

POSTAGE AND FEES PAID
UNITED STATES COURTS

UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF COLUMBIA
U. S. COURTHOUSE
WASHINGTON, D. C. 20001

OFFICIAL BUSINESS

George Gordon Liddy, et al

Cr 1827-72

301

FILED

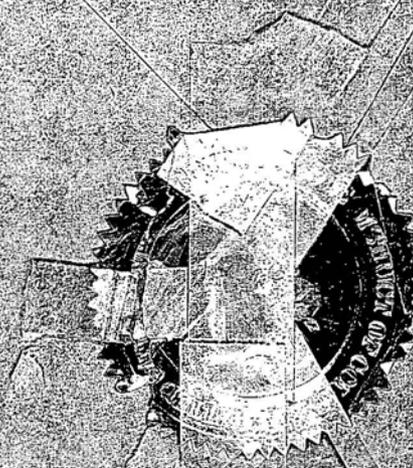
OCT 19 1973

JAMES F. DAVEY, Clerk

Court of Appeal

Presentence Reports

Watergate Cases



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OCT 19 1973

UNITED STATES DISTRICT COURT FOR THE DISTRICT OF COLUMBIA

Del. _____

UNITED STATES OF AMERICA

VS.

GEORGE GORDON LIDDY, ET AL
(In Re: E. Hunt, Jr.)

xx&xx&k
Criminal No. 1827-72
(USCA Nos. 73-2080
73-8081
73-2082
73-2083

I, JAMES F. DAVEY, Clerk of THE UNITED STATES DISTRICT COURT FOR THE DISTRICT OF COLUMBIA, do hereby certify the annexed to be the original:

 X Pleadings (Reports under SEALED envelope by direction of the Court & Order, filed 10-19-73)
 Remaining Papers
 Reporters Transcript(s)
 Exhibits
Exceptions (if any):

United States Court of Appeals
for the District of Columbia Circuit

FILED OCT 19 1973

HUGH E. KLINE
CLERK

and other papers on file in the above-entitled cause, and a true and correct copy (or portion) of the docket entries, as they appear of record in this office; said originals and copy being transmitted to the United States Court of Appeals for the District of Columbia Circuit to constitute:

- A Preliminary Record.
- The Record on Appeal.
- X A Supplemental Record. to Prel. Record.
- A Supplemental Record on Remand.

IN TESTIMONY WHEREOF, I hereunto subscribe my name and affix the seal of said Court, at the City of Washington, this 19th day of October , 197 3 .

JAMES F. DAVEY, Clerk

By Melba V. Buning
Deputy Clerk

(seal)

mb

FILED

OCT 19 1973

JAMES F. DAVEY, Clerk

THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF COLUMBIA

UNITED STATES OF AMERICA)
)
 v.)
)
 GEORGE GORDON LIDDY, et al.)

Criminal No. 1827-72

ORDER

Upon the request of defendants ^{#4} Barker, ^{#5} Martinez,
^{#6} Sturgis and ^{#7} Gonzalez, it is by the Court this 19th day of
October, 1973

ORDERED, That copies of the reports of the Bureau of
Prisons and of the United States Probation Office with respect
to each of said defendants shall be placed under seal for purposes
of transmittal to the United States Court of Appeals as part of the
record in said defendants' appeal of this Court's October 17, 1973
Order (Appellate Case Nos. 73-2080, 73-2081, 73-2082, and 73-2083).


Chief Judge

300

WITHDRAWAL NOTICE

RG: 21 - District Courts of the United States

FOIA Case Number: 7067

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HMS REID: Entry:

Series: US v. Liddy sealed material, box 3

Copies: 1 Total Pages: 46

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The item identified below has been withdrawn from this file:

Folder Title: Presentence reports for the Cubans

Document Date:

Document Type: Legal Document

Special Media: (

From:

To:

Subject: Presentence reports for Bernard Barker, Frank Sturgis, Eugenio Martinez, &
Virgilio Gonzalez

This document has been withdrawn for the following reason(s):

Court Sealed

FOIA(b)(3)

NND: 21577

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UNITED STATES DEPARTMENT OF JUSTICE
BUREAU OF PRISONS
WASHINGTON, D. C. 20537

July 12, 1973

United States Marshal
United States Courthouse
Washington, D. C. 20001

Docket No. 1827-72
Re Production of: BARKER, Bernard L., #25228-145
Federal Correctional Institution
Confined at: Danbury, Connecticut

Appear before: Honorable John J. Sirica

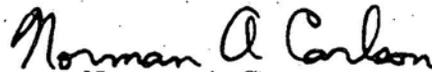
Further Action under: *Title 18 U.S.C. 4208(b)*

Dear Sir:

Our reports and recommendations for the above-named, who was committed for observation and study, have been forwarded to the court on this date.

A decision of the Supreme Court requires that a defendant and his counsel must be present when the sentencing court takes its final action under Title 18, U.S.C., S. 4208(b): (*United States vs. Behrens*, No. 86 October Term 1963). Because the defendant's production in court is for the purpose of imposing the final judgment, a writ of habeas corpus is not required to effect the defendant's return. Please advise the institution in advance, however, of the date you plan to take custody of the defendant. When action is completed, please advise us and request special designation. Please refer to the fact that this was an earlier 4208(b) commitment.

Sincerely,


NORMAN A. CARLSON
Director

✓ cc: Chief USPO, United States Courthouse, 3rd and Constitution Ave. N. W., D. C.
Clerk, U. S. District Court, Mr. James F. Davey, Washington, D. C. 20001

Please furnish the institution and this office with copies of the court's judgment and commitment after the defendant has been brought back and final judgment has been imposed.

Warden, Danbury

UNITED STATES DEPARTMENT OF JUSTICE
BUREAU OF PRISONS
WASHINGTON 20537

PO # 29421
Sawidler

July 12, 1973 Received
Probation Office

JUL 16 1973
U. S. District Court (56)

Re: **BARBER, Bernard L.**
Reg. No. **45228-145**
Docket No. **1027-72**

Honorable John J. Sirica
United States District Court
District of Columbia
Washington, D. C. 20534

Dear Judge Sirica:

We are enclosing two copies of the Classification Study prepared by our staff at the Federal Correctional Institution, Danbury, Connecticut, on Bernard Barber. He was sentenced on March 23, 1973, for a period of study and observation under the provisions of Title 18, USC, Section 4208(b). The significant findings have been summarized in the Staff Evaluation section of this report.

Mr. Barber was born in Havana, Cuba, where he lived with his parents until their divorce when he was approximately four years of age. He was placed with relatives in Cuba and his mother moved to New York where he subsequently joined her and completed high school. He served honorably in World War II and has a reputation of being loyal to the United States. He was recruited by American Government agencies to engage in intelligence activities in Cuba and worked in that country prior to the Castro takeover. He was also a major participant in the Bay of Pigs Invasion. He still is interested in the welfare of Cuba.

Mr. Barber is married and resides in Miami, Florida, where prior to commitment, he had a prosperous real estate business. Mr. Barber is a mentally and physically healthy individual with no emotional or psychological illnesses. He has superior intelligence and has completed some college-level courses. There are currently no restrictions on his employability.

Mr. Barber does not have any correctional treatment needs and he has no prior criminal record. In view of this, we would ordinarily recommend probation. Because of the nature of the offense and the circumstances surrounding it, however, we recognize that probation may be precluded. If the court believes commitment is appropriate, we would suggest that he be sentenced to a short term under the flexible provisions of Title 18, USC, Section 4208(a)(2). If confinement is ordered, we believe he could make a suitable adjustment either in a medium security institution, like Danbury, or a minimum security prison camp, depending on the length of his sentence.

Sincerely,

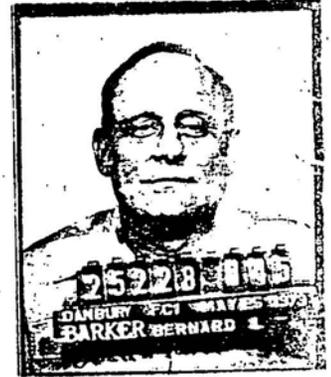
NORMAN A. CARLSON
Director

Enclosure

U. S. DEPARTMENT OF JUSTICE
BUREAU OF PRISONS
SENTENCE DATA SUMMARY

Reg. No. 25228-I45 (E)		Name BARKER, BERNARD J.	
Birthdate 03-17-17	Race W	FBI No.	Residence Miami, Florida
Sent. Date 03-23-73	District D.C.	Sentence & Procedure 4208(B) Study (II)	Offense Conspiracy Burglary II

Prepared At:
F.C.I., Danbury, Conn.



Total Sentence: 4208(B) Study

Date Comm	Exp. Full Term	Full Term-180	Exp. Good Time	Elig. Parole
05-25-73	*	*	*	*

25228-I45 (E)

Reg. No.	Name
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GOOD TIME CHANGES

FORFEITED			WITHHELD			RESTORED		
Date	Amount	New Rel. Date	Date	Amount	New Rel. Date	Date	Amount	New Rel. Date

Date	Parole Board Action	Outcome	Special Notes

BACKGROUND INFORMATION.

No. 5228-145 (E) Name BARKER, Bernard Date June 12, 1973

Name (A (C) or (V) denotes approved correspondents or visitor)	Relationship	Age	Married		Address
			Yes	No	
Clara Barker	Wife				5229 N.W. 4th St; Miami, Fla.
Maria E. Moffett	Daughter				
Edwin Moffett	S/I/L				

FOIA (b) (6)
Court Sealed

Form	To	Address	Relationship of Addressee
1968	1972	5229 N.W. 4th St; Miami Florida	Wife
1963	1968	4400 N.W. 5th St; Miami, Florida.	Wife and Daughter
1959	1963	300 N.W. 23rd Ave; Miami, Florida	Wife and Daughter
1946	1959	Calle 98 #5901 Marianao, Habana, Cuba.	Wife and Daughter
1942	1946	Santa Catalina U.S. Armed Forces.	
1938	1942	Calle 98 #5901 Marianao, Habana, Cuba.	Father
1936	1938	Broadholler, Road; Farmingdale, N.Y.	Mother

Form	To	Employer	Address	Work Performed	Wages
1936	1938	Bethlehem Steel, Corp.	Sparrows Point, Md.	Laborer	\$ 3.66
1942	1946	U.S. Army Air Force			\$ 440. Month
1958	1963	Contract Oquent	Dept. Of State, U.S. Government		\$6,000 Year
1963	1968	G.C. Murphy Co.		Asst. Manager	\$7,000 Year
1968	1972	RealeStates Mary Baker Associates Broker E. Valledur, R.E.		Salesman	\$8,000 to 18,000. year

11. Attorney of Record UNKNOWN	12. U.S. Probation Officer Mr. Herman C. Robson
13. Information Sources USPO	14. Pending Verifications NONE

CLASSIFICATION STUDY

(Continued)

Committed Name BARKER, Bernard L

Register Number 25228-145

STAFF EVALUATION

Illegal Behavior: This is Mr. Barker's first arrest. According to all of the details which we have available, he was recruited by Mr. Hunt, one of the codefendants, and a White House aide and Barker then recruited several other Cubans to perform various intelligence gathering operations in the name of national security. These operations included the surreptitious entry into the office of Daniel Ellsberg's psychiatrist, which eventually caused the government's case against Ellsberg to be dismissed. Barker has lived nearly all of his life as a law abiding citizen, although he admits his involvement in intelligence work with the CIA has included many acts which are generally conceded to be illegal but which were done under sanction of the agency.

Causal Factors: Barker was born in Cuba of American parents, and was raised in an atmosphere of extreme anti-Communism. He appears to have developed a hatred and fear of Communists, sometime bordering on the irrational, which has led him into counter-Communist activities sponsored by the CIA. He has been in the direct employ of the CIA for several years. His extreme anti-Communist orientation as well as his dedication to the CIA and Mr. Howard Hunt, his commander in the CIA, motivated this man to become involved in these illegal activities. It should be noted at this point that Barker knew that what he was doing was illegal, but he states that he believed the activities to be sanctioned by the government. While Barker took great personal satisfaction in participating in activities which could potentially destroy McGovern, whom he believed to be a Communist sympathizer, Barker stated upon further probing that he would not have, under any circumstances, personally initiated this type of activity, and his sole justification for entering into this activity was his recruitment by a trusted former commander whom he believed to be acting in an official capacity.

Assets and Liabilities: In terms of assets, Mr. Barker presents intelligence, extreme patriotism, perseverance, and dedication to duty. He is a strong-willed man who has overcome hardships in the past and who has led a heroic and somewhat admirable life. He has a wife and daughter who are extremely loyal to him, and he will have no trouble in that respect upon release. His liabilities are primarily his unquestioning patriotism which could lead him into trouble of this nature again, as well as his unquestioning anti-Communism which appears to be radical in its manifestations. It should be noted that Barker has many years of faithful service to the CIA. In addition, it is well-documented that he repeatedly risked his life to save others in Cuba at the time of the Castro revolution, and was awaiting the firing squad himself until rescued by the heroic fatal effort of another man. Barker is not seen as criminally inclined.

CLASSIFICATION STUDY

(Continued)

Committed Name BARKER, Bernard L.

Register Number 25228-145 E

(Continued)

Resources: Mr. Barker has a faithful wife and married daughter and he plans to return to Miami, Florida to live with his wife. Although his real estate license remains valid, he suspects that it will be void due to his current criminal involvement. Mr. Barker does own some property in Miami and has made some investments, and plans to return to that community. He seems to remain a confirmed optimist, and states that even if his incarceration is lengthy, he plans to prepare himself through study and practice to become a contractor and engage in the booming land development business in Florida.

