

Box 58 Folder 8  
# 11

SECRET

**THIS COPY IS NOT FOR RELEASE.**

Defectors - 201 openings

Jones  
Gundlenger  
1957  
REPRODUCTION PROHIBITED

late 1961  
M...

5/25/62 m. 9/17/61 FBI dx.

9/1/63

Opened later (1-8 yrs. later)

|           |               |        |
|-----------|---------------|--------|
| Block (?) | Jones         | 325363 |
| Block (=) | Gundlenger    | 734499 |
| Block (?) | Meys          | 857071 |
| Block (?) | Martinek      | 809022 |
| Block (?) | Gold          | 840021 |
| Block (?) | Dubinsky      | 761429 |
| Block (?) | * Riccardelli | 289238 |
| Block (=) | Pittman       | 843597 |
| Block (?) | Citryell      | 275418 |
| Block (=) | Retulli       | 761354 |
|           | Amson         |        |

Opened earlier

Block, Morris & Moller  
Frank  
Kirsten  
Halperin

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| Name               | Aspective                              | Ret. to U.S. | 201-Opening                    | Debriefing |
|--------------------|--|--------------|--------------------------------|------------|
| Jones, Louis Henry | Cuba 1961<br>Moscow 1963 - on business | 8/25/66      | 5/25/62 on<br>9/29/61 FBI doc. | No Record  |

|                   |   |         |                            |           |
|-------------------|---|---------|----------------------------|-----------|
| Burdinger, Martin | 1957 to USSR; married here 1958<br>Ret. to USSR 12/60 | 7/23/59 | 9/1/63 - No source<br>doc. | No Record |
|-------------------|---|---------|----------------------------|-----------|

|                    |   |      |                               |           |
|--------------------|---|------|-------------------------------|-----------|
| Neizer, Karl Henry | E. Germany - 6/61, in USSR<br>sometime Oct. 6-10/61 | 5/66 | 9/4/69 on<br>2/25/66 FBI doc. | No Record |
|--------------------|---|------|-------------------------------|-----------|

|                                     |   |   |                                  |   |
|-------------------------------------|---|---|----------------------------------|---|
| Block, Mollie<br>(see Morris Block) | — | — | No date; on<br>3/28/62 Army doc. | — |
|-------------------------------------|---|---|----------------------------------|---|

|                          |                  |      |                              |           |
|--------------------------|------------------|------|------------------------------|-----------|
| Partinkus, Anthony Vitas | By Jan. 19, 1961 | 2/64 | 12/12/66 - No source<br>doc. | No Record |
|--------------------------|------------------|------|------------------------------|-----------|

|              |  |      |                                |           |
|--------------|--|------|--------------------------------|-----------|
| Gold, Robert | 1961; contacted Sov.<br>Emb. in D.C. in Sept. &<br>Oct. 1967 | 1961 | 9/17/68; on 8/2/68<br>FBI doc. | No Record |
|--------------|--|------|--------------------------------|-----------|

|                    |          |                  |                             |           |
|--------------------|----------|------------------|-----------------------------|-----------|
| Wicks, Jas. Dudley | