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PERSONAL RECORD QUESTIONNAIRE
PART II - OPERATIONAL INFORMATION

PRQ NO.

DISPATCH NO.

INSTRUCTIONS TO CASE OFFICER

1. This form must be filled in by the case officer or appropriate authority on the basis of the best available information. It is not to be shown to the subject.
2. Normally, headquarters will be unable to issue an approval unless requester furnishes answers to all questions in PRQ parts I and II. However, in an emergency provisional approval may be obtained by complying with the applicable provisions of FR 10-215, paragraphs 6a, 8, 32b and 32d. Thereafter, the usual method to document or complete the case must be followed as set forth in FR 10-215.
3. Three (3) completed copies of PRQ part I (including copy in subject's handwriting, if possible) and two (2) completed copies of this form must be sent to headquarters as soon as possible.
4. Data acquired later must be sent to headquarters in PRQ format in two (2) copies.
5. Fill in all items, if information obtainable. If item not applicable write "NA." If additional space required for item, use reverse side of page keyed to appropriate item number.

1. CRYPTONYM

2. PSEUDONYM

ALPHA / 1

SECTION I CONTACT AND DEVELOPMENT

1. INDICATE HOW, WHEN, WHERE, WHY, AND BY WHOM SUBJECT WAS FIRST CONTACTED OR DEVELOPED.

Briefed by Joseph P. Lunnorch, C/SA/SA/CI in Ottawa on 23 April 1964 following subject's voluntary defection.

Lunnorch used the alias of Mr. Gaffney.

2. INDICATE WHETHER SUBJECT COULD BE TURNED OVER QUICKLY AND IN A SECURE MANNER TO ANY RESPONSIBLE CASE OFFICER FOR HANDLING. IF SO, INDICATE TO WHOM.

Yes. To any responsible case officer who speaks subject's language.

3. LIST OTHER CASE OFFICERS WHO HAVE HANDLED SUBJECT OR WHOM HE KNOWS OR HAS KNOWN, GIVE NAMES BY WHICH THEY HAVE BEEN KNOWN.

- Philip G. Lunnorch known as Barney Jones / Ben Florio (T) as Ben Garcia
- ~~XXXXXXXX~~ (live) as Mr. Allen / Mrs. (live) as Mrs. Allen
- James L. Scribner as Ralph Marcus / Gary L. Vinickoff as Virg
- Edward A. Brockler as Joe / Edward J. Magallon as Juan
- Wanda F. Brennan as Vivian / ~~XXXXXXXX~~ as Mariet M. Heike as Felicia
- Wiles H. Morrison as Larry Nelson / Everett G. Taborus as Mick
- Edward G. Manning as Curtis / Ed J. Rickerts as Garcia Jones
- William J. Lower as Joe / Stanley M. Hivalek as Horace
- William H. Lister as John / Byron J. Coatsy as Mr. Breslaka

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SECTION II

MOTIVATION AND CONTROL

1. ESTIMATE SUBJECT'S MOTIVATION. (Be detailed, if possible cite evidence. Do not use stock comment such as "anti-communist.")

Dissatisfaction with present regime.

2. INDICATE WHAT CONTROL, IF ANY, EXISTS OVER THE SUBJECT. (If no control, or if there is a lack of real control explain.)

Under sponsorship of agency in this country; financial; Hope for family's welfare.

SECTION III

COVER

1. COVER USED BY SUBJECT AND CASE OFFICER IN THEIR MEETINGS.

None needed.

2. COVER USED BY SUBJECT IN OBTAINING HIS INFORMATION AND IN CONTACTING HIS INFORMANTS.

Cuban Intelligence (IGI)

3. INDICATE SERVICE FOR WHICH SUBJECT THINKS HE (Will work) (Is working).

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SECTION IV

INTELLIGENCE CONNECTIONS AND TRAINING

1. EXPLAIN SUBJECT'S KNOWN PAST OR PRESENT CONNECTION WITH ANY OTHER INTELLIGENCE SERVICE. INDICATE THE EXTENT SUCH CONNECTION IS KNOWN OVERTLY.

Member of Cuban Intelligence.

2. LIST OTHER INDIVIDUALS (Agents, relatives, friends) WHO KNOW OF SUBJECT'S PRESENT INTELLIGENCE ACTIVITIES.

POSSIBLY the IGI

3. EXPLAIN ANY TRAINING SUBJECT HAS HAD IN CLANDESTINE OPERATIONS.

N/A

SECTION V

COLLABORATION POTENTIAL

1. INDICATE OTHER FOREIGN POWER SUBJECT WOULD BE MOST LIKELY TO COLLABORATE WITH BECAUSE OF HIS IDEOLOGY OR PAST ASSOCIATIONS. EXPLAIN.

Future govt of his own country

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SECTION VI

OPERATIONAL USE - CONTACT

1. IF SUBJECT HAS BEEN USED OR CONTACTED BEFORE, INDICATE ACTIVITY IN WHICH HE WAS ENGAGED AND THE TYPE OF INFORMATION HE HAS FURNISHED.

Extremely valuable information regarding Cuban intelligence.

2. INDICATE HOW AND FROM WHOM SUBJECT OBTAINS INFORMATION.

Personal work

3. INDICATE WHAT HAS BEEN TOLD SUBJECT AS TO THE DISPOSITION OF INFORMATION HE FURNISHES.

4. DETAIL SUBJECT'S PROPOSED FI OR CI TYPE USE OR DUTIES.

To be used in recruitment attempts against Cuban intelligence.