Completed by M. J. Klein Date 6-27-73

JK:gp

UNITED STATES DEPARTMENT OF JUSTICE
BUREAU OF PRISONS

CLASSIFICATION SUMMARY

Name: BARKER, Bernard L. DOB: 3-17-17 Age: 56
 Reg. No.: 25228-145 E Institution: FCI, Danbury, Ct. Date Classified B-Study

CURRENT OFFENSE

Official Version & Sentence: Barker is one of the 7 codefendants in the widely celebrated Watergate case. Barker was one of the men who were apprehended inside the Watergate office building complex. The grand jury handed down an 8 count indictment charging that the defendants had entered into a conspiracy on or about May 1, 1972 and continued until June 17, 1972 at which time the arrest occurred. Furthermore, the defendants were charged with two counts of Burglary II, two counts of Interception of Oral and Wire Communications, and two counts of Unlawfully Possessing Intercept Devices. The subject entered a guilty plea which was accepted by the court. Barker, as well as four of his codefendants, E. H. Hunt, V. Gonzalez, E. Martinez and F. Sturgis, were remanded to the Federal Correctional Institution for study and evaluation pursuant to the provisions of 18 USC Section 4208 (B).

Offender's Explanation: Mr. Barker states that the Watergate case actually began with the surreptitious entry into the psychiatrists office of Daniel Ellsberg. Barker was contacted by his codefendant Hunt, with whom he had worked as a CIA operative 10 years' prior during the Bay of Pigs Invasion. Hunt contacted Barker in Miami, and they renewed their social as well as business relationship. Martinez, another codefendant, was soon introduced to Hunt. Hunt recruited Barker and Martinez as well as several other Cuban nationals all living in the Miami area to perform a surreptitious entry on the psychiatrists' office in the name of national security. Hunt allegedly told Barker that the CIA had no jurisdiction, and the FBI was hamstrung by court decisions, but that this was a matter of national security and apparently was officially sanctioned. Barker claims that he did not challenge Hunt, since he knew him to be a presidential aide and a former CIA official. He further says that he did this act in service of his country. Two others were recruited, Martinez and Phillippe De Diago and these men met in Los Angeles. Prior to the actual breakin, they had no idea what was about to happen. Several minutes before the actual entry, they were informed of the mission, which they performed. Barker confides that they were told to make it look like a burglary in search of drugs, which they did. After the Los Angeles entry, Barker was told by Hunt to prepare and organize an intelligence operation for the Miami area to cover the convention and counter intelligence on the proposed Republican San Diego Convention. However, the operation was then terminated. Barker states that somewhere during this time he was given the understanding that if Nixon were re-elected there would be another assault upon Cuba with better support than the Bay of Pigs Invasion, and this assault would probably succeed in liberating Cuba. In addition, Barker repeatedly asserts that he could not see McGovern

CLASSIFICATION STUDY

(Continued)

Committed Name BARKER, Bernard L.

Register Number

25228-145 E

(Continued)

in the White House, as this man had said he planned to extend diplomatic recognition to Cuba and Barker states this would have assured Castro's position. Barker was told by Hunt to look for new recruits, and was then ordered to re-establish contact with Jack Stuart, his former CIA boss who had since retired. Barker says at this point he was reassured that this was an official mission. The Miami intelligence operations was eventually cancelled by Hunt. Barker was soon introduced to a man known as George Leonard, (later discovered to be Gordon Liddy) who brought two checks in the amount of \$89,000.00 and \$25,000.00. One of the checks was allegedly endorsed by Mr. Stahlberg, and Barker, who was a notary public notarized the signature although it was not signed in his presence. This resulted in Barker's later prosecution for misuse of a notary seal. This money eventually was used to finance the Watergate operation. The small group which Barker had formed including most of the present codefendants, were asked to attend J. Edgar Hoover's funeral to guard the casket as well as to infiltrate and weaken the demonstrations and prevent desecration. Apparently this mission was well done, and Hunt was happy with the operation. Soon the group was again called from Miami to perform a double mission, at the McGovern Headquarters and at Watergate. The attempts at McGovern Headquarters failed and the men finally entered Watergate in May. The Miami team was to photograph documents, while Mr. McCord was there to place a listening device. Barker insists that he and the Cubans were not at all involved in the planting of listening devices. Barker, at this point, admits that he knew his activities were illegal, but believed them to be sanctioned by the government. He further states that he rationalized his activities in terms of patriotism, in that he was led to believe that they would find evidence in the Watergate offices that Castro had taken part in financing the McGovern and Kennedy campaigns, and that these documents, once publicized, would end Castro's hold over American politics. The subject, however, admits that he knew he was violating the law when he performed the entry. Barker further states that "although I went in there to do the mission I was sent for, I had nothing against doing what I could to defeat McGovern because I couldn't stand what he stood for, Communism, and comparing our President to Hitler. I couldn't sit back and allow him to recognize Castro".

Codefendants: Four of the codefendants are at this institution undergoing study and observation pursuant to the instant statute. These men are E. Howard Hunt, Virgilio Gonzalez, Eugenio Martinez, and Frank Sturgis. Gordon Liddy, another of the defendants, is presently in the District of Columbia Jail, and the location of the 7th member, James McCord is presently unknown.

PRIOR RECORD

Summary: The subject has no prior record, however, after the facts

CLASSIFICATION STUDY

(Continued)

Committed Name BARKER, Bernard L. Register Number 25228-145 E

(Continued)

in the Watergate case began to unfold, the subject was indicted for Misuse of a Notary Public Seal, and on October 15, 1972 he was placed on 60 days' probation. This offense involved his notarization of the Stahlberg checks. Otherwise, Barker has never been in trouble with the law.

Detainers: There are no detainers or pending charges against this man.

PAST HISTORY

Social: Bernard Barker was born on March 17, 1917. His father was an American citizen and his mother was Cuban. The family apparently had wealth and prestige in Cuba. Barker's parents were divorced when subject was approximately 4 years old, and, although parents remarried, Bernard was raised primarily by his father's maiden sister. The subject and his natural sister spent several years in a Catholic boarding school, and also spent several years in upstate New York where they lived with their mother who has remarried. Barker attended high school in New York. Upon returning to Cuba, he entered the University of Havana, and became the first Cuban to volunteer for service in World War II. The subject was trained in aviation, became a bombardier, and was shot down over Germany where he was confined approximately 18 months until being liberated by the Russian Army. He then returned to the United States, married his wife Clara, and after discharge from the Air Force with the rank of captain and several decorations, he settled in Cuba again. Barker performed several jobs in Cuba, sometimes working for private enterprise such as sales, and sometimes working for the government with the police department. At this point, he was asked by the FBI to join the Cuban Police Department, which also entailed concurrent enlistments in the Cuban Army Reserve, and when he signed his enlistment papers he lost his American citizenship. He eventually was naturalized an American citizen many years later. Barker then began a life of intrigue working for the FBI and later the CIA in undercover capacities in Cuba. He was involved in the revolution which overthrew Batista, but when Castro came to power and turned out to be a Communist, Barker became involved in the underground movement attempting to overthrow Castro. Barker as well as two of his current codefendants, Sturgis and Martinez, were all involved and cited for numerous acts of heroism in the underground movement against Castro. In 1958 Barker migrated to the United States, where he went to work as a researcher for the Wilson Associates. Although Barker does not state so at this time, we are led to believe that this was a CIA undercover operation. Barker was involved in the planning and eventually the execution of the Bay of Pigs Invasion, and was Hunt's executive officer in that operation. After the Bay of Pigs Invasion, Barker, and his family, moved to Chicago, but could not adjust to the cold climate and returned to Miami where they settled. Barker has become involved in many civic activities in Miami and is

CLASSIFICATION STUDY

(Continued)

Committed Name BARKER, Bernard L.

Register Number 25228-145 E

(continued)

a well-respected citizen of that community.

Education: As mentioned above, the subject graduated from Farmingdale High School in Farmingdale, New York and enrolled in the University of Havana where he studied engineering. He left school after approximately one year to join the American Army in World War II.

Military: As noted above, Barker joined the U.S. Army on December 8, 1941, the day war was declared and was the first person in Cuba to enlist. He was commissioned, became an aviation cadet, and was being trained as a navigator. However, he was later re-designated a bombardier, and on his 12th bombing mission over Germany was shot down and taken to a Prisoner of War Camp where he was interned approximately 18 months until being liberated by the advancing Russian Army. Barker was discharged with the rank of captain, and holds numerous citations and medals.

Employment: Barker has held several jobs, but there are large gaps in his employment history when he was working for the CIA. Prior to his arrival in the United States, Barker worked in Cuba as an auto salesman, a member of the Cuban Police Department, a produce contractor, a salesman for the Remington Rand Corporation, and a labor inspector. When he migrated to the United States in 1958, Barker took a job with Wilson Associates as a research investigator, but, as mentioned above, this appears to have been a CIA operation. In 1964, he moved to Chicago where he joined the Roland Corporation and was employed as the assistant to the production manager. However, he soon left due to the climate, and upon his return to Miami was employed by a variety store as a stock clerk but soon was promoted to assistant store manager due to his extremely high intelligence and ability. It should be noted that the dates Barker gives for employment do not match the dates given in the presentence report. Barker resigned from this corporation in February 1969 after passing the real estate licensing examination and became involved in several real estate operations, eventually opening his own office and becoming involved in financing and developing condominiums. At this point, Barker appears to have lost quite a bit of money invested in a condominium operation which at this time appears headed for failure due to his arrest. Martinez, one of the codefendants, was also involved in this business venture.

CURRENT FINDINGS

Character Traits: A psychological report is attached to this study. Barker was interviewed by Edwin A. Rautio, PhD., a clinical psychologist, and Associate Director of our NARA Program. Dr. Rautio saw Barker as somewhat anxious but in general, as polite, courteous and likeable. Dr. Rautio sees Barker as having blinded himself as to his current legal difficulties due to his extreme patriotism. Barker was seen as a person who has a poor personal image and who has compensated by becoming involved in an extremely intriguing occupation. This writer

CLASSIFICATION STUDY

(Continued)

Committed Name BARKER, Bernard L.

Register Number 25228-145 E

(continued)

also notes that Barker's nickname, "Macho," meaning he-man in Spanish, tends to add additional credence to Dr. Rautio's impressions. Dr. Rautio further notes that there is no indication of paranoid ideation or underlying anger which could be seen as having influenced his mood and behavior. Dr. Rautio concludes that although Barker appears to be extremely intelligent, it is questionable whether he allows his intellect or even his common sense to influence his judgement when it comes to activities which give him status or importance. Dr. Ratio thus ascribes Barker's involvement in this extremely intriguing life-style to compensation for his feelings of inferiority.

Physical and Mental Health: As noted above, and in Dr. Rautio's attached report, there is no indication of mental disorder. Our medical department reports that Barker has a lifelong history of nasal obstruction secondary to septal deviation. Barker plans to have surgery on this condition, and expected to have surgery performed prior to his incarceration. There are also some minor dental problems noted. In addition to the medical department report, Barker supplies us with the information that he has had an appendectomy and a hemorrhoidectomy and that he suffers from a condition known as trigger finger. This is described as a condition in which the finger joints lock and twitch, and Barker has suffered from this condition for many years. It had affected most of his fingers, and has been surgically corrected on all but one finger. Nonetheless, Barker describes his health as excellent.

Tests: Barker was administered the revised BETA examination, and displayed an IQ of 129 indicating superior intelligence. He also took the standard achievement test and scored a battery median of 11.4, very close to the upper end of the scale.

Completed by M. Klein Date 6-27-73

MK:gp

FEDERAL CORRECTIONAL INSTITUTION
DANBURY, CONNECTICUT 06810

June 4, 1973

PSYCHOLOGICAL REPORT

RE: BARKER, Bernard L.

NO: 25228-145

Introduction

Mr. Barker initially appeared quite anxious and ill at ease. After a brief period he settled down and his overall affect was affable, polite, and courteous. Although he generally conveyed a friendly attitude at times, he would become suspicious and guarded particularly with anything relative to circumstances that might have been contributing factors to his present incarceration.

He spoke of his current inmate status as being negative on the basis that at his age of 56, his personal time was at a premium with few productive years remaining. Otherwise, his being here he accepted on the basis that he had been a prisoner of war in Germany during World War II and the experience had had a conditioning effect on him being here. Also, he spoke of having an ability to control his feelings and attitudes that were beneficial to him in adjusting to his current situation.

He denied any feeling of depression, but appeared to be employing considerable philosophical ideation and a "chins-up" attitude regarding his inmate status in order to reassure himself that in time he would be vindicated and set free. He spoke of his wife's faithfulness and steadfast loyalty that was beneficial in maintaining his morale. He gave the impression of using up considerable emotional energy to try and convince himself that the reality of his present situation would all terminate in his favor. There was some suggestion of bitterness that he had allowed himself to be caught up in a chain of circumstances that he blinded himself as to the possible consequences because of a dedicated loyalty to causes that were personal to him, but also gave him a sense of purpose and gave his life meaning and relevancy.

Psychological Evaluation

During the latter portion of the interview, Mr. Barker was asked to take part in some psychological testing. After

PSYCHOLOGICAL REPORT

June 4, 1973

BARKER, Bernard L.
NO: 25288-145

Page 2.

an explanation of the nature of the tests and their use as supplemental data relative to the evaluation, Mr. Barker refused on the basis that on prior advice of counsel, he would not take part in this portion of the interview because of the possibility of whatever information was gained could be prejudicial to his case. He denied any attempt to hide underlying emotional problems. Subsequent to the writing of this report, he returned to indicate that on advice of counsel, he was now willing to take part in any testing. It was again explained to him that the testing per se was primarily of a supplemental nature and at this time not that necessary. The option was left open for him to return, to which he readily agreed to do.

