104-10106-10300

RETURN TO CIA
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ORIGINAL

Yurily Ivanovich NOSENKO

IDENTIFICATION: NOSENKO, Yurily Ivanovich, a Soviet KGB officer who defected in 1964 and was subsequently involuntarily confined in the U.S. by the Agency for a period of approximately three years.

BACKGROUND : NOSENKO first contacted Agency personnel in Switzerland in June 1962 and subsequently defected in January 1964. NOSENKO was brought to the U.S. and after a period of relative freedom he was involuntarily confined in April 1964. From April 1964 to August 1965, he was confined at an Agency controlled site in Clinton, Maryland. From August 1965 to October 1967, NOSENKO was confined at [redacted] Virginia. In October 1967, he was returned to the Washington area, given increasing amounts of freedom until he was given total freedom in April 1969. NOSENKO became a U.S. citizen in April 1974. NOSENKO was employed by the Agency as a consultant in March 1969 and continues under contract to date. His present salary is $23,500 per year.

APPROVAL : (1) The legal basis to confine NOSENKO against his will was discussed by Mr. Richard Helms, DD/P, and others with the Deputy Attorney General on 2 April 1964 (Tab 1). On 3 April 1964, the Agency General Counsel, Mr. Lawrence Houston, advised the Director of Security by memorandum that such confinement was proper (Tab 2).

(2) There are a series of letters from the DDCI, DCI and others to various agencies, including the Department of Justice and the White House, covering the period 1964 to 1969, evidencing awareness of these agencies of the NOSENKO affair (Tab 3).

(3) The conditions of NOSENKO's confinement were established by the Office of Security (Tabs 4 & 5).

1/pd

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RESULTS : By memorandum dated 2 October 1968, the Director of Security forwarded two reports to the DDCI summarizing the results of the NOSENKO case to that date. The reports are voluminous. One was prepared by the Office of Security and the other by the FBI. Both reports conclude that NOSENKO was a bona fide defector. A draft memorandum attached to this file, dated 21 October 1968 and prepared by the CI Staff, raises question regarding NOSENKO's bona fides and challenges the above two reports.

TERMINATION : NOSENKO continues under contract to the Agency. He is brought to Washington periodically to consult on matters germane to his background and experience. Various reports are available in the files of the Office of Security which detail financial aspects of this case. No final accounting has been made since the project continues.

COMMENTS : (1) While the Office of Security files do document the rationale for the original confinement of NOSENKO, they do not document the rationale for his continued confinement over so long a period of time. A memorandum dated 5 August 1969 states that various congressional staff officers were briefed on NOSENKO and states that concern for his safety, as well as concern regarding his bona fides, prompted the prolonged confinement. (See Tab 6)

(2) Office of Security files document a period in 1969 during which a mail cover was placed on NOSENKO.

(3) During the above period, NOSENKO was allowed a pleasure trip to Florida. During this trip, Agency personnel, with apparent Headquarters approval, obtained the services of prostitutes. This apparently occurred on at least two occasions.

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FILES : Office of Security files on NOSENKO are maintained in the following locations:

OS/SSD (Contact - Mr. Charles Phalen)

OS/SAG (Contact - Mr. Bruce Solie)

14-00000

2 April 1964

MEMORANDUM FOR RECORD

SUBJECT: Discussion with Deputy Attorney General on Nosenko Case

1. Mr Helms, Mr. Houston and Mr. Murphy met with the Deputy Attorney General and members of his staff to discuss subject case. Present from Mr. Katzenbach's staff were Messrs. Foley, Yeagley and Reis.

2. Mr. Helms explained the Nosenko case briefly and indicated that shortly we would be faced with what we at least considered to be two problems on which we would like the advice of the Department of Justice. First, we would seeon feel compelled to begin hostile interrogation of Nosenko in order to arrive at the truth with respect to his mission for the KGB and we wondered what the legal position would be in circumstances in which we detained Nosenko against his will for this purpose. Second, we would have to be ready after determining that we could obtain no additional information from Nosenko to deport him. We had thought of taking him to Germany and transferring him to Soviet custody in Berlin where this has been done a number of times in the past.

