub-files]	Political Parties
POL 13 BRAZ [and sub-files]	Non-Party Blocs
POL 14 BRAZ	Elections
POL 15 BRAZ [and sub-files]	Government
POL 23 BRAZ [and sub-files]	Internal Security. Counter Insurgency.
POL 27 BRAZ [and sub-files]	Military Operations
POL 29 BRAZ	Political Prisoners
POL 30 BRAZ [and sub-files]	Defectors & Expellees
<b>Social Conditions</b>	
SOC 11 BRAZ [and sub-files]	Public Safety & Law Enforcement
SOC 14 BRAZ [and sub-files]	Human Rights. Race Relations

On July 1, 1973, the Department began phasing in a new filing system. In the new system, records were no longer arranged by subject. Documents were indexed using an automated tool with most telegrams being stored electronically, and hard-copy documents (beginning January 1, 1974) being stored on microfilm. Researchers may gain access to the declassified electronic telegrams, index information about the microfilmed documents, and limited information about still-restricted telegrams and index information in the Access to Archival Databases (AAD) on the NARA website at <http://aad.archives.gov/aad/>. The National Archives has accessioned all of these records through 1976. The FAQs about the records includes more information about the organization and use of the records. They are available on-line at [ftp://216.54.96.55/aad\\_docs/rg59\\_state\\_dept\\_faq.pdf](ftp://216.54.96.55/aad_docs/rg59_state_dept_faq.pdf).

The National Archives has accessioned the 1977 electronic telegrams but technical and archival processing of those records is not complete. Until those records are made available on AAD, the Department of State can respond to requests for those records.

The National Archives has accessioned the hard-copy elements of the central file for the period 1977 through 1979, but has not accessioned the electronic finding aids necessary to use those

records. Until the electronic finding aids are accessioned and technical and archival processing completed, the Department of State must provide the citation information needed to locate documents among the hard-copy files.

Central file records dated 1980 and later remain in the custody of the Department of State.

## B. Decentralized Files

Also part of RG 59 are decentralized records of various high level, geographic, and functional offices of the Department. Those files can be a valuable supplement to the documentation found in the central files. While pertinent documentation might be scattered among the files of other offices, files from the Bureau of Inter-American Affairs, the Bureau of Human Rights and Humanitarian Affairs, and the Office of the Legal Adviser will be of most interest:

- Bureau of Inter-American Affairs.
  - **Records Relating to Brazil, 1962-1975.** (National Archives Identifier 2990432)
  
- Bureau of Human Rights and Humanitarian Affairs.
  - **Human Rights Country Files, 1977.** (National Archives Identifier 12538871). Box 2 contains folders “HR – BRAZIL – 76”, “1977 – January – June Human Rights – Brazil” and “1977 – June – December Human Rights – Brazil”. Box 5 contains folders “HR – Latin America – July – Dec.” and “HR – Latin America – Jan-June, 1977”. Box 7 contains folder “1977 – Uruguay – July – December.”
  - **Human Rights Country Files, 1978.** (National Archives Identifier 16357071). Responsive files are located in box 3.
  - **Human Rights Country Files, 1979.** Responsive files are located in box 1 in folder “LA—REGIONAL 1979”. Box 3 contains responsive records in two folders, both titled “BRAZIL 1979.”
  - **Human Rights Country Files, 1980.** (National Archives Identifier 12559545). Box 3 contains folder “BRAZIL-July Thru Dec 1980”.
  - **Human Rights Country Files, 1981.** (National Archives Identifier 18515744). Box 3 contains responsive records in folders “BRAZIL JAN THRU JULY 1981” and “BRAZIL AUG THRU DEC 1981”.
  - **Human Rights Assistant Secretary Country Files, 1977-1980.** Box 1 contains folder “Brazil”.
  - **Human Rights - Argentina, Korea, Namibia, Philippines and Brazil, 1965-1978.** (National Archives Identifier 12546069). Box 1 contains folder HUMAN RIGHTS: BRAZIL Periodic [sic] Reporting.
  
- Office of the Legal Adviser, Assistant Legal Adviser for Human Rights.
  - **Subject File on Human Rights and Refugees, 1972-1981.** (National Archives Identifier 2825318). Box 1 contains two responsive folders. Folder HUMAN RIGHTS: Brazil - Case of two Amcits contains many documents describing the detention of oblate Lawrence Rosebaugh and missionary Thomas Capuano. Folder HUMAN RIGHTS: Brazil - Bulky

Material Vol. II includes the May 31, 1977, Catholic Jurists Report on Human Rights in Brazil and a December 12, 1975 letter from Myles R. R. Frechette to political counselor David E. Simcox forwarding: a letter describing the detention of Affonso Celso Nogueira Monteiro; an October 23, 1975 letter from 35 political prisoners in Sao Paulo describing their experiences, and press clippings on both cases.