He gave the impression of a man with a need to gain a feeling of self importance to counteract a poor personal image. This qualified image was evidenced by his self depreciating references toward himself as an individual, particularly with reference to his level of intelligence which he suggested was not as high as he might wish. He found it necessary to emphasize his tenacity and strength of character, his ability to apply some kind of inner resource that would not fail him in times of need. There was the implication of an individual who needed to confirm and reinforce his masculine image.

Mr. Barker had what appeared to be a compulsive need to talk which could have been due in part to his evident anxiety, but also due to a need to make a good impression and to sell himself. There was no indication of any serious emotional problems at this time. He appeared totally aware of time and place, although attempting to convince himself that the reality of his present situation was but a temporary one. He obviously felt that he was in no position to antagonize anyone or that he would not want to be seen as being uncooperative. His thinking and ideas were clear in content and meaning. They were directed in his favor, but were not biased in such a manner as to gain outright sympathy.

His cautiousness and at times suspicious attitude seemed natural under the circumstances. His overall conversation gave no indication of any paranoid ideation or underlying anger that could be viewed as definite personality characteristics and that could be considered as influencing his mood and behavior.

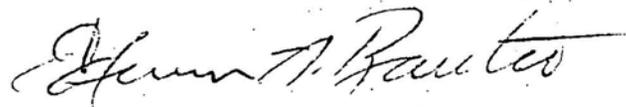
PSYCHOLOGICAL REPORT

June 4, 1973

RE: BARKER, Bernard L.
NO: 25288-145

Page 3.

In summary, Mr. Barker appears to be a man who attempts to deal with feelings of inadequacy by getting himself involved in activities that give him a sense of purpose and feelings of importance. This apparent underlying inferior image could make him open to suggestion and place him in a position whereby he would easily make himself available to be used and to use others. Although he appears to be of at least high average intelligence, it is questionable as to whether he would always allow his intellect or even common-sense reasoning to influence his judgment when the opportunity gives him status or importance. Except for evident anxiety that could be associated with his current situation, he shows no evidence of emotional disturbance, is aware of the gravity of the situation and appears willing to be cooperative under any circumstance that he considers beneficial to his welfare.

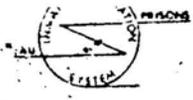


Edwin A. Rautio, Ph.D.
Associate Director
Narcotic Addict Rehabilitation Unit

EAR:sg

**BUREAU OF PRISONS
SOCIAL DATA**

1. Register No. (1-9) 5228-.45		2. Name (Last, First, Middle) (10-34) BARKER, Bernard L.			3. Date Prepared (Mo., Day, Yr.) (35-40) 06-29-73		
4. Institution Name and Location FCI, Danbury, Connecticut			5. Inst. Code (41-43) 145		6. Prepared By M. J. Klein		
7. Social Security No. (44-52) 213 07 0844		Legal Residence Miami	8. City (53-66) Miami		9. State Florida	Code (67-68) 09	
(78-80) C60	Dup Columns 1-9	Probable Release Destination Miami	11. City (10-23) Miami		12. State Florida	Code (24-25) 09	
10. Zip Code (69-73) 33100			13. Zip Code (26-30) 33100				
14. Military History			1 - Yes 2 - No 3 - Unknown			(31) 1	
15. Length of Military Service			Enter Actual Number of Months			(32-34)	
16. Type of Military Discharge			1 - Honorable 3 - Medical 5 - Not Discharged 2 - General 4 - Other than Honorable			(35) 1	
17. Selective Service Violator on Present Offense			1 - Yes 2 - No			(36) 2	
18. Type of Selective Service Violator			1 - Jehovah's Witness 3 - Other Religious 5 - All Other 2 - Traditional Religious 4 - Ideological			(37) *	
19. Marital Status			1 - Single 3 - Widow(er) 5 - Separated 2 - Married 4 - Divorced 6 - Common Law			(38) 2	
20. Citizenship			1 - USA 2 - Canada 3 - Mexico 4 - Other			(39) 1	
21. Age at First Arrest			(Exclude present offense)			(40-41) *	
22. Total Number of Arrests			(Exclude present offense)			(42-43) *	
23. Age at First Commitment of One Year or Less			(Exclude present offense)			(44-45) *	
24. Age at First Commitment of More Than One Year			(Exclude present offense)			(46-47) *	
25. Total Number of Commitments for which 6 Months or More Were Served (Exclude present sentence)						(48-49) *	
NUMBER OF PRIOR COMMITMENTS							
Under Age 18 with Commitment for				Age 18 and Over with Commitment for			
1 Year or Less		More than 1 Year		1 Year or Less		More than 1 Year	
Bureau Prisons	Other	Bureau Prisons	Other	Bureau Prisons	Other	Bureau Prisons	Other
*(50-51)	*(52-53)	*(54-55)	*(56-57)	*(58-59)	*(60-61)	*(62-63)	*(64-65)
26. *	27. *	28. *	29. *	30. *	31. *	32. *	33. *
34. Longest Time Free Since First Commitment (Months)						(56-68) *	
35. Longest Single Time Served on Any Commitment (Months)						(69-71) *	
36. Co-Defendants			1 - Yes 2 - No			(72) 1	
37. Name and Location of Co-Defendants						(78-80) 081	
Gordon Liddy-Wash. D.C. Jail-E.Howard Hunt-FCI, Danbury. Virgilio Gonzalez-FCI, DANbury-Eugenio Martinez-FCI, DANbury James McCord-on bail							
38. Religious Preference: Catholic							



U.S. DEPARTMENT OF JUSTICE
BUREAU OF PRISONS
EDUCATIONAL DATA

1. Register No. (1-9) 25228-145	2. Name (Last, First, Middle) (10-34) BARKER, Barnard	3. Institution Name & Location FCI, Danbury, Ct.	4. Inst. Code (35-37) 145
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SAT SCORES

5. Date of test 05-31-73	(38-43)	13. Arithmetic reasoning	(61-63)	*
6. Name of test 1 - Primary 1 3 - Intermediate 1 2 - Primary 2 4 - Intermediate 2 5 - Advanced	(44)	14. Arithmetic concepts	(64-66)	*
		15. Arithmetic application	(67-69)	*
7. Form: w, x, y or z W	(45)	16. Social Studies	(70-72)	*
8. Battery median 11.4	(46-48)	17. Science	(73-75)	*
9. Paragraph meaning 12.0	(49-51)		(78-80)	Dup Columns 1-9
10. Spelling 09.2	(52-54)	18. Word study	(10-12)	*
11. Language 11.1	(55-57)	19. Word meaning	(13-15)	12.9
12. Arithmetic comprehension 11.7	(58-60)	20. Science social studies	(16-18)	*

21. BETA SCORES

Test 1 (19-20) A) 15	Test 2 (21-22) (B) 15	Test 3 (23-24) (C) 15	Test 4 (25-26) (D) 12	Test 5 (27-28) (E) 13	Test 6 (29-30) (F) 14	Beta IQ (31-33) (G) 129
2. Date of Beta test			(34-39)			
			05-31-73			

23. GATB SCORES

(40-42) *	(43-45) V *	(46-48) N *	(49-51) S *	(52-54) P *	(55-57) Q *	(58-60) K *	(61-63) F *	(64-66) M *
4. Date of GATB test			(67-72) *				(78-80) 071	Dup Columns 1-9
5. Highest school grade completed (GED = 12)			(10-11) 12	26. Age at completion			(12-13) 18	
7. Number of college hours successfully completed						Semester Hours (14-16) (A) 027	Quarter Hours (17-19) (B) *	
8. Job at time of arrest: Realtor						Dot Code (20-22) (A) 186	No. Months on Job (23-25) (B) 024	
9. Longest prior work experience (Job title) Investigator						Dot Code (26-28) (A) 205	No. Months on Job (29-31) (B) 072	
10. Remarks.							(78-80) 072	

o educational programming needed

MEDICAL & RELATED DATA

1. Register No. (1-9) 25228-145	2. Name (Last, First, Middle) (10-34) Barker, Bernard	3. Date Prepared (Mo, Da, Yr) (35-40) 06-12-73
4. Institution Name & Location FCI - Danbury	5. Inst. Code (41-43) 145	6. Prepared by David Kummer, M.D.

MEDICAL DATA

CURRENT DIAGNOSIS	ICDA CODE	TREATMENT PRIORITY	RECOMMENDED PLACE OF TREATMENT
7. Lifelong history nasal obstruction secondary to septal deviation	(44-48) (A) 508.9	(49) (B) 1	(50) (C) 1
8.	(51-55) (A)	(56) (B)	(57) (C)
9.	(58-62) (A)	(63) (B)	(64) (C)
10.	(65-69) (A)	(70) (B)	(71) (C)
	(78-80) 080	Dup Columns 1-9	
11.	(10-14) (A)	(15) (B)	(16) (C)
12.	(17-21) (A)	(22) (B)	(23) (C)

DENTAL DATA

13. Decayed teeth (24-25) *	14. Missing teeth (26-27) 04	15. Filled teeth (28-29) 08	16. Total teeth (30-31) 12
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CURRENT DIAGNOSIS	ICDA CODE	TREATMENT PRIORITY	RECOMMENDED PLACE OF TREATMENT
17. Dental Caries	(32-36) (A) 521.0	(37) (B) 1	(38) (C) 1
18. Periodontal Disease	(39-43) (A) 523.0	(44) (B) 1	(45) (C) 1
19. Acquired Absence of Teeth	(46-50) (A) 525.0	(51) (B) 1	(52) (C) 1

PSYCHIATRIC & PSYCHOLOGICAL DATA

CURRENT DIAGNOSIS	ICDA CODE	TREATMENT PRIORITY	RECOMMENDED PLACE OF TREATMENT
20. *	(53-57) (A) *	(58) (B) *	(59) (C) *
21.	(60-64) (A)	(65) (B)	(66) (C)
22.	(67-71) (A)	(72) (B)	(73) (C)
	(78-80) 081	Dup Columns 1-9	

TREATMENT PRIORITIES: 1 - Immediate 2 - Short term deferred (less than one year) 3 - Long term deferred 4 - None

RECOMMENDED PLACE OF TREATMENT: 1 - At current institution 2 - Within local community 3 - Springfield
4 - Other BOP Institution 5 - Other federal institution

23. MMPI PART NUMBER SCORES

(10-11) L. *	(12-13) F. *	(14-15) K. *	(16-17) 1. *	(18-19) 2. *	(20-21) 3. *	(22-23) 4. *	(24-25) 5. *	(26-27) 6. *	(28-29) 7. *	(30-31) 8. *	(32-33) 9. *	
24. Drug dependence		1 - Non-user 2 - Former user		3 - Recent user 4 - User (Immediate past)		5 - User (not withdrawn)						(34) 1
25. Type of drug		1 - Marijuana 2 - Narcotics		3 - Hallucinogens 4 - Barbiturates		5 - Psycho-stimulants 6 - Other						(35) *
26. Alcoholism		1 - Non-significant use 2 - Former excessive use		3 - Binge use 4 - Habitual excessive use		5 - Other				(36) 1	(79-80) 082	

UNITED STATES DEPARTMENT OF JUSTICE

BUREAU OF PRISONS
WASHINGTON, D. C. 20537

July 13, 1973

United States Marshal
United States Court House
Washington, D. C. 20001

Docket No. 1827-72

Re Production of: STURGIS, Frank A., #25230-145

Federal Correctional Institution

Confined at: Danbury, Connecticut

Appear before: Honorable John J. Sirica

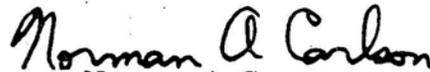
Further Action under: *Title 18 U.S.C. 4208(b)*

Dear Sir:

Our reports and recommendations for the above-named, who was committed for observation and study, have been forwarded to the court on this date.

A decision of the Supreme Court requires that a defendant and his counsel must be present when the sentencing court takes its final action under Title 18, U.S.C., S. 4208(b) : (*United States vs. Behrens*, No. 86 October Term 1963). Because the defendant's production in court is for the purpose of imposing the final judgment, a writ of habeas corpus is not required to effect the defendant's return. Please advise the institution in advance, however, of the date you plan to take custody of the defendant. When action is completed, please advise us and request special designation. Please refer to the fact that this was an earlier 4208(b) commitment.

Sincerely,



NORMAN A. CARLSON

Director

cc: Chief USPO, United States Courthouse, 3rd and Constitution Ave., N. W., D. C.

Clerk, U. S. District Court, Mr. James F. Davey, Washington, D. C.

Please furnish the institution and this office with copies of the court's judgment and commitment after the defendant has been brought back and final judgment has been imposed.

Warden, Danbury

UNITED STATES DEPARTMENT OF JUSTICE
BUREAU OF PRISONS
WASHINGTON 20537

Honorable John J. Sirica
United States District Court
District of Columbia
Washington, D. C. 20534

July 13, 1973

Received
Prison Office

JUL 16 1973

Re: STURGIS, Frank A. (48)
Reg. No. 95120-1A5
Dist. No. 1827-72
District of Columbia

Dear Judge Sirica:

We are enclosing two copies of the Classification Study prepared by our staff at the Federal Correctional Institution, Danbury, Connecticut, on Frank Sturgis. He was committed on March 23, 1973, for a period of study and observation under the provisions of Title 18, USC, Section 4208(b). A summary of the significant findings are contained in the Staff Evaluation section of this report.