3. There was some discussion of whether deportation to another country might be a consideration. Mr. Helms explained we would probably face publicity problems in deporting to a third country of the kind we would encounter if he were free in the U. S. Mr. Helms noted there were many items of information which we and the FBI realize are of no significance because of the file holdings we have but which an unscrupulous newspaper man could use to create mischief. This aspect was then dropped and it was the consensus that Berlin was probably the best place.

4. The question of the basis for detention was again raised and related by Mr. Foley to the conditions under which Nosenko is in this country. He is here as an "exclusion and parole case" which means he has not been admitted and has been paroled to the Agency which is responsible for him while he is in this country. This

parole can be interpreted to mean parole to a specific locale which would provide some justification for our detaining him for questioning. It was then pointed out, however, that if he said he wished to leave the country to return to the Soviet Union, technically we would not be able to detain him further. In this event, we would be faced with the need to deport him quickly and quietly and for this purpose the immigration warrant of arrest and deportation was probably the best instrument.

5. Mr. Katzenbach asked Mr. Foley to check into this and Mr. Foley will in turn contact Mr. Houston. Mr. Houston will in turn discuss the problem with CIA Office of Security officials to get the background of their liaison with the INS on these matters.

6. Mr. Helms thanked Mr. Katzenbach for his assistance and we departed amid some jovial banter with respect to "The Spy Who Came in From the Cold" about what we expected to happen to him when he started to climb over that "wall" in Berlin.

David E. Murphy
Chief, SR Division

14-00000

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C/SR

~~SECRET~~

OGC 64-0903

3 April 1964

MEMORANDUM FOR: Director of Security

SUBJECT: Parole Status of Defectors

1. On 2 April 1964 we had a discussion with the Department of Justice on the status of aliens whose inspection by I&NS is deferred upon arrival at our request and who are then paroled to this Agency. It was the position of the Department of Justice that we were responsible for taking any action necessary to carry out the terms of the parole.

2. As you know, a basic parole agreement was executed by the Attorney General and the DCI on 10 February 1955. After setting up conditions for authorizing parole in any one case, the agreement states: "After parole of such aliens, the Central Intelligence Agency will assume responsibility for care, supervision and control of a kind and degree it believes consistent with the internal security needs of the United States during continuance of their parole status." This means that the responsible Agency officials must take the minimum action necessary to protect the internal security needs of the United States. The word "minimum" is not necessarily a limitation. It merely means that good judgment must be used as to what kind and degree of action is necessary.

3. The agreement further provides that upon completion of the parolee's intelligence or operational purposes in the United States or if internal security reasons so require, the alien will be removed from this country through arrangements made by the Agency and, in such case, the Agency will inform the Service in advance of each proposed departure.

para 1 declassified by AAL on 19 Sept 78

Lawrence R. Houston

LAWRENCE R. HOUSTON
General Counsel

cc: DD/P
C/SR

~~SECRET~~

14-00000

14-00000

January 1975

SUBJECT: Yuriy Ivanovich NOSENKO

1. Information that NOSENKO had defected and was in the United States in February 1964 was known to appropriate agencies and articles had appeared in the public media.

2. NOSENKO was in the United States under an I&NS parole as the responsibility of CIA. The FBI was fully advised of the presence of NOSENKO in the United States and interviewed NOSENKO on several occasions in March 1964. The United States Intelligence Board was also formally advised on 12 February 1964 concerning the defection of NOSENKO. On 14 February 1964, NOSENKO was interviewed by Soviet officials in the presence of I&NS and State Department officials and NOSENKO reaffirmed his previous request for asylum in the United States.

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9 OCT 1969

ER 69-5003/1

Mr. Raymond F. Farrell
Commissioner of Immigration
and Naturalization
Department of Justice
Washington, D. C.

Dear Mr. Farrell:

SUBJECT: Yuriy Ivanovich NOSENKO

Pursuant to the authority granted under Section 7 of the Central Intelligence Agency Act of 1949, as amended, I approve and recommend for your approval the entrance of subject into the United States for permanent residence since his entry is in the interest of the national security and essential to the furtherance of the national intelligence mission. In accordance with previous correspondence in Section 7 cases, it is understood that you will present this matter to the Attorney General for his approval.

Since his defection in February 1964, the subject has provided valuable information to this Agency and he will continue to be of value in the future.