- Office of the Legal Adviser, Assistant Legal Adviser for Inter-American Affairs.
  - **Country Files on Latin America, 1968-1979.** Box 3 contains responsive records in folders “HARKIN AMENDMENT BRAZIL 1976-” and “SOC 14 BRAZIL Human Rights (Frederick Morris) 1974 – “.
- Office of the Legal Adviser, Human Rights and Refugees.
  - **Program Subject Files Related to Iran & Latin America, 1962-1983.** Box 1 contains responsive material in folders “HUMAN RIGHTS: Brazil – Viotti da Costa Case 1976”, “HUMAN RIGHTS: FRASER COMMITTEE 1975”, and “HUMAN RIGHTS: Fraser Committee 1977 and 1978, Sub Committee 1977”. Box 2 contains material in folder “HUMAN RIGHTS: Inter-American Human Rights Commission (1971-1980)”.

## **II. Records of Foreign Service Posts of the Department of State (Record Group 84)**

Records of Foreign Service Posts of the Department of State includes the files of the U.S. embassy and consulates in Brazil. To a large extent, those records duplicate the materials found in the central files, although there may be some documentation of a local nature not found among the headquarters records. The filing and preservation of the records have changed over time and many of the files dating after 1948 have not been preserved. For more detail about Foreign Service Post records, please see the reference paper available on-line at: <http://www.archives.gov/research/foreign-policy/state-dept/finding-aids/rg-84-reference-paper.pdf>.

The filing of records at post parallels that of the Department’s central file. Many of the files relating to defense affairs (“DEF”) and political affairs “POL”) have the potential to include documentation of interest. The following primary subjects within those and other subject classes are specific files categories likely to include documentation of interest. Sub-files were not always used by posts:

<b>File Designation</b>	<b>Title</b>
<b>Defense Affairs</b>	
DEF 6 BRAZ [and sub-files]	Armed Forces
DEF 15 BRAZ [and sub-files]	Bases
<b>Political Affairs &amp; Relations</b>	
POL 2 BRAZ [and sub-files]	General Reports & Statistics
POL 12 BRAZ [and sub-files]	Political Parties
POL 13 BRAZ [and sub-files]	Non-Party Blocs

POL 14 BRAZ	Elections
POL 15 BRAZ [and sub-files]	Government
POL 23 BRAZ [and sub-files]	Internal Security. Counter Insurgency.
POL 27 BRAZ [and sub-files]	Military Operations
POL 29 BRAZ	Political Prisoners
POL 30 BRAZ [and sub-files]	Defectors & Expellees
<b>Social Conditions</b>	
SOC 11 BRAZ [and sub-files]	Public Safety & Law Enforcement
SOC 14 BRAZ [and sub-files]	Human Rights. Race Relations

The following is a list of records from the U.S. embassy in Brasilia that have been declassified. Some of the files will require screening before use by researchers.

- **Embassy Brasilia: Unclassified Central Subject File, 1966-1971.**
- **Embassy Brasilia Classified Central Subject File, 1961-1975.** (National Archives Identifier 4709901).
- **Classified Ambassador's Subject File, 1969-1972.** (National Archives Identifier 4644670).
- **Brazil: U.S. Consulate General: Sao Paulo, 1962-1974.** (National Archives Identifier 6233405). Box 1 contains responsive records in folders "350 Political Affairs" and "Communism and Political Affairs".
- **Brazil: U.S. Consulate General: Belem, 1964-1970.** Box 1 contains folder "1964 Classified Files", "POL 6 People. Biographic Data." Box 2 contains folders "POL 1-3 Policy Evaluation" "OUTGOING AIRGRAMS", "OUTGOING telegram". Box 3 contains folder "POLITICAL AFFAIRS & REL. POL 2 Gen. Reports & Stat.", "POL 5", "POL 12 Political Parties", "POL 15", "POLITICAL AFFAIRS & REL. POL 15-1 Head of State. Executive Branch." "POL 15-7", "POL 18", and "POLITICAL AFFAIRS & REL. POL 23 Internal Security. " Box 4 contains folders "POL 23-8 Demonstrations. Riots.", "POLITICAL AFFAIRS & REL. POL 29 Arrests. Detention.", and "PS 11 General".
- **Brazil: U.S. Consulate General: Recife, 1964-1969.** (National Archives Identifier 4662588).
- **Brazil, U.S. Consulate General, Recife: Classified Central Subject Files, 1966.** (National Archives Identifier 4662588)
- **Brazil: U.S. Consulate General: Rio de Janeiro, 1964-1975.** (National Archives Identifier 18515755). Box 4 contains responsive records in folder "POLITICAL AFFAIRS & REL. POL 29 Arrests. Detention." Box 5 contains folder "CSM 14 Communist Youth 1965". Box 7 contains folder "POL – POLITICAL AFF. & REL. BRAZIL APRIL – JUNE 1965". Box 13 contains responsive records in folders "PER 10-2 Foreign Service Political Reporting Appraisals" and "POL – Brazil". Most folders in Boxes 14 through 17 are responsive. Box 18 contains folders "POL 23-8 Demonstrations. Riots. AMB ELBRICK II", "POL 23-8 Demonstrations. Riots", "POL 23-9. Rebellions. Coups.", "POL 29 Political Prisoners" and "POL 30 Defectors & Expellees". Box 20 contains one airgram on Communism in Brazil in folder "CSM – COMMUNISM". Box 23 contains responsive records in folders "POL – URUGUAY", "POL 5-2 ICJ", "POL 13", "POLITICAL AFFAIRS & RELATIONS POL – Political Relations US/Brazil", and "POL 15 MEDICI". Box 24 contains many responsive records in folders "POL 23-8 DEMONSTRATIONS. RIOTS.