Mr. Sturgis' prior criminal record consists of two minor arrests. His parents separated when he was approximately five years of age and he was raised under marginal economic circumstances. He was married on two previous occasions, both of which were unsuccessful, and he is currently residing with his third wife in Miami, Florida. They have one daughter by his wife's former marriage. Mr. Sturgis served honorably during the Second World War and following his discharge has maintained steady employment. He has been involved in a number of covert activities, the most significant of which was his commitment to the Cuban Movement.

Clinical findings indicate that Mr. Sturgis is mentally competent, but he does have some grief and guilt feelings concerning the recent death of his mother. Test scores indicate that he has superior intelligence and he indicates that he has completed two and one half years of college. He does not have any physical limitations that would restrict his employment capabilities.

Mr. Sturgis does not have any correctional needs as such and it is likely that he would respond favorably to a period of probation. We recognize, however, that because of the nature of the offense, there are other factors that must be considered in sentencing. If the court believes commitment is appropriate, we would suggest that he be sentenced to a short term under the flexible provisions of Section 4208(a)(2). If commitment is ordered, depending on the length of the sentence, we would place Mr. Sturgis either in a minimum security camp, such as the one located in Eglin, Florida, or a medium security institution like Danbury.

Sincerely,

NORMAN A. CARLSON
Director

Spalding
Curtis

BACKGROUND INFORMATION

Reg. No. 5230-145 (B)	Name STURGIS, Frank Anthony	Date July 2, 1973
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Name (A (C) or (V) denotes approved correspondents or visitor)	Relationship	Age	Married		Address
			Yes	No	
Janet Surgis	wife				[Redacted] Granby St. Hotel, Norfolk, Va. N.Y.: N.Y. 1990 "M" St; N.W., Wash., D.C.
Gale Mann	S/daughter				
Angelo Fiorini	father				
Don Engle	atty				
Daniel Schultz	att				

RELATIVES ET AL

Form	To	Address	Relationship of Addressee
1960	1973	2515 N.W. 122 St; Miami, Fla.	wife
1957	1960	Cuba	self
1950	1957	Norfolk, Va.	wife
1942	1950	USMC. USN. USA	

RESIDENCES

Form	To	Employer	Address	Work Performed	Wages
1942	1950	USMC. USN. USA			
		Sea Horse Tavern			
1950	1957	Mr. Arthur Bass	Main St; Norfolk, Va.	Managr.	\$150.00pw
1957	1969	Hampton Roads Sal. Co.	Havana, Cuba	owner	\$300.00 pw
1969	1972	Pan American Alum. Corp.	Miami, Fla.	salesman & install.	\$252.00 p

EMPLOYMENT RECORD

11. Attorney of Record Mr. Daniel Schultz	12. U.S. Probation Officer Mr. Herman C. Robson
13. Information Sources USPO	14. Pending Verifications NONE

OTHER

CLASSIFICATION STUDY

(Continued)

Committed Name STURGIS, Frank A.

Register Number 25230-145 B

STAFF EVALUATION

Illegal Behavior: While Mr. Sturgis has two previous arrests recorded for minor offenses, he is not considered a criminally oriented individual, and this can be seen as his first serious departure from the law. There are no detainers or unclarified pending charges to our knowledge.

Subject speaks candidly of his involvement in the instant offense and acknowledges his role. He was implicated with six codefendants, who have varying amounts of culpability relative to the planning and execution of this break-in. Virgilio Gonzalez, Eugenio Martinez, and Frank Sturgis, are seen essentially as technicians who worked under the supervision of Bernard Barker. Howard Hunt and Gordon Liddy played a higher level role in planning and executing the offense. James McCord is said to have taken his orders directly from Gordon Liddy and while he too was a technician, he operated somewhat independently from the others.

Causal Factors: Mr. Sturgis contends that he participated in this offense because he was of the impression that it was being done in the name of national security. The people who recruited his services were long known to him through his and their involvement in the Cuban movement. They were trusted, were known to have occupied positions of high trust and responsibility with our government, and there was little reason to suspect their motives. Because of subject's long involvement in covert activities, the fact that he was asked to participate in what might be seen as an illegal act was not interpreted as illegal on his part.

Subject's past life style and long involvement with the Cuban movement would seem to lend an air of credibility to his statement. He is not a criminally oriented individual, and it is very likely that he participated for the reasons cited.

Assets and Liabilities: Mr. Sturgis is an intelligent individual as demonstrated by his BETA IQ score of 126. Having completed in excess of a high school education, educational programming is not seen as necessary. He has several saleable skills and programming in the area of vocational training is also not seen as needed.

Psychologically, he is a fairly healthy individual. He has some residual grief and guilt which have come about as a result of the recent loss of his mother, but he is dealing with these emotions in an appropriate fashion and the simple passing of time should rectify this problem. Individual psychiatric counselling or group counselling is not a pronounced need, and routine counselling by the case manager and/or probation officer should be appropriate.

As noted above, few programming needs are seen and anticipated involvement in this area is seen as minimal.

UNITED STATES DEPARTMENT OF JUSTICE
BUREAU OF PRISONS

CLASSIFICATION SUMMARY

Name: STURGIS, Frank A. DOB: Dec. 9, 1924 Age: 48
Reg. No.: 25230-145 B Institution: FCI, Danbury, Conn. Date Classified B-Study

CURRENT OFFENSE

Official Version & Sentence: Mr. Frank Sturgis is presently committed to the Federal Correctional Institution, Danbury, Connecticut, under provisions of 4208 (B) for study and observation prior to final sentencing.

On January 15, 1973, subject pled guilty before the Honorable John J. Sirica to all counts of a 7 count indictment returned by the grand jury charging (1) Conspiracy, (2) Burglary II, (3) Unlawful Endeavor to Intercept Oral and Wire Communications, (4) Unlawful Possession of Intercepting Devices. Essentially, Sturgis, in league with codefendants Hunt, Liddy, Gonzalez, Martinez, McCord and Barker, were accused of entering into a conspiracy which began on or about May 1, 1972 and continued until about June 17, 1972 within the District of Columbia. The conspiracy was to intercept, by illegal means, telephone communications from the Democratic National Committee.

In July of 1971, G. Gordon Liddy and E. Howard Hunt were hired by highly placed government officials in the White House, and became part of a select group of individuals later to be known as the "plumbers". These men were initially given the assignment of investigating security leaks in the government, namely the Vietnam Papers. When this project was completed, they were given various subsequent assignments for which it was felt additional help had to be recruited. As is popular knowlege, Mr. Hunt has long been involved in the Cuban movement, he having had a great deal to do in the planning of the Bay of Pigs Invasion. He knew Bernard Barker, also known as "Macho", who had been and still was involved in the Cuban movement in this country. With Barker's help, a number of Cuban individuals were recruited to assist in various assignments given to "the plumbers". It was in this fashion that a number of Cubans came to be associated with this group and were later to become involved in what has been termed the Watergate Affair. Frank Sturgis, an Italian-American by birth, has actively participated in the Cuban Revolution of 1959, and became part of this Cuban contingent. His involvement, to the best of our knowlege, began in late April or early May of 1972.

Early in 1972, for reasons which are yet somewhat unclear but were supposed to involve a threat to national security, the decision was made to break in the Democratic National Headquarters at the Watergate Complex. The assistance of Frank Sturgis was solicited for this task and he agreed to participate. He made several trips from Miami to Washington funded by Barker. Sturgis participated in an effort to prevent a demonstration at J. Edgar Hoover's funeral. Approximately

CLASSIFICATION STUDY

(Continued)

Committed Name STURGIS, Frank A. Register Number 25230-145 B

(continued)

one month before the unsuccessful break-in at the Watergate, Sturgis and several codefendants successfully gained entry into the Democratic National Headquarters by illegal means. This perhaps explained his presence in Washington on the second of three trips he is said to have made.

On June 16, Martinez, Barker, Gonzalez and Sturgis, departed from Miami and arrived at National Airport where they rented a car to take them into the rooms at the Watergate Hotel. In the early morning hours of June 17, this group, accompanied by McCord, broke into the Democratic National Headquarters, their presence detected by security personnel, and they were arrested.

Offender's Explanation: Mr. Sturgis acknowledges his involvement in the instant offense and has been fairly candid in detailing his participation. He indicates that on or about May 1, 1972, he was approached by Barker and his assistance solicited for participation in this illegal break-in. He claims to have been told by Barker that government sources suspected monies from the Castro regime in Cuba were being channeled into the coffers of the Democratic National Committee. Their mission was to obtain proof positive that this was the case. It was sanctioned by very highly placed government officials and being done in the interest of national security. Because of his long involvement in approved covert government operations, Mr. Sturgis contends he had no reason to be suspicious, particularly when the name of E. Howard Hunt was mentioned.

Sturgis was to assist Virgilio Gonzalez, who was the approved "pick man" for this operation. Once entry had been gained, Sturgis would then be responsible for the use of a walkie-talkie.

Codefendants: Howard Hunt, Gordon Liddy, Virgilio Gonzalez, Eugenio Martinez, James McCord, Bernard Barker.

PRIOR RECORD

Summary: Mr. Sturgis is essentially a first offender. While two prior arrests are indicated in the presentence report, they do not appear to be of a serious nature. In 1949, subject was arrested on a charge of disorderly conduct in Portsmouth, Virginia and fined \$15.00. Later, in 1958, he was arrested in Miami, Florida and charged with the Illegal Possession of Arms, but prosecution was declined. Subject also reports other arrests in Mexico and in the Bahamas, but these were apparently tied to revolutionary activities and were never recorded by Interpol, inasmuch as they do not record arrests of a political nature.

Detainers: There are no known detainers or unclarified charges pending at this time.

CLASSIFICATION STUDY

(Continued)

Committed Name STURGIS, Frank A. Register Number 25230-145 B

(continued) PAST HISTORY.

Social: Frank Sturgis, born Frank Angelo Fiorini, has a record of birth on December 9, 1924 in Norfolk, Virginia. Because of incompatibility his parents separated when he was but 5 years of age. An older sister was born of this union and it is reported that she was burned to death in a tragic accident in 1928. The mother apparently blamed the father for this accident and it played a part in their separation.

For most of Mr. Sturgis' developmental years, he lived with his mother. Times were very hard economically, but subject claims he grew to maturity in a climate of warmth and affection. When Mr. Sturgis was approximately 18 years of age, his mother remarried to Almond K. Holsey and by this marriage a daughter Frances was born. Subject is very close to his half-sister. This marriage did not last and a second divorce ensued, followed by a remarriage to Ralph Sturgis. He died a few years ago. The mother has passed away only very recently. Subject's father is still alive and residing in Norfolk, Virginia. The relationship between Mr. Sturgis and his father is not described as especially close.

Subject's first wife Nora Odell Thompson had a rather extensive police record in Norfolk and was known as a prostitute. No record could be found of a legal marriage and it suspected they lived together in a common-law relationship. She was murdered in May of 1956. They had apparently separated for approximately two years prior to her death.

On May 11, 1956 in Norfolk, Virginia, Sturgis married Juanita K. Terrell. No children resulted from this union which was not altogether harmonious and resulted in divorce in 1959. In 1961, subject remarried to Janet Hunt in Miami, Florida. They have one child, the issue of a former marriage on her part. This child, currently age 18, resides with them in their Miami home.

Mr. Sturgis, wife and daughter, currently live in a 3 bedroom home which they are purchasing. The marriage continues intact at the present time and it is expected that it will remain so.

Education: Frank Sturgis completed approximately 10 grades of formal schooling. He advises he completed a GED examination while in the U.S. Marines. While living in Norfolk, subject enrolled at William and Mary College where he took several courses. He claims to have completed approximately 2-1/2 years of college study.

Military: Subject entered the U.S. Marines when he was 17 years of age in September of 1942. He distinguished himself honorably in combat, winning several decorations and being wounded on at least two occasions. He returned to the United States where he received an honorable discharge as a corporal on October 23, 1945. After approximately 3 years as a civilian, Sturgis enlisted in the U.S. Army on August 31, 1948. He was assigned to army security work and

June 12, 1973

PSYCHOLOGICAL EVALUATION

RE: STURGIS, Frank A.
NO: 25230-145

Background and Observation

I met with Mr. Sturgis on May 30, 1973. Mr. Sturgis has been sentenced by the U. S. District Court for study and this interview was part of the evaluation.

The first question I asked Mr. Sturgis was why he was sent here. He discussed his five and a half months in the D. C. Jail and how difficult a period that was for him. He assumed, and could only do this because he was unclear about the reasons for his sentence here, that he was sent to Danbury to await the outcome of the Watergate Investigation, that is, until all the testimony had been completed and evaluated. He could not be sure because no one had mentioned anything to him. While in the D. C. Jail, he felt that he went through a great crisis, and related to the death of his mother. As he started talking about this, he broke down and became extremely emotionally upset. It was 10 to 15 minutes before Mr. Sturgis could pull himself together to continue talking about what has been happening to him of late. Although he knew his mother was sick and he was able to see her, she was in and out of a coma and finally died. It seems as if he never really had an opportunity to mourn her loss. He was able to express these feelings at this time and did so with an outpouring of them during our session. He talked about his life and the separation of his parents when he was very young. His given name was Frank Anthony Fiorino, the son of Mary nee Vona and Angelo Fiorino. He talked about his mother marrying a second time, of losing her second husband while he was in the service and of him having to leave the military to support his mother. Mr. Sturgis proceeded to discuss his entire life from the Second World War up until the recent events. It has been a life filled with excitement, that of a "soldier of fortune" coupled with "cops and robbers", and espionage. Added to this kind of history is the belief that he has been a "protector of the innocent" down through the years. In talking about himself

Psychological Evaluation

June 12, 1973

**RE: STURGIS, Frank A.
NO: 25230-145**

Page 3

war, through the Eisenhower and Kennedy Administration and up until the Watergate situation.