Subject has been investigated abroad and here over a period of five years and has submitted to a technical interrogation. The question of bona fides is a continuing one and should any information be developed definitely disproving his bona fides, it shall be made available to your Service and the Attorney General.

~~SECRET~~

GROUP 1
Excluded from automatic downgrading and declassification

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~~SECRET~~

There are enclosed Forms I-125 and background data, in duplicate, concerning the subject.

Sincerely,

/s/

Richard Helms
Director

Enclosures.

ORIGINATOR:

/s/ H. J. Osborn 8 October 1969

Howard J. Osborn Date
Director of Security

Distribution: Orig. & 1 - Addressee
1 - Signer's copy
1 - DDCI
1 - SR
1 - SB Div.
1 - CI Staff
1 - DDP
1 - OGC
2 - OS Files

~~SECRET~~

14-00000

Yuriy Ivanovich NOSENKO (Aka: Yuri NOSSENKO)

30 October 1927		Nicolayev, Ukraine, USSR	
Male	Divorced	Brown	Blue/Gray 5ft. 11 inches
Soviet	Caucasian	--	

14-00000

~~SECRET~~

BIOGRAPHIC DATA

SUBJECT:	Yuriy Ivanovich ROSENKO
AKA:	Yuri ROSENKO
DPOB:	30 October 1927, Nicolayev, Ukraine, USSR
MARITAL STATUS:	1946 - Married and divorced Flavia ROSENKO (Nee SHIENOV). 1948 - Married Augustina K. ROSENKO (Nee TELEGIN), 1949 - separated and 1951 - divorced. 23 June 1953 - Married Ludmila Yulianovna ROSENKO (Nee KOZEVNIKOVA), January 1964 - separated and 1969 - divorced.
CHILDREN:	Daughters - Oksana ROSENKO, born 31 August 1954, Moscow; Tamara ROSENKO, born 13 July 1958, Moscow. (Both living in USSR)
EDUCATION:	1942-1943 - Attended various USSR Naval Schools. 1943-1955 - Attended Institute of International Relations, Moscow.
EMPLOYMENT:	March 1951-March 1953 - Soviet Naval RU (Naval Intelligence). March 1953-February 1964 - Officer in KGB (The Committee for State Security).
MILITARY:	Lieutenant in USSR Navy while in school and during RU service.
NATIONALITY:	Soviet
PRESENT AND PAST POLITICAL AFFILIATIONS:	1941-1954 - Member Komsomol, USSR 1954 - Candidate Communist Party 1957-1964 - Member Communist Party, USSR

~~SECRET~~

GROUP 1
Excluded from automatic downgrading and declassification

14-00000

~~SECRET~~

SP-1687

18 JUL 1969

Mr. Raymond F. Farrell
Commissioner of Immigration
and Naturalization
Department of Justice
Washington, D. C.

Attention: Mr. Frank E. Bartos

Dear Sir:

SUBJECT: Yuriy Ivanovich NOSSENKO

Reference is made to previous correspondence in this case.

~~Subject continues to be of operational interest to this Agency.~~ Therefore, it is requested subject's parole to this Agency be extended for an additional period of six (6) months beyond the expiration date of his stay of 16 August 1969.

Your cooperation in this matter is very much appreciated.

Sincerely,

SIGNED

Victor R. White
Deputy Director of Security
(Investigations and Operational Support)

OS/AAS/LW:es 16 July 1969

NOT TO BE DISSEMINATED FURTHER OR EXHIBITED TO ANYONE WITHOUT PRIOR PERMISSION OF THE CENTRAL INTELLIGENCE AGENCY.

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GROUP 1
Excluded from automatic downgrading and declassification

14-00000

ROUTING AND RECORD SHEET

EYES ONLY

SUBJECT: (Optional)

FROM:	EXTENSION	NO.
Chief, SR Division	5777	DATE 13 February 1964

TO: (Officer designation, room number, and building)	DATE RECEIVED	DATE FORWARDED	OFFICER'S INITIALS	COMMENTS (Number each comment to show from whom to whom. Draw a line across column after each comment.)
1. DD/OS				TOP SECRET — EYES ONLY BY HAND
2.				
3.				
4.				
5.				
6.				
7.				
8.				
9.				
10.				
11.				
12.				
13.				
14.				
15.				