PROTESTS. BOMBINGS.”, “POL 23-8 GERMAN AMBASSADOR KIDNAPPING”, and especially “POL 29 POLITICAL PRISONERS – BRAZIL TORTURE ISSUE.” Box 25 contains folders “POL 12 – Political Parties”, “POL 15- Administration of Government”, “POL 15 – Medici (Cassations & arrests)”, “POL 15 - 7 Church-State Relations”, “POL 23-7 Subversion. Espionage”, “POL 29 Arrests. Detention.”, “PS 7-1”, and “PPB – PRESS & PUBLICATIONS”. Box 29 contains testimony in folder “POL 15-7 – Church-State Relations.” Box 30 contains responsive items in folders “POL 23 – Internal Security. Counter-Insurgency.”, “POL 23-8 – BUCHER”, “POL 23-8 – Demonstrations. Riots. Protests.”, “POL 29 – Political Prisoners.”, “POL 29 – TORTURE ISSUE”, “SOC - SOCIAL CONDITIONS”, and “SOC 11 – Public Safety & Law Enforcement.”. Box 33 contains folders “POL – General 1974”, and “POL 13-2 STUDENTS, YOUTH 1974”.

- **Brazil: U.S. Consulate General: Porto Alegre, 1962-1967.** (National Archives Identifier 4492418). Box 1 contains responsive records in folder “POL 18 Provincial, Municipal & State Government”. Box 2 contains folders “DEF 6 Armed Forces” and “POL – POLITICAL AFF. & REL.” Box 3 contains folders “POL 13-2 NON-PARTY BLOCS. Student & Youth Groups. 1967.”, “POL 23 Internal Security.”, “POL 13 Non-Party Blocs”, and “POLITICAL AFFAIRS & REL. POL 29 Arrests. Detention.”
- **Subject Files: Buenos Aires, Dar Es Salaam, Tegucigalpa, Lagos, Dublin, Vienna, Libreville, Kinshasa, Port Moresby, Wellington, Monrovia, Bogota, 1967-1978.** Boxes 1-4 relate to Buenos Aires. Box 1 contains responsive records in folder “POL – Brazil CLASSIFIED 1975”. Box 2 contains documents on possible Argentine-Chilean intelligence cooperation in folder “SOC 14 – Human Rights and Race Relations CLASSIFIED 1975.” Box 4 contains folders “POL 27-11 Guerilla Warfare CLASSIFIED 1976”, “POL 30 Defectors and Expellees CLASSIFIED 1976”.
- **Foreign Service Posts Records: Lot 79 F 141, American Embassy, Brasilia, Classified Subject Files. Lot 79 F 145, American Embassy, La Paz, Classified Subject Files, 1967-1978.** Box 34 contains responsive records in folders “DEF 6-1 Army 1976”, “POL 1 – GENERAL POLICY. BACKGROUND. 1976.” and “POL 2 – GENERAL REPORTS AND STATISTICS. 1976.” Box 35 contains folders “POL 2-3 Current Foreign Relations. 1976.”, “POL 3-1 Organization of American States (OAS) 1976.”, and “POL 3-2 United Nations. 1976.”. Box 36 contains responsive records in folders “POL 12 – POLITICAL PARTIES. 1976.”, “POL 13 NON\_PARTY BLOCS 1976”, “POL 15 – GOVERNMENT. 1976.”, “POL 15-7 Church-State Relations. 1976.”, “POL 23 – INTERNAL SECURITY. COUNTER-INSURGENCY. 1976.”, “POL 29 – POLITICAL PRISONERS. 1976.”, “POL 29-1 Political Prisoners JONES, Stuart Edgar Angel”, “POL 29-2 Political Prisoners KUCINSKI SILVA, Ana Rosa SILVA, Wilson”, “POL 29-3 Letter of Sao Paulo Political Prisoners and Airgram on Treatment of Pol Prisoners in Brazil”, and “POL 29-5 Political Prisoners WRIGHT, Paulo Stuart”. Box 38 contains folder “SOC 14 – HUMAN RIGHTS. RACE RELATIONS. 1976.”
- **Subject Files of Diplomatic Posts: Hong Kong; Cape Town, Frankfurt; Rio de Janeiro, 1973-1977.** Box 11 contains responsive records in folder POL 29.