Clinical Impressions

His attitude during the evaluation was very cooperative. He seemed to talk about many, many issues that were very close to him. He had some concern about expressing emotion and to a man, but was able to recognize that it was important for him to do so and was able to release some of the feelings that he has been suppressing for many months. In addition to his cooperative behavior, he was open to questions and responsive to most inquiries that were made to him. His thinking seemed well ordered, there was no indication of cognitive slippage or deficits in any area of thinking; his memory was intact for recent and long past events. His mood was appropriate for the occasion, the incident about becoming tearful over his mother's death was an appropriate grief reaction that still needs to be worked through. The emotion and affect associated with other events in his life was also appropriate. He was given a number of psychological questionnaires to take back and work on in the privacy of his own dormitory.

Test Results

Results of the Minnesota Multiphasic Personality Inventory (MMPI) corroborate the clinical impression during the interview. The pattern of scores in 10 clinical subscales all fall within the normal range and indicate Mr. Sturgis to be a rather healthy person, psychologically.

**George J. Steinfeld, Ph.D.
Associate Director
Narcotic Addict Rehabilitation Unit**

GJS:mjw

**U. S. DEPARTMENT OF JUSTICE
BUREAU OF PRISONS
SOCIAL DATA**

1. Register No. (1-9) 5230-145	2. Name (Last, First, Middle) (10-34) STURGIS, Frank A.	3. Date Prepared (Mo., Day, Yr.) (35-40) 06-26-73
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4. Institution Name and Location CI, Danbury, Connecticut	5. Inst. Code (41-43) 145	6. Prepared By P. Lefebvre
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7. Social Security No. (44-52) 95 18 9240	Legal Residence	8. City (53-66) Miami	9. State Florida	Code (67-68) 09	10. Zip Code (69-73) 33167	
78-80) 60	Dup Columns 1-9	Probable Release Destination	11. City (10-23) Miami	12. State Florida	Code (24-25) 09	13. Zip Code (26-30) 33167

4. Military History	1 - Yes 2 - No 3 - Unknown	(31) 1
5. Length of Military Service	Enter Actual Number of Months	(32-34) 046
5. Type of Military Discharge	1 - Honorable 3 - Medical 5 - Not Discharged 2 - General 4 - Other than Honorable	(35) 1
7. Selective Service Violator on Present Offense	1 - Yes 2 - No	(36) 2
8. Type of Selective Service Violator	1 - Jehovah's Witness 3 - Other Religious 5 - All Other 2 - Traditional Religious 4 - Ideological	(37) *
9. Marital Status	1 - Single 3 - Widow(er) 5 - Separated 2 - Married 4 - Divorced 6 - Common Law	(38) 2
10. Citizenship	1 - USA 2 - Canada 3 - Mexico 4 - Other	(39) 1
11. Age at First Arrest	(Exclude present offense)	(40-41) 25
12. Total Number of Arrests	(Exclude present offense)	(42-43) 02
13. Age at First Commitment of One Year or Less	(Exclude present offense)	(44-45) *
14. Age at First Commitment of More Than One Year	(Exclude present offense)	(46-47) *
15. Total Number of Commitments for which 6 Months or More Were Served	(Exclude present sentence)	(48-49) *

NUMBER OF PRIOR COMMITMENTS

Under Age 18 with Commitment for				Age 18 and Over with Commitment for			
1 Year or Less		More than 1 Year		1 Year or Less		More than 1 Year	
Bureau Prisons	Other	Bureau Prisons	Other	Bureau Prisons	Other	Bureau Prisons	Other
(50-51) *	(52-53) *	(54-55) *	(56-57) *	(58-59) *	(60-61) *	(62-63) *	(64-65) *
27.	28.	29.	30.	31.	32.	33.	34.

16. Longest Time Free Since First Commitment (Months)	(66-68) *
17. Longest Single Time Served on Any Commitment (Months)	(69-71) *
18. Co-Defendants	(72) 1
19. Name and Location of Co-Defendants	(78-80) 081

ward Hunt; Virgilio Gonzalez; Eugenio Martinez; Bernard Barker;
I, Danbury, Ct.
mes McCord on \$100,000 cash bail - G. Gordon Liddy-Washington, D.C. Jail

Religious Preference: Roman Catholic

UNITED STATES DEPARTMENT OF JUSTICE
BUREAU OF PRISONS
WASHINGTON, D. C. 20537

July 12, 1973

United States Marshal
United States Courthouse
Washington, D. C. 20001

Docket No. 1827-72
Re Production of: ~~CONZALEZ, Virgillic R., #25229-145~~
Federal Correctional Institution
Confined at: ~~Danbury, Connecticut~~
Appear before: ~~Honorable John J. Sirica~~
Further Action under: *Title 18 U.S.C. 4208(b)*

Dear Sir:

Our reports and recommendations for the above-named, who was committed for observation and study, have been forwarded to the court on this date.

A decision of the Supreme Court requires that a defendant and his counsel must be present when the sentencing court takes its final action under Title 18, U.S.C., S. 4208(b): (*United States vs. Behrens*, No. 86 October Term 1963). Because the defendant's production in court is for the purpose of imposing the final judgment, a writ of habeas corpus is not required to effect the defendant's return. Please advise the institution in advance, however, of the date you plan to take custody of the defendant. When action is completed, please advise us and request special designation. Please refer to the fact that this was an earlier 4208(b) commitment.

Sincerely,


NORMAN A. CARLSON
Director

✓ cc: Chief USPO, United States Court House, 3rd and Constitution Ave. N. W. D. C.
Clerk, U. S. District Court, Mr. James F. Davey, Washington, D. C. 20001

Please furnish the institution and this office with copies of the court's judgment and commitment after the defendant has been brought back and final judgment has been imposed.

Warden, Danbury

CLASSIFICATION STUDY

(Continued)

Committed Name GONZALEZ, Virgilio Roman Register Number 25229-145 B

(continued) Staff Evaluation

Mr. Gonzalez has no prior criminal record and does not seem inclined in this direction. His involvement in this offense seems to stem from a combination of naivete and poor judgement, and we consider it unlikely that he would engage in such activity in the future.

Resources: As indicated above, Virgilio Gonzalez has few reflected programming needs. Should a term of incarceration follow this study, whatever services that may need to be provided could be afforded in a camp setting or minimum security institution. This man is not a security risk and should provide no adjustment problems wherever he is placed. Because his home is located in Miami, Florida, designation to the Prison Camp at Eglin Air Force Base, Eglin, Florida would perhaps be most appropriate in facilitation / visitation from home and release planning. Community resources include an intact family, a stable residence, and a verified offer of employment.

It is felt that Mr. Gonzalez needs some measure of supervision and guidance, but routine casework counselling and the services of the U.S. Probation Officer in Miami should fill this need adequately.

USPO: Herman C. Robson, Chief

Miami, Florida

Completed by Paul P. Lefebvre-Date June 22, 1973

PPL:gp

CLASSIFICATION SUMMARY

Name: GONZALEZ, Virgilio Roman DOB: [REDACTED] Age: 47
Reg. No.: 25229-145 B Institution: FCI, Danbury, Ct. Date Classified B-Study

CURRENT OFFENSE

Official Version & Sentence: Mr. Gonzalez is presently committed to the Federal Correctional Institution at Danbury, Connecticut under provisions of 4208 (B) for study and observation prior to final sentencing.

According to investigative reports, on January 15, 1973, Mr. Gonzalez pled guilty to all counts of a 7 count indictment charging (1) Conspiracy (2) Burglary II, (3) Unlawful Endeavor to Intercept Oral and Wire Communications, (4) Unlawful Possession of Intercepting Devices. He, in league with codefendants Gordon Liddy, Bernard Barker, James McCord, E. Howard Hunt, and Eugenio Martinez, were accused of entering into a conspiracy which began on or about May 1, 1972 and continued until about June 17, 1972 within the District of Columbia. The conspiracy was to intercept, by illegal means, telephone communications from the Democratic National Committee.

In July of 1971, G. Gordon Liddy and E. Howard Hunt were hired by highly placed government officials and given the assignment of investigating "leaks" in the government, namely the Vietnam Papers. When this project was completed, they were assigned to set up an intelligence network in the Spanish speaking countries of our continent. In performing this assignment, additional assistance was obtained. Mr. Hunt recruited Bernard Barker who was to organize some of the Cubans who had been involved in the Bay of Pigs Invasion and were closely affiliated with the Cuban movement. In this fashion, a contingent of Cubans began to assist Hunt, Liddy and Barker in various assignments given to them by the aforementioned top government officials.

At some point early in 1972, the decision was apparently made to break into the Democratic National Headquarters. On or about May 1, Barker is said to have contacted subject Virgilio Gonzalez, who possessed the special talents of being a locksmith, and solicited his assistance in this task. Gonzalez agreed. Subject made several trips to Washington, D.C. with funds and expenses provided by Barker. On one occasion it has been revealed that he spoke at length to Thomas Gregory, (a "plant" who was working in the Democratic National Headquarters) concerning the locks in the building. On June 16, Gonzalez, along with codefendants Martinez, Barker and Sturgis, departed from Miami and arrived in Washington, D.C. where they took rooms at the Watergate Hotel. At approximately 12:30 on the morning of June 17, this party of individuals, along with McCord, did illegally secure entry into the offices of the Democratic National Committee in the Watergate. Their activities were discovered and their arrest effected shortly after their entry. Specifically, the services of Mr. Gonzalez were only necessary in picking one lock

CLASSIFICATION STUDY

(Continued)

Committed Name GONZALEZ, Virgilio Roman Register Number 25229-145 B

(continued)

in the course of this break-in.

Beyond this unsuccessful attempt, Mr. Gonzalez admits to having successfully broken into the Watergate on one previous occasion.

Offender's Explanation: Mr. Gonzalez freely admits his participation in the instant offense, and explains that he had known Barker, because of his affiliation with the Cuban movement, for some time. He knew Hunt by reputation, and for what he had tried to do for the Cuban people. When approached by the individuals and asked to participate in this assignment, he felt he had no reason to distrust them and accepted their word that there was no illegality involved in this activity. He came to suspect that it may have been illegal when he was arrested and forced to remain in jail beyond a day or two. He claims to have been informed by Barker that finances from the Castro government were being channeled into the Democratic campaign. By helping to break into the National Headquarters, securing their financial records, and proving this suspicion, he would be contributing to the Cuban cause.

Mr. Gonzalez insists that he participated in this activity solely to help his country-Cuba.

Codefendants: G. Gordon Liddy, Bernard Barker, James McCord, E. Howard Hunt and Eugenio Martinez.

PRIOR RECORD

Summary: Mr. Gonzalez has no known record of prior involvement with law enforcement agencies.

Detainers: There are no known detainers or unclarified pending charges.

PAST HISTORY

FOIA(b) (6)
Court Sealed

Social: Mr. Gonzalez was born on [redacted] in Havana, Cuba, the second of four children born to native Cuban parents. Despite his parent's divorce when he was 14, subject indicates that he developed under adequate economic circumstances in a home characterized by warmth and affection. After this divorce, Virgilio remained in Cuba with his mother, until his own marriage. Later, the mother came to Miami where she married once more. This marriage lasted approximately 10 years before it too culminated in divorce. She is currently remarried and residing in Puerto Rico. The father died some 20 years ago.

Mr. Gonzalez married Celia Uloso Gonzalez in 1947 in Havana, Cuba. She had one son from a previous marriage. In 1952, Mr. Gonzalez and his wife came to Miami, Florida and became legal residents in 1954. Two

CLASSIFICATION STUDY

(Continued)

Committed Name GONZALEZ, Virgilio Roman Register Number 25229-145 B

(Continued)

children have resulted from their relationship which continues intact at the present time. Mrs Gonzalez voices support for the husband and it is expected she will stand by her husband through his current difficulties.

Subject and his wife were both naturalized on March 2, 1971. Since their coming to this country, they have resided in the Miami area and are currently purchasing a two-bedroom home in which they live at 930 N.W. 23rd Street, Miami, Florida.

Mr. Gonzalez has long been involved in the Cuban movement. He and his wife originally fled the Batista regime because they found it unbearably oppressive. He disclaims having been involved in the overt underground or guerilla type activity while in Cuba, however. He, like many Cubans, felt betrayed by the Castro government and its Communist affiliations, and shares the hope that one day Cuba will be freed from what is termed the "scourge of Communism".

Education: Mr. Gonzalez indicates that he has completed 12 years of formal schooling at the Institute of Havana. Beyond this, little is known of his academic bearing and achievement.

Military: Mr. Gonzalez has never served in the armed forces of the United States. He returned to Cuba for approximately 5 months in 1959, after the Castro regime came to power and claims to have been in the Cuban Armed Forces as a Navy policeman. for 3 to 4 months. He left Cuba and his association with the Cuban Navy when he became disenchanted with the Castro regime.

Employment: Throughout the course of his life, Mr. Gonzalez has accumulated a rather stable history of employment. While attending high school in Cuba, he worked as a barber's apprentice for his uncle. In the late 1940's, prior to coming to the United States, he was employed by the Cuban Government in the licence office dealing with automobiles and trucks.