~~TOP SECRET~~

EYES ONLY

FORM 610 USE PREVIOUS EDITIONS 3-62

☐ SECRET ☐ CONFIDENTIAL ☐ INTERNAL USE ONLY ☐ UNCLASSIFIED

14-00000

11 February 1964

MEMORANDUM FOR: Mr. McGeorge Bundy

SUBJECT: Yuri Ivanovich NOSENKO

1. This memorandum refers to the State Department release of 10 February on subject case and provides additional background as well as information on his current status.

2. As a KGB staff officer, NOSENKO is regularly assigned to the Counterintelligence Directorate of the KGB in Moscow but was included in the Soviet delegation to the Disarmament Conference as part of a cover arrangement which permitted him to carry out intelligence and security functions in Geneva on behalf of the KGB.

3. NOSENKO also attended various sessions of the Disarmament Conference held in Geneva in 1962. During the course of these sessions NOSENKO sought contact with officials of the U. S. Government, informed these officials that he was affiliated with the Soviet State Security apparatus and volunteered to aid the U. S. Government in detecting Soviet subversive activity directed against the U. S. Government. During the course of his contact with U. S. authorities NOSENKO stated that his cooperation with the U. S. Government originated with his distaste and hatred for the Soviet regime in general, with his desire to obtain revenge for the unjust death of his father, a senior official in the Soviet shipbuilding industry, and with the general admiration of the Americans with whom he had come in contact within the Soviet Union.

4. In late January 1964, NOSENKO arrived in Geneva, again masquerading as a member of the Soviet delegation to the Disarmament Conference. Subject secretly notified his CIA contacts in the United States concerning his presence in Geneva and arranged to meet with these contacts. During a series of meetings NOSENKO provided information including documents concerning Soviet State Security activities within the USSR and abroad and a detailed account of the KGB operation against U. S. Professor Barghoorn which NOSENKO said he had personally supervised at the direction of SEMICHASTNIY, the Chairman of the Committee for State Security (KGB).

5. During these most recent meetings NOSENKO announced his desire to leave the USSR permanently and seek his future in the West. He claimed his new work in the KGB (First Deputy Chief of that department charged with the surveillance and recruitment of American visitors to the USSR) was expanding and that he would not be able to visit the West with any frequency. Accordingly, he prepared a request for political asylum in the United States. He was taken to Frankfurt, Germany where he is currently undergoing interrogation. Plans are now being made to remove him to the U. S.

6. It should be noted that CIA contact with Subject has not been extensive and that we will require additional thorough interrogation to establish Subject's bona fides. It should also be noted that NOSENKO's duties were not concerned with substantive aspects of the Disarmament Conference, and he therefore is not expected to be able to shed much, if any, light on that area of our interest.

7. The possibility that NOSENKO's defection was designed to wreck the conference was most carefully considered. The decision to accept him was taken on the conviction that the Soviets would not have chosen such a vulnerable agent (staff officer of the KGB) for this kind of move.

Thomas H. Karamessines
Acting Deputy Director
for Plans

CSR/DEM/jif 0 11 Feb 64
Distribution:
Orig - Adse
2 cc - SR/CI/K-Dowey
1 cc - C/CI
1 cc - CSR
1 cc - DD/OS

14-00000

EYES ONLY

CENTRAL INTELLIGENCE AGENCY

WASHINGTON 25, D. C.

OFFICE OF DEPUTY DIRECTOR OF CENTRAL INTELLIGENCE

12 February 1964

MEMORANDUM FOR: United States Intelligence Board Members

SUBJECT: Yuri Ivanovich NOSENKO

1. This memorandum refers to the State Department release of 10 February on subject case and provides additional background as well as information on his current status.

2. As a KGB staff officer, NOSENKO is regularly assigned to the Counterintelligence Directorate of the KGB in Moscow but was included in the Soviet delegation to the Disarmament Conference as part of a cover arrangement which permitted him to carry out intelligence and security functions in Geneva on behalf of the KGB.

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~~TOP SECRET~~

EYES ONLY

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Marshall S. Carter
Lieutenant General, USA
Deputy Director

Nosenko's Account Disputes Charge by Soviet

By TAD SZULC

Special to The New York Times

WASHINGTON, Feb. 17 — Yuri I. Nosenko told a Soviet Embassy official at their confrontation here last Friday that he left Switzerland on the way to the United States 28 hours before his defection was reported by the Russians to the Swiss authorities.