5. DETAIL SUBJECT'S PROPOSED PP-PM TYPE USE OR DUTIES.

None at this time.

SECTION VII

PROPOSED ²⁴ LIAISON OR OPERATIONAL ACTIVITY

1. DETAIL SUBJECT'S PROPOSED ²⁴ LIAISON TYPE COVERAGE OR OPERATIONAL USE:

A. WHERE SUBJECT IS AN OFFICIAL MEMBER OF A FOREIGN GOVERNMENT ²⁴

N/A

B. WHERE SUBJECT IS AN OFFICIAL MEMBER OF AN OUYOKE AGENCY:

N/A

SECTION VIII

AGENCY RELATIONSHIP WITH SUBJECT

1. EXPLAIN NATURE OF AGENCY RELATIONSHIP WITH SUBJECT, I.E. TRAINEE, OPPOSITE NUMBER, REMUNERATION, IF ANY; AGENCY PERSONNEL KNOWN TO SUBJECT.

Contract agent. (See question 3 for agency personnel known to him)

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SECTION IX QUALIFICATIONS FOR OTHER OPERATIONS	
1. INDICATE SPECIAL ABILITIES, TALENTS, OR QUALIFICATIONS POSSESSED BY SUBJECT WHICH COULD CONCEIVABLY BE OF VALUE FOR OTHER OPERATIONS. <p>Knowledge of various staffers and agents of Cuban intelligence.</p>	
SECTION X COMMITMENTS	
1. IF IT IS PROPOSED TO PAY SUBJECT A REGULAR SALARY, INDICATE THE WEEKLY AMOUNT. IF PAYMENT TO BE MADE IN EXCHANGE COMMODITIES, SUBSIDY OR OTHER METHOD, SPECIFY AND GIVE DETAILS. <p>\$300.00 month.</p>	
2. EXPLAIN ANY PROMISES, OTHERWISE NOT COVERED HEREIN, MADE TO SUBJECT. (Example: Commitments regarding execution of subject and family.) <p>\$3,000.00 to spouse if dies in line of duty. \$1,000.00 bonus as determined by agency.</p>	
SECTION XI CLEARANCE AND SECURITY	
1. INDICATE THE FIELD AGENCIES AND FIELD FILES WITH WHICH SUBJECT'S NAME HAS BEEN CHECKED AND THE RESULTS. <p>All.</p>	
2. INDICATE OTHER INVESTIGATIVE MEASURES TAKEN TO VERIFY BIOGRAPHICAL INFORMATION FURNISHED BY SUBJECT. <p>All.</p>	
3. INDICATE WHETHER OR NOT PROVISIONAL OPERATIONAL APPROVAL OR OPERATIONAL APPROVAL FOR SUBJECT'S USE HAS BEEN PREVIOUSLY OBTAINED. <p>PCA obtained, 20 July 1961</p>	4. DATE APPROVAL OBTAINED. <p>20 July 1961</p>
5. EVALUATE SUBJECT'S CHARACTER, RELIABILITY AND SECURITY, CITING EVIDENCE WHERE POSSIBLE. <p>All information given by him has been corroborated as far as possible and has checked out. He has proved his willingness to co-operate by risking his safety and well being as well as that of his family in overseas collaboration with this agency.</p>	
6. EXPLAIN ANY SITUATION OR INDISCRETION IN WHICH SUBJECT MAY HAVE BEEN INVOLVED WHICH MUST BE EVALUATED FROM A SECURITY/OPERATIONAL SECURITY VIEWPOINT. <p>None to date.</p>	
SECTION XII SUBJECT'S PERSONAL HABITS	
1. USE OF LIQUOR, DRUGS, GAMBLING, PARAMOURS. <p>Drinks moderately, smokes, no drugs or gambling. No permanent extramarital affairs known.</p>	

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SECTION XIII AVOCATIONAL INTERESTS	
1. INDICATE SUBJECT'S HOBBIES OR INTEREST IN SPORTS, MUSIC, ETC.	
Baseball; avid fan.	
SECTION XIV GENERAL	
1. INDICATE WHETHER SUBJECT'S LANGUAGE ABILITIES AND OTHER CHARACTERISTICS, ARE SUCH AS HE COULD PASS AS A NATIVE OF A COUNTRY OR REGION OTHER THAN HIS OWN. EXPLAIN.	
Central American.	
2. INDICATE WHETHER INFORMATION IN PRO PARTS I AND II WAS OBTAINED BY RESEARCH, CLANDESTINE ASSESSMENT, FILES, CORRESPONDENCE OR OTHER MEANS.	
Personal debriefings.	
3. IF OTHER THAN ROUTINE ACTION IS REQUIRED, IF AND WHEN TERMINATION OF SUBJECT IS EFFECTED, PLEASE EXPLAIN. (Also see para. 13b, supra)	
N/A	
SECTION XV EMERGENCY CONDITIONS RECONTACT ARRANGEMENTS	
1. GIVE FULL DETAILS I.E. RECOGNITION SIGNALS, S/W/ WHERE COULD CONTACT BE MADE: WHAT DONA FIDES COULD INDIVIDUAL MAKING CONTACT USE?	
Under control of JM WAVE station at present. IF needed names of Langosch, Luzier, Treckler could be used.	
SECTION XVI MISCELLANEOUS OPERATIONAL DATA AND REMARKS	
None.	
DATE	SIGNATURE OF APPROPRIATE DEPARTMENT OFFICER
2 Nov 64	<i>[Signature]</i>