After fleeing Cuba in 1952, he came to the United States where he began working for the Stone King Awning Company located in Miami. He worked there until 1957, and eventually achieved the status of foreman. In 1957, he began working for the Missing Link Lock Company where he remained until 1959, when he returned to Cuba. He stayed in Cuba only some 5 months, after which he returned to Miami and began working once more for the Missing Link Lock Company at his former trade of locksmith. In 1953, because of what he claims was an insufficiency of work, he was forced to seek another place of employment. He did so, at the Florida Safe Company, where he remained until 1966 when his former job with the Missing Link Company once more made itself available. He remained at this company until June of 1972 when he was terminated

CLASSIFICATION STUDY

(Continued)

Committed Name GONZALEZ, Virgilio Roman Register Number 25229-145 B

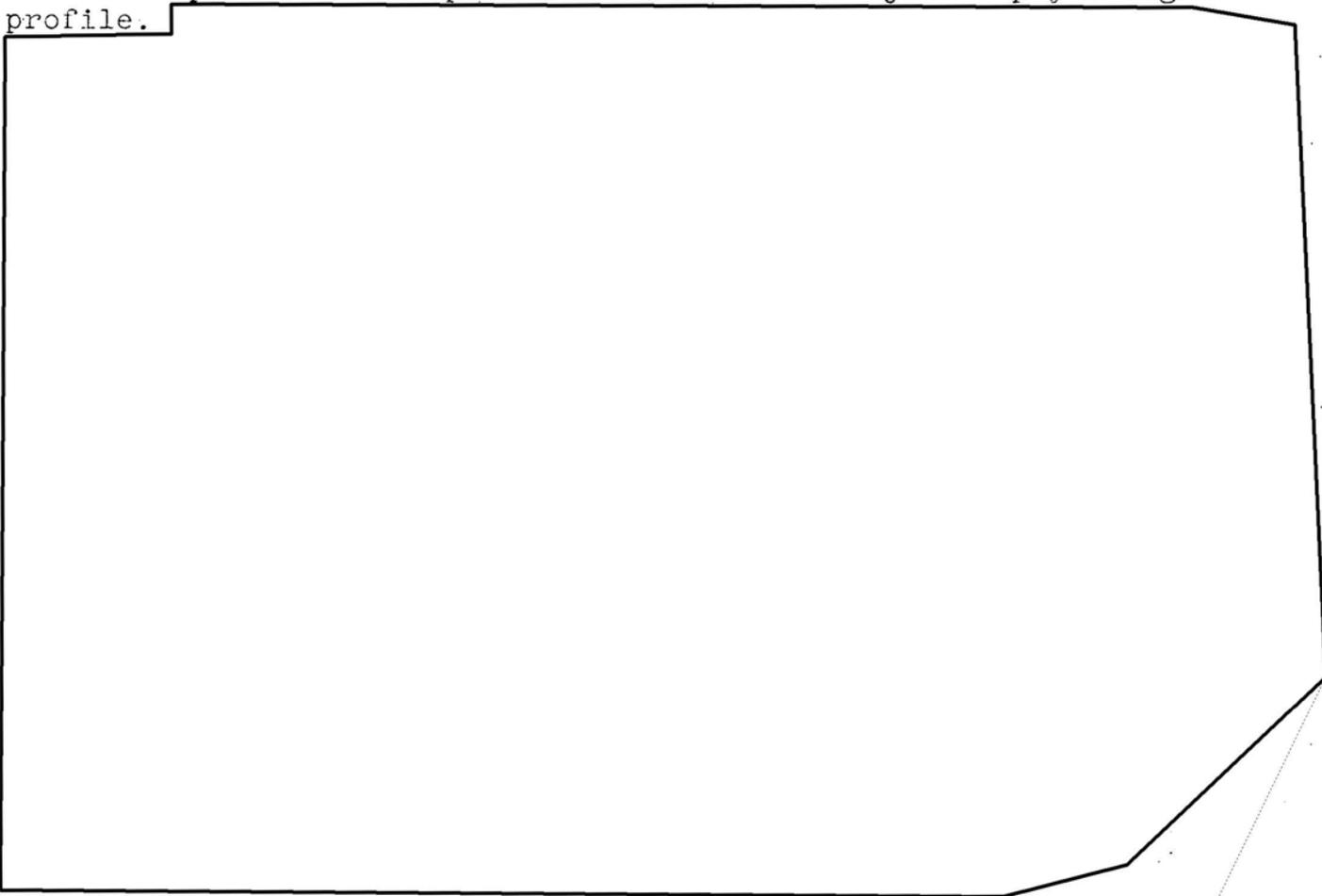
(continued)

for his involvement in this offense. At the time of his leaving he was employed as shop foreman in the safe department.

His work is described in very commendable terms. He was discharged, we understand, because of public reaction to his involvement in the Watergate offense. A former colleague, who had worked for some years with Mr. Gonzalez and ^{who} started his own safe and lock company, has assured our subject of employment at any time.

CURRENT FINDINGS

Character Traits: A psychological evaluation is attached to the classification study. It was conducted at the Danbury Federal Correctional Institution by Dr. Edwin A Rautio, Ph.D. Please refer to this report for a comprehensive review of subject's psychological profile.



USPO: Herman C. Robson, Chief Miami, Florida

Completed by Paul P. Lefebvre - Date June 22, 1973

PPL:gp

FOIA(b) (6)
Court Sealed

June 15, 1973

PSYCHOLOGICAL REPORT

RE: GONZALEZ, Virgillio R.
NO: 25229-145

Introduction

Mr. Gonzalez was seen June 6, 1973. He was an alert, talkative man whose affect was characterized by a friendly, spontaneous attitude and a direct outspoken manner. There was an indication of some difficulty with language comprehension, but this was proven not to be an obstacle.

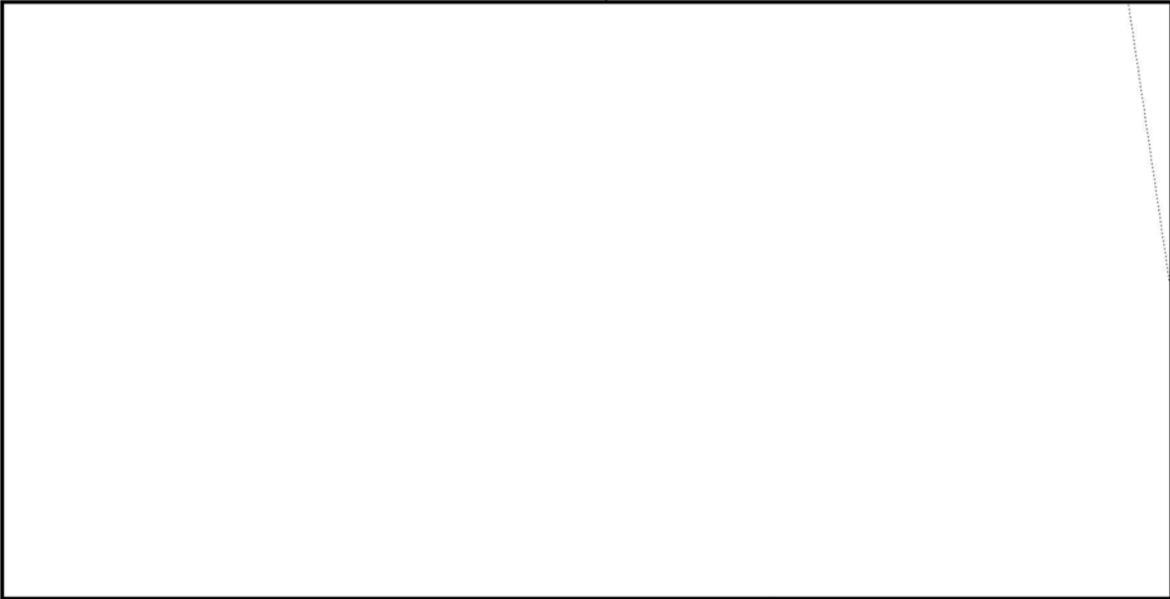


PSYCHOLOGICAL REPORT

June 13, 1973

**RE: GONZALEZ, Virgillio R.
NO: 25229-145**

Page 2.



Edwin A. Rautio
**Edwin A. Rautio, Ph.D.
Associate Director
Narcotic Addict Rehabilitation Unit**

EAR:sg

**BUREAU OF PRISONS
SOCIAL DATA**

FOIA (b) (6)
Court Sealed

1. Register No. (1-9) 25229-145		2. Name (Last, First, Middle) (10-34) GONZALEZ, Virgilio Roman				3. Date Prepared (Mo., Day, Yr.) (35-40) 06-20-73	
4. Institution Name and Location FCI, Danbury, Connecticut			5. Inst. Code (41-43) 145		6. Prepared By P. Lefebvre		
7. Social Security No. (44-52) [Redacted]		Legal Residence	8. City (53-66) Miami		9. State Florida	Code (67-68) 09	10. Zip Code (69-73) 33125
(73-80) 060	Dup Columns 1-9	Probable Release Destination	11. City (10-23) Miami		12. State Florida	Cgde (24-25) 09	13. Zip Code (26-30) 33125
14. Military History			1 - Yes 2 - No 3 - Unknown				(31) 2
15. Length of Military Service			Enter Actual Number of Months				(32-34) *
16. Type of Military Discharge			1 - Honorable	3 - Medical		5 - Not Discharged	(35) *
			2 - General	4 - Other than Honorable			
17. Selective Service Violator on Present Offense			1 - Yes 2 - No				(36) 2
18. Type of Selective Service Violator			1 - Jehovah's Witness	3 - Other Religious		5 - All Other	(37) *
			2 - Traditional Religious	4 - Ideological			
19. Marital Status			1 - Single	3 - Widow(er)		5 - Separated	(38) 2
			2 - Married	4 - Divorced		6 - Common Law	
20. Citizenship			1 - USA	2 - Canada		3 - Mexico	(39) 1
						4 - Other	
21. Age at First Arrest			(Exclude present offense)				(40-41) #
22. Total Number of Arrests			(Exclude present offense)				(42-43) #
23. Age at First Commitment of One Year or Less			(Exclude present offense)				(44-45) #
24. Age at First Commitment of More Than One Year			(Exclude present offense)				(46-47) *
25. Total Number of Commitments for which 6 Months or More Were Served (Exclude present sentence)							(48-49) #

NUMBER OF PRIOR COMMITMENTS

Under Age 18 with Commitment for				Age 18 and Over with Commitment for			
1 Year or Less		More than 1 Year		1 Year or Less		More than 1 Year	
Bureau Prisons	Other	Bureau Prisons	Other	Bureau Prisons	Other	Bureau Prisons	Other
% (50-51)	% (52-53)	% (54-55)	% (56-57)	% (58-59)	% (60-61)	% (62-63)	% (64-65)
26.	27.	28.	29.	30.	31.	32.	33.

34. Longest Time Free Since First Commitment (Months)			(56-68) *
35. Longest Single Time Served on Any Commitment (Months)			(69-71) *
36. Co-Defendants		1 - Yes 2 - No	(72) 1
37. Name and Location of Co-Defendants			(73-80) 081

Gordon Liddy-Wash. D.C. Jail-E. Howard Hunt-FCI, Danbury-Bernard Barker-FCI, Danbury-Eugenio Martinez-FCI, Danbury-James McCord-on bail

38. Religious Preference: Roman Catholic

EDUCATIONAL DATA

1. Register No. (1-9) 25229-145	2. Name (Last, First, Middle) (10-34) GONZALEZ, Virgilio	3. Institution Name & Location FCI, Danbury, Ct.	4. Inst. Code (35-37) 145
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SAT SCORES

5. Date of test (38-43) 05-31-73	13. Arithmetic reasoning (61-63) *
6. Name of test (44) 1 - Primary 1 3 - Intermediate 1 2 - Primary 2 4 - Intermediate 2 5. - Advanced	14. Arithmetic concepts (64-66) *
	15. Arithmetic application (67-69) *
7. Form: w, x, y or z	16. Social Studies (70-72) *
8. Battery median	17. Science (73-75) *
9. Paragraph meaning	(78-80) 070 Dup Columns 1 - 9
10. Spelling	18. Word study (10-12) *
11. Language	19. Word meaning (13-15) 06.0
12. Arithmetic comprehension	20. Science social studies (16-18) *

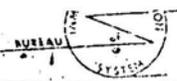
21. BETA SCORES

Test 1 (19-20)	Test 2 (21-22)	Test 3 (23-24)	Test 4 (25-26)	Test 5 (27-28)	Test 6 (29-30)	Beta IQ (31-33)
(A)						
22. Date of Beta test (34-39) 05-31-73						

23. GATB SCORES

(40-42) G *	(43-45) V *	(46-48) N *	(49-51) S *	(52-54) P *	(55-57) Q *	(58-60) K *	(61-63) F *	(64-66) M
24. Date of GATB test (67-72) *				(78-80) 071			Dup Columns 1 - 9	
25. Highest school grade completed (GED = 12) (10-11) 12				26. Age at completion (12-13) 17				

27. Number of college hours successfully completed	Semester Hours (14-16) (A) *	Quarter Hours (17-19) (B) *
	28. Job at time of arrest: Locksmith	Dot Code (20-22) (A) 709
29. Longest prior work experience (Job title) Locksmith	Dot Code (26-28) (A) 709	No. Months on Job (29-31) (B) 156
30. Remarks. No educational programming needed		(78-80) 072



MEDICAL & RELATED DATA

1. Register No. (1-9) 25229-145	2. Name (Last, First, Middle) (10-34) Gonzalez, Virgillio	3. Date Prepared (Mo, Da, Yr) (35-40) 06-05-73
4. Institution Name & Location FCI - Danbury	5. Inst. Code (41-43) 145	6. Prepared by David Kummer, M.D.