This statement by the former staff officer of the Soviet Committee of State Security, the secret police, appeared to amount to a denial of the Soviet charge that the Swiss authorities had been negligent in preventing his defection.

Mr. Nosenko, who quit as a member of the Soviet delegation to the Geneva disarmament conference Feb. 4, was allowed to meet with a Soviet diplomat here in the presence of United States officials.

The State Department announced at the time that the confrontation was taking place in Washington at the request of the Soviet Embassy, but no other details were made public. The department said that a

Defection Preceded Report to Swiss Police, Apparently Ruling Out Negligence

Swiss diplomat had been permitted to talk separately with Mr. Nosenko.

Information made available today has thrown new light on the defection.

The Soviet delegation in Geneva, it was reported, told the Swiss police at 5 P.M. Feb. 5 that Mr. Nosenko was missing. But Mr. Nosenko's statements in the presence of the Soviet Embassy official are said to have shown that his actual defection took place at 1 P.M. the preceding day.

The Soviet delegation announced Mr. Nosenko's disappearance Feb. 5.

The meeting between Mr. Nosenko and the Soviet Embassy official took place in the headquarters of the Washington field office of the Immigration and Naturalization Service. Officials of the State Department, the Central Intelligence Agency and the Immigration and Naturalization Service were also present.

Mr. Nosenko was described as having been completely relaxed during the interview.

He is understood to have told the Soviet diplomat during their businesslike conference that he defected of his own volition without any outside pressures.

His statements, it was reported, did not allay Soviet resentment against Swiss authorities. Diplomatic reports reaching the State Department said that Soviet diplomats in foreign capitals continued to register complaints about alleged negligence after Mr. Nosenko's conference with the Soviet diplomat.

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U.S. Lets Swiss And Red Envoys Talk to Defector

file

Nosenko Again Voices Desire For Asylum

By Chalmers M. Roberts
Staff Reporter

Soviet secret police defector Yuri I. Nosenko is in the United States where he was interviewed yesterday by officials of both the Russian and Swiss Embassies.

The State Department said each interview lasted less than an hour and that a Department official was present each time. Nosenko reaffirmed his earlier request for aslyum in the United States and it will be granted.

State Department spokesman Richard I. Phillips, in giving out this information, declined to say where the interviews took place or to give any details. Earlier he had said it was possible that newsmen here will be allowed to talk with Nosenko.

Soviet Kicks up Fuss

These developments came as the Soviet Union kicked up a diplomatic fuss over the disappearance of Nosenko on Feb. 4, from Geneva, where he had been assigned as an "expert" in the Soviet delegation at the 17-nation disarmament conference. Philipps said he was "on temporary duty from KGB (secret police) headquarters in Moscow."

Up to yesterday Moscow had been directing its public diplomatic wrath at the Swiss, charging them with loose security. The provision for a Swiss diplomat to interview Nosenko was to assure the Swiss that the United States had taken part in no illegal act on their soil.

The Swiss already had strongly rejected the Soviet charges.

On Wednesday, Phillips re-

See DEFECTOR, A5, Col. 1

Wash Post 2/15/64

See Defector

ported yesterday, a Soviet Embassy messenger brought a message to the State Department asking for information "as to the manner in which Mr. Nosenko presented himself to the U.S. authorities and requested an opportunity to interview him." The Department agreed to the interview with American officials present, and said Nosenko also was "agreeable." A reply about the defection also was given to the Soviet Embassy.

Also on Wednesday in Geneva, Soviet disarmament delegate Semyon K. Tsarapkin gave newsmen an angry statement aimed at the Swiss and charging "provocative activity" by unspecified Western agents. Up to then Tsarapkin had been saying both privately and publicly that the Nosenko defection would not affect the disarmament talks.

Yesterday the Soviets stepped up their protest in two places, Moscow and Geneva.

In Moscow, Soviet Foreign Minister Andrei Gromyko called in American Ambassador Foy Kohler and charged the United States with impermissable acts in the case. He stopped short of a kidnaping charge, however. Kohler replied that on behalf of the United States he rejected any implication of American wrongdoing.