### III. Records of the Central Intelligence Agency (Record Group 263)

- **Directorate of Science and Technology; Foreign Broadcast Information Service; Foreign Documents Division; Press Information Reports and Digests, 1966-1978.** (National Archives Identifier 1284074). Boxes 1 through 30 contain responsive documents.
- **Press Information Relating to Insurgency and Counterinsurgency: Latin America, 1964-1966.** (National Archives Identifier 1432868).
- **Daily Reports, 1941 – 1981.** (National Archives Identifier 601879).
- **Foreign Broadcast Information Service Daily Reports 1966-1972.** (National Archives Identifier 7454978).
- **Intelligence Publications Files, Daily Report Files, 1952-1987.** (National Archives Identifier 7455875).

### IV. Records of the Agency for International Development (Record Group 286)

Records relating to U.S. assistance to foreign police forces are found in the files of the Office of Public Safety (OPS), part of the RG 286: Records of the U.S. Agency for International Development. The files include records of predecessor organizations. The program of assistance to foreign police forces began during the Eisenhower Administration as the Overseas Internal Security Program (OISP). During the Kennedy Administration, the program was given new impetus, and OPS was established in the new Agency for International Development. Most offices ceased operation in 1974 and the program officially ended in February 1975. Documentation relating to Brazil is found among the following series:

- **Office of the Director, PROGRAM SURVEYS & EVALUATIONS, 1959-1974.** Box 1 contains responsive records.
- **Technical Services Division, GEOGRAPHIC FILES, 1961-1970.** Box 1 contains responsive records.
- **Technical Services Division, GENERAL CORRESPONDENCE RELATING TO GEOGRAPHIC AREAS, 1965-1971.** Box 1 contains responsive records.
- **Latin American Branch, COUNTRY FILE, 1956-1975.** (National Archives Identifier 5661926). Boxes 11 through 21 contain responsive records.
- **Brazil: Rio Subject Files, 1963-1967.** Responsive records pertain to US-sponsored police training. In Box 2 they appear in folders “IPS – INTERNAL DEFENSE & PUBLIC SAFETY 1963 thru FY’65” and “IPS – INTERNAL DEFENSE & PUBLIC SAFETY (NORTHEAST) 1963 – FY’65”. Box 3 contains folder “TRG – TRAINING 1963 thru FY’65”. Box 6 contains folders “PRM 1-2 Assistance Plans FY 1967”, “IPS INTERNAL DEFENSE & PUBLIC SAFETY”, and many responsive records loose in the box including Portuguese-language reports on the organization of the *Departamento Federal de Segurança Pública*.
- **Brazil Project & Subject Files, 1973.** This series contains multiple folders relating to public safety concerns in Brazil. These include “IPS INTERNAL DEFENSE AND PUBLIC SAFETY FY 1969”, “USAID/PSO ACTIVITIES IN SUPPORT OF EMBASSY RE KIDNAPPING OF AMBASSADOR AND SUBSEQUENT INVESTIGATION”, “MEMORANDUMS CY 1969”, “TRAINING (TRG)

CLASSIFIED RETAIN FY 70-71", "PUBLIC SAFETY 70, 71 (OPEV)" and "512-11-710-070 Public Safety FY 68".

**V. Records of the United States Information Agency (Record Group 306)**

- **Files of ILA Domestic and Field Correspondence Concerning USIA Activities in Mexico and Brazil, 1971.** Box 2 contains responsive records in folders with the abbreviations CSM and POL.

**VI. Records of the Defense Intelligence Agency (Record Group 373)**

- **Biographical Files of Brazilian Military Figures, 1961-1979.** (National Archives Identifier 2805724).