MEDICAL DATA

CURRENT DIAGNOSIS	ICDA CODE	TREATMENT PRIORITY	RECOMMENDED PLACE OF TREATMENT
7. Normal Healthy Male	(44-48) (A) Y09.9	(49) (B) 4	(50) (C) 1
8.	(51-55) (A)	(56) (B)	(57) (C)
9.	(58-62) (A)	(63) (B)	(64) (C)
10.	(65-69) (A)	(70) (B)	(71) (C)
	(78-80) 080	Dup Columns 1-9	
11.	(10-14) (A)	(15) (B)	(16) (C)
12.	(17-21) (A)	(22) (B)	(23) (C)

DENTAL DATA

13. Decayed teeth (24-25) 03	14. Missing teeth (26-27) 09	15. Filled teeth (28-29) 06	16. Total teeth (30-31) 18
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CURRENT DIAGNOSIS	ICDA CODE	TREATMENT PRIORITY	RECOMMENDED PLACE OF TREATMENT
17. [Redacted]	(32-36) (A) 521.0	(37) (B) 1	(38) (C) 1
18. [Redacted]	(39-43) (A) 523.0	(44) (B) 1	(45) (C) 1
19. [Redacted]	(46-50) (A) 525.0	(51) (B) 1	(52) (C) 1

PSYCHIATRIC & PSYCHOLOGICAL DATA

CURRENT DIAGNOSIS	ICDA CODE	TREATMENT PRIORITY	RECOMMENDED PLACE OF TREATMENT
20. *	(53-57) (A) *	(58) (B) *	(59) (C) *
21.	(60-64) (A)	(65) (B)	(66) (C)
22.	(67-71) (A)	(72) (B)	(73) (C)
	(78-80) 081	Dup Columns 1-9	

TREATMENT PRIORITIES: 1 - Immediate 2 - Short term deferred (less than one year) 3 - Long term deferred 4 - None

RECOMMENDED PLACE OF TREATMENT: 1 - At current institution 2 - Within local community 3 - Springfield 4 - Other BOP Institution 5 - Other federal institution

23. MMPI PART NUMBER SCORES

(10-11) L. *	(12-13) F. *	(14-15) K. *	(16-17) 1. *	(18-19) 2. *	(20-21) 3. *	(22-23) 4. *	(24-25) 5. *	(26-27) 6. *	(28-29) 7. *	(30-31) 8. *	(32-33) 9. *
24. Drug dependence			1 - Non-user 2 - Former user		3 - Recent user 4 - User (immediate past)		5 - User (not withdrawn)		(34) 1		
25. Type of drug			1 - Marijuana 2 - Narcotics		3 - Hallucinogens 4 - Barbiturates		5 - Psycho-stimulants 6 - Other		(35) *		
26. Alcoholism			1 - Non-significant use 2 - Former excessive use		3 - Binge use 4 - Habitual excessive use		5 - Other		(36) 1		(78-80) 082

HEIGHT: 5'11"

WEIGHT: 170

QUARTERS: Regular Other _____
(Specify)

WORK: Regular Restricted

TYPE AND EXTENT OF RESTRICTION:

REASON:

DIET: Regular Other _____
(Specify)

FULL RECREATION ACTIVITIES, INCLUDING WEIGHT LIFTING:

Authorized Not Authorized

REMARKS:

CERTIFIED: *D. Kummer*

David Kummer, M.D.
Chief, Health Programs

BACKGROUND INFORMATION

Reg. No. 25227-145 (E)		Name MARTINEZ, Eugenio R.			Date June 12, 1973	
RELATIVES ET AL	Name (A (C) or (V) denotes approved correspondents or visitor)	Relationship	Age	Married Yes No		Address
	Sylvia Amengual	fiancee				[REDACTED]
	Yolanda Toscano	daughter				[REDACTED]
	Antonio Toscano	S/I/L				BC 2nd Tank Bat; U.S. Army (EMF)
	Dominick Martinez	son				[REDACTED]
	Tony Toscano	G/son				1990 "M" St; N.W.; Washington, D.C.
Daniel E. Schultz	Atty.					
FOIA (b) (6) Court Sealed						
RESIDENCES	Form	To	Address		Relationship of Addressee	
	5/72	1973	[REDACTED]		Daughter	
	7/69	5/72	4044 N. Meridian Ave; Miami Beach, Florida		wife	
	7/66	7/69	4101 Indian Greek Dr; Miami Beach, Florida		wife	
	7/64	7/65	Treasury Island; Miami Beach, Fla.		wife	
10/59	1964	2301 Pine Tree Dr; Miami Beach, Fla		wife		
EMPLOYMENT RECORD	Form	To	Employer	Address	Work Performed	Wages
	9/71	1973	Barker Ass.	2301 NW 7th St; Miami, Florida	Salesman	Comm.
	11/70	9/71	Keyes Co.	290 SW 27 Ave; Miami, Fla	salesman	Comm.
	1960	1970	Ace Marine Survey Bayfront Eng. & Dev. Corp. Guflant Co.	Miami, Fla. Miami, Fla. Miami, Fla.		
OTHER	11. Attorney of Record Mr. Daniel E. Schultz			12. U. S. Probation Officer Mr. Herman C. Robson		
	13. Information Sources USPO			14. Pending Verifications NONE		

CLASSIFICATION STUDY
(Continued)

Committed Name MARTINEZ, Eugenio R.

Register Number 25227-145

STAFF EVALUATION

Illegal Behavior: This is Mr. Martinez' first arrest, although he was voluntarily deported at the expiration of his tourist visa in 1959. According to the details available, Martinez was recruited by Barker who had been recruited by Hunt. He was given the impression that his activities were a matter of national security and sanction of the executive branch of the government. He had been a CIA operative and a trusted government agent in the past, and he thus had learned not to question his superiors but simply to follow orders. Martinez claims that his role in the current offense was to photograph documents at the Watergate offices of the Democratic National Convention. Prior to this, he had participated in the surreptitious entry of the office of Daniel Ellsberg's psychiatrist, also having been recruited by Hunt and Barker, again under the assumption that this was a government sanctioned activity with the purpose being the maintenance of national security. He admits that some of his prior activities for the CIA had been essentially illegal but had the backing of the government and were thus sanctioned.

Causal Factors: This man is a Cuban patriot and is also an American patriot. He was deeply involved in Cuban social and political activities, and when the Batista regime came to power, he became actively involved in the anti-Bastista revolution. When Castro came to power, he similarly became involved in anti-Castro revolutionary activities. He migrated to the United States, was recruited by the CIA, and became a leader in the Bay of Pigs Invasion, as captain of a small boat, having made several hundred heroic missions. He was seen as a hero in the Cuban district of Miami and is referred to as "Muscalito", meaning muscle man. Martinez believed that this offense was part of a mission sanctioned and ordered by the government, and states that he was not aware of the illegality of the mission until after his arrest, when some of the facts became known. As a CIA agent, he learned early never to ask questions, and thus did not question Barker and Hunt.

Assets and Liabilities: In terms of assets, Mr. Martinez has a very high intelligence and is outgoing and friendly. He is extremely patriotic and is very aggressive. In addition, his long history of heroic activity makes him a natural leader in his Cuban-American community of Miami. Liabilities primarily include his deteriorating health as well as his unquestioning loyalty to his superiors which led him into the current offense. He is definitely not seen as having criminal intentions.

Resources: Martinez is engaged to be married. He has a married daughter from his first marriage living in Miami with whom he has made his home in the past and who will offer assistance. Also, he is a successful

CLASSIFICATION STUDY

(Continued)

Committed Name MARTINEZ, Eugenio Register Number 25227-145

Staff Evaluation (continued)

salesman having worked with Bernard Barker in the real estate development field in Florida, and there is an offer of employment working as a sales executive for the company where he was last employed. Although there are no rehabilitation goals for this man, he can nonetheless benefit from drafting classes at our institution which could better equip him in the field of real estate development and contracting.

Completed by M. J. Klein Date 6-28-73.

MK:gp

CLASSIFICATION SUMMARY

Name: MARTINEZ, Eugenio R. DOB: Age: 50
 Reg. No.: 25227-145 Institution: FCI, Danbury, Ct. Date Classified B-Study

CURRENT OFFENSE

Official Version & Sentence: Martinez is one of the 7 codefendants in the widely celebrated Watergate case. Martinez was one of the men who were apprehended inside the Watergate office building complex. The grand jury handed down an 8 count indictment charging that the defendants had entered into a conspiracy on or about May 1, 1972 and continued until June 17, 1972 at which time the arrest occurred. Furthermore, the defendants were charged with 2 counts of Burglary II, 2 counts of Interception of Oral and Wire Communications, and 2 counts of Unlawfully Possessing Intercept Devices. The subject entered a guilty plea which was accepted by the courts. Martinez, as well as four of his codefendants, E. H. Hunt, V. Gonzalez, B. Barker, and F. Sturgis were remanded to the Federal Correctional Institution for study and evaluation pursuant to the provisions of 18 USC Section 4208 (B).

Offender's Explanation: Martinez states that he has long been a member of anti-Castro elements in Cuba. He came to the United States on a tourist visa in January 1959, returned to Cuba and then returned to the United States in December 1959 on a resident visa. Martinez settled in Miami where he was recruited by an organization known as the Movimiento Revolucionario De Recuperacion "MRR". This organization later turned out to be an arm of the CIA. Martinez claims that he participated in the Bay of Pigs Invasion and was well known in the Miami area as a patriotic Cuban who was extremely anti-Castro. Martinez was introduced to Howard Hunt by Bernard Barker and Barker informed Martinez that Hunt was a representative of the government, a former commanding officer in the CIA, and a leader of the Bay of Pigs Invasion. This meeting was a social one, occurring in the presence of Hunt's wife. Several days later Martinez received a note stating that if he was still the man he had been 10 years before he was wanted for another mission. Martinez took this to mean that if he still was willing to risk his life in the name of Cuban liberation, his services were wanted by the CIA. Martinez immediately answered that he still was as he had been 10 years ago, and at this point he was told that the mission was one of national security, involving a traitor delivering information to the Russian Embassy. He was told a group was being formed to deal with certain national security problems over which the CIA had no jurisdiction and in which the FBI could not become involved due to certain court cases. Thus, an organization was being formed to bridge the gap, and Martinez believed that Hunt was officially designated to form this organization. When Martinez later found out that Hunt was working in the White House, this lent further credence to his previous assumptions. Martinez states that he was led to believe that this was the beginning of a new underground organization which would eventually

CLASSIFICATION STUDY

(Continued)

Committed Name MARTINEZ, Eugenio R.

Register Number 25227-145

(continued)

perform a Cuban invasion, and he was totally assured that the mission had the sanction of the U.S. Government.- Martinez was called to Los Angeles, and, as he had been taught many times in the past, did not ask questions. Several minutes before the Ellsberg surreptitious entry, Martinez was told where they were going, but details were not given. At that time they were told to enter the psychiatrists' office, look for any and all information bearing Ellsberg's name, and make it look like a narcotics burglary. After completing this mission, Martinez returned to Miami. He was recruited for the Hoover funeral detail, and again was reassured of the legitimacy of the operation, since Barker had made all arrangements through Hunt whose offices were in the Executive Office building and who was known to be on the White House staff. After the Hoover funeral, Barker told Martinez to keep in shape for another operation in the near future. Martinez was then recruited for the Watergate operations, in which he describes his role simply as an operative. He claims he did not know why he was doing what he was doing, but he had extreme trust in his superiors, particularly Barker, whom he had known for more than 20 years and whom he had always trusted. He also trusted Hunt who was known to him as "Eduardo", the commander of the Bay of Pigs Invasion, and he says he committed the Watergate Caper because Eduardo gave the order. Martinez still maintains that at all times he believed he was working for the government. Martinez' main job in the Watergate break-in was to take photographs. He vehemently denies any decision making. He says that the team was told to look for foreign contributors and possibly subversive contributors to the McGovern campaign, as the word had been given that the McGovern campaign as well as possibly Senator Kennedy's campaign were financed by Communist organizations and by Castro himself. Again Martinez stresses that he committed this act solely under the impression that he was working for the government. After the arrest, Martinez consulted a defense lawyer, and the defense lawyer advised him to plead guilty, as he had been caught in the act and there was no possible defense. Also, at this point, Martinez still believed that this was an officially sanctioned government operation, and that he could not divulge any of his instructions as this might cause the disclosure of classified information. He expected to be rescued by higher government officials who would intervene in his behalf. Martinez explains that on previous occasions he had been told that certain of the CIA clandestine operations involved arrest risk, but if arrested government intervention would soon follow.

Codefendants: Four of the codefendants are at this institution undergoing study and observation pursuant to the instant statute. These men are E. Howard Hunt, Virgilio Gonzalez, Bernard Barker and Frank Sturgis. Gordon Liddy, another of the defendants, is presently in the District of Columbia Jail, and the location of the seventh member, James McCord is presently unknown.

CLASSIFICATION STUDY

(Continued)

Committed Name MARTINEZ, Eugenio R. Register Number 25227-145

(continued)

PRIOR RECORD

Summary: Martinez has no prior record, but was voluntarily deported in 1959 when his tourist visa expired.

Detainers: There are no detainers or pending charges against this man.