Serious Effects Hinted

In Geneva, Soviet officials, including Tsarapkin himself, privately spread to the press hints of serious consequences. Soon there were rumors that Moscow would pull out its delegation, but up to last night no such threat had actually been made, according to word reaching Washington.

In public Tsarapkin said only "I have nothing to say. I am not in the position to tell you anything."

At some so far unspecified date, Nosenko, who had apparently crossed from Switzerland to France, was flown to the United States. It is assumed he is in the hands of the Central Intelligence Agency, the normal course for defectors.

Officials here yesterday were knocking down the idea that he was a particularly big fish, that he knew any atomic secrets or that he knew anything about Soviet disarmament strategy. Rather, they said, he was a KGB staff officer, a security man operating, as is the Soviet practice, in a tight compartment. That would mean he would have useful and perhaps important knowledge of part of the KGB but probably not much more.

Believed Genuine

It is assumed here that the 36-year-old Nosenko is a genuine defector though the possibility of his being a double agent has not been overlooked. It also is felt here that the Soviet protest was no stronger than could be expected. The request for an interview, rare but not unprecedented, was granted in hopes of reciprocal treatment should the occasion arise.

Moscow dispatches said there had been no word up to last night of the defection on the Soviet radio or in the press, though word of mouth reports had spread through the capital.

It was felt here that Moscow might have stepped up its protest after Tsarapkin had publicly downgraded the case in Geneva. At any rate U.S. disarmament negotiator William C. Foster and Tsarapkin held another business session in Geneva yesterday at which they said the case was not discussed.

It also was noted here that the Soviet Communist Party Central Committee has been meeting in Moscow all this week. But whether the Nosenko case was discussed was not known.

Wash Post 2/15/

[redacted]

12 May 1964

MEMORANDUM FOR: Acting Chief, Support Branch

FROM : Chief, Operational Support Division

SUBJECT : AEFOXTROT
#262 622

1. This memorandum is primarily for the record and will record the essence of a meeting held on the morning of 12 May 1964, at which the following were present: Messrs. Charles Kane, Jack Bauman, OS; Dr. Charles Bohrer, Medical Staff; Messrs. Bagley, Karpovich, McMahon and David Murphy, SR Division.

2. The essence of this meeting was furnished to the Acting Chief, Support Branch on 12 May 1964, as follows:

Effective immediately or as soon as practicable, the following procedures will apply with regard to the handling of the Subject:

(1) Subject will receive a regular diet with modest regulated portions. He is to have no dessert or "trimmings," but may have the necessary silverware with which to eat properly. (CAUTION--Extreme care should be exercised with regard to controlling the silverware, and it was suggested that at least two of the guards be required to count the silverware in and out; that the silverware be placed on the tray in a manner where it could be observed before the guards enter the room, etc.)

(2) Arrangements are to be made for the installation of adequate air-conditioning equipment to insure that a comfortable temperature is maintained. (It is understood that there is quite a bit of equipment available through Logistics channels.)

(3) Subject is to receive a bath; change of underwear; change of uniform; and a change of linen for his bed.

	DDS/IOS
	ADDS/IOS
	ASST/IOS
	C/ID
	C/[illegible]
	C/[illegible]
	C/[illegible]
	C/[illegible]
	C/RAB
1	C/SB
2	Cuger
	ARTS
	FILES

[redacted]

(4) Subject is to receive a haircut, the shorter the better.

(5) Subject is to receive one cigarette on 12 May 1964.

(NOTE--These changes with regard to the bath, haircut, cigarette are not to be considered as something to be done on a regular schedule. We will await specific instructions from SR Division as to when these items are to be accomplished in the future.)

(6) Effective immediately, Dr. Bohrer will visit the Subject only on Tuesday each week.

(7) In addition to the above, it must be emphasized that our attitude toward the Subject will remain precisely the same, and our security procedures, including searches, will continue to be at maximum control.

3. It was further ascertained that we will have custody of the Subject until at least July. It was suggested that perhaps we may wish to explore some modifications in the assignment of personnel or schedule of assignments. In addition, the Acting Chief, Support Branch has suggested that immediate consideration be given to devising necessary procedures to be followed in the event of an emergency involving loss of control of the Subject. This should be discussed immediately with the responsible DFO supervisors and specific procedures devised, and all guards properly informed as to their responsibilities in this matter.

Jack M. Bauman

Bauman/ewd(5-12-64)