PAST HISTORY

Social: Eugenio Martinez was born on [redacted] on Artemesa, Cuba. Although his parents were originally poor farmers, the father eventually went into business as a real estate operator and became quite wealthy. Both of his parents are now dead, his mother having died several years ago in Cuba and his father having died two years ago there. Martinez is an only child, and in his youth was very atheletic and was known as a muscle man. He had enrolled in college, and was studying to become a physician but when his father became sick, Eugenio left school to take over the business. During this period of time, Martinez married his first wife Mercedes in 1940. A daughter was born but the marriage ended in divorce two years later. The subject still maintains close contact with his married daughter, and has stayed at her home on many occasions. After the breakup of his marriage and his departure from school and entry into business, Martinez became interested in politics, partly through the influence of his paternal uncle who was very wealthy and also very involved in Cuban politics. When Batista took over Cuba, Martinez joined an underground revolutionary movement against Batista. Then, when Castro came to power, Martinez as well as many of his partners in the anti-Batista movement realized that they had been fooled into establishing a Communist government, and immediately began an anti-Castro movement. As mentioned above, Martinez emigrated to the United States in 1959, and settled in Florida. He eventually became a naturalized citizen in July 1970. Martinez was deeply involved in the CIA anti-Castro activities in Florida, which led him directly to the Bay of Pigs Invasion. In 1959 Martinez married Jean Walton an American citizen who had a son by a previous marriage. The son, with whom Martinez is extremely close changed his legal name to Martinez and is now a U.S. Marine. The son idolizes his stepfather and they enjoy a very close relationship. As mentioned, Martinez was very active in the CIA, [redacted]

However, Martinez chose not to discuss this matter.

Education: As discussed earlier, Martinez attended the University of Havana where he studied medicine. He actually completed nearly 4 years of higher education, and left school when his father became ill and he was needed to run the family business.

Military: Martinez has never served in the armed forces.

CLASSIFICATION STUDY

(Continued)

FOIA(b) (3)
Court Sealed

Committed Name MARTINEZ, Eugenio R.

Register Number 25227-145

(continued)

Employment: Martinez has worked primarily as a sailor and captain of small boats since his arrival in the United States. Only recently has he begun working in real estate. In 1959 he began working for a small shipping salvage company which apparently was a front for the CIA. Over the years, he has worked for several such organizations, and although he is not at liberty to state whether they were actually CIA fronts, the implication is clear that they were. Martinez is noted in the presentence report [REDACTED]

[REDACTED] Martinez says that he would very much like to tell his war stories, especially about the Bay of Pigs Invasion, but that most of this information remains classified and unfortunately he cannot discuss these matters.

CURRENT FINDINGS

Character Traits: A psychological report is attached to this study. Martinez was interviewed by Edwin A. Rautio, Ph.D. a clinical psychologist and Associate Director of our NARA Program. Dr. Rautio saw Martinez as [REDACTED]

FOIA(b) (6)
Court Sealed

CLASSIFICATION STUDY

(Continued)

Committed Name MARTINEZ, Eugenio R. Register Number 25227-145

(continued)



Completed by M. J. Klein Date 6-28-73

MJK:gp

FOIA(b) (6)
Court Sealed

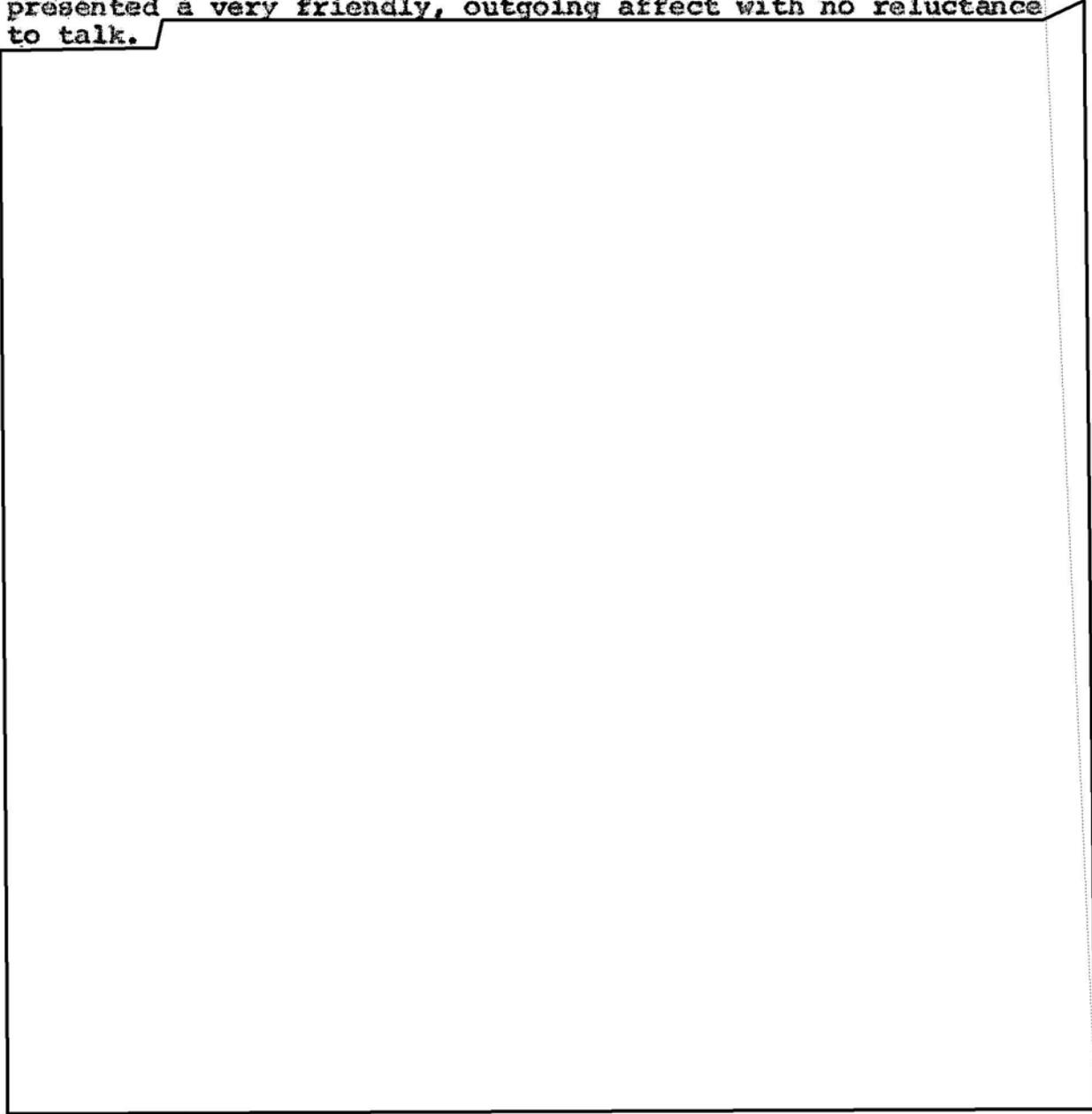
FEDERAL CORRECTIONAL INSTITUTION
DANBURY, CONNECTICUT 06810

June 6, 1973

PSYCHOLOGICAL REPORT

RE: MARTINEZ, Eugenio R.
NO: 25227-145

Mr. Martinez was seen for an evaluation on June 1, 1973. He presented a very friendly, outgoing affect with no reluctance to talk.



PSYCHOLOGICAL REPORT

June 6, 1973

RE: MARTINEZ, Eugenio R.
NO: 25227-145

Page 2.



Edwin A. Rautio, Ph.D.
Associate Director
Narcotic Addict Rehabilitation Unit

EAR:sg

EDUCATIONAL DATA



1. Register No. (1-9) 25227-145	2. Name (Last, First, Middle) (10-34) MARTINEZ, Eguenio	3. Institution Name & Location FCI, Danbury, Con n.	4. Inst. Code (35-37) 145
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SAT SCORES

5. Date of test 05-31-73	(38-43)	13. Arithmetic reasoning	(61-63) *
6. Name of test 1 - Primary 1 3 - Intermediate 1 2 - Primary 2 4 - Intermediate 2 5 - Advanced	(44)	14. Arithmetic concepts	(64-66) *
		15. Arithmetic application	(67-69) *
7. Form: w, x, y or z	[Redacted]	16. Social Studies	(70-72) *
8. Battery median		17. Science	(73-75) *
9. Paragraph meaning		(78-80) 070	Dup Columns 1-9
10. Spelling		18. Word study	(10-12) *
11. Language		19. Word meaning	(13-15) 08-8
12. Arithmetic comprehension		20. Science social studies	(16-18) *

21. BETA SCORES

Test 1 (19-20)	Test 2 (21-22)	Test 3 (23-24)	Test 4 (25-26)	Test 5 (27-28)	Test 6 (29-30)	Beta IQ (31-33)
(A) [Redacted]						
22. Date of Beta test	(34-39) 05-31-73	[Redacted]				

23. GATB SCORES

(40-42) G *	(43-45) V *	(46-48) N *	(49-51) S *	(52-54) P *	(55-57) Q *	(58-60) K *	(61-63) F *	(64-66) M *
24. Date of GATB test	(67-72) *	(78-80) 071	Dup Columns 1-9					
25. Highest school grade completed (GED = 12)	(10-11) 12	26. Age at completion						(12-13) 17
27. Number of college hours successfully completed						Semester Hours (14-16) (A) 072	Quarter Hours (17-19) (B) *	
28. Job at time of arrest: Real estate salesman						Dot Code (20-22) (A) 186	No. Months on Job (23-25) (B) 012	
29. Longest prior work experience (Job title) Ship captain						Dot Code (26-28) (A) 197	No. Months on Job (29-31) (B) 120	
30. Remarks.						(78-80) 072		

No educational programming needed

MEDICAL & RELATED DATA

1. Register No. (1-9) 25227-145	2. Name (Last, First, Middle) (10-34) Martinez, Eugenio	3. Date Prepared (Mo, Da, Yr) (35-40) 06-12-73
4. Institution Name & Location FCI - Danbury	5. Inst. Code (41-43) 145	6. Prepared by David Kummer, M.D.

MEDICAL DATA

CURRENT DIAGNOSIS		ICDA CODE	TREATMENT PRIORITY	RECOMMENDED PLACE OF TREATMENT
7.	[REDACTED]	(44-48) (A) 401.	(49) (B) 1	(50) (C) 1
8.		(51-55) (A) 783.7	(56) (B) 1	(57) (C) 1
9.		(58-62) (A) 535.	(63) (B) 1	(64) (C) 1
10.		(65-69) (A) 728.7	(70) (B) 1	(71) (C) 1
		(78-80) 080	Dup. Columns 1-9	
11.		(10-14) (A)	(15) (B)	(16) (C)
12.		(17-21) (A)	(22) (B)	(23) (C)

DENTAL DATA

13. Decayed teeth (24-25) *	14. Missing teeth (26-27) 05	15. Filled teeth (28-29) 17	16. Total teeth (30-31) 22	
CURRENT DIAGNOSIS		ICDA CODE	TREATMENT PRIORITY	RECOMMENDED PLACE OF TREATMENT
17.	[REDACTED]	(32-36) (A) 521.0	(37) (B) 1	(38) (C) 1
18.		(39-43) (A) 523.0	(44) (B) 1	(45) (C) 1
19.		(46-50) (A) 525.0	(51) (B) 1	(52) (C) 1

PSYCHIATRIC & PSYCHOLOGICAL DATA

CURRENT DIAGNOSIS		ICDA CODE	TREATMENT PRIORITY	RECOMMENDED PLACE OF TREATMENT
20.	*	(53-57) (A) *	(58) (B) *	(59) (C) *
21.		(60-64) (A)	(65) (B)	(56) (C)
22.		(67-71) (A)	(72) (B)	(73) (C)
		(78-80) 081	Dup. Columns 1-9	

TREATMENT PRIORITIES: 1 - Immediate 2 - Short term deferred (less than one year) 3 - Long term deferred 4 - None

RECOMMENDED PLACE OF TREATMENT: 1 - At current institution 2 - Within local community 3 - Springfield 4 - Other BOP Institution 5 - Other federal institution

23. MMPI PART NUMBER SCORES

(10-11)	(12-13)	(14-15)	(16-17)	(18-19)	(20-21)	(22-23)	(24-25)	(26-27)	(28-29)	(30-31)	(32-33)
L. *	F. *	K. *	1. *	2. *	3. *	4. *	5. *	6. *	7. *	8. *	9. *
24. Drug dependence		1 - Non-user 2 - Former user		3 - Recent user 4 - User (Immediate past)			5 - User (not withdrawn)				1 (34)
25. Type of drug		1 - Marijuana 2 - Narcotics		3 - Hallucinogens 4 - Barbiturates		5 - Psycho-stimulants 6 - Other					* (35)
26. Alcoholism		1 - Non-significant use 2 - Former excessive use		3 - Binge use 4 - Habitual excessive use		5 - Other				1 (36)	(78-80) 082

BP-8 (11-69) *Asterisk denotes not applicable field. Original to Data Control Section within 45 days of commitment.

FPI LC-6-71-15M-5827

HEIGHT: 5'8"

WEIGHT: 168

QUARTERS: Regular Other _____
(Specify)

WORK: Regular Restricted
but

TYPE AND EXTENT OF RESTRICTION:

REASON:

DIET: Regular Other _____
(Specify)

FOIA (b) (6)
Court Sealed

FULL RECREATION ACTIVITIES, INCLUDING WEIGHT LIFTING:

Authorized Not Authorized

REMARKS:

CERTIFIED: *D Kummer*

David Kummer, M.D.
Chief, Health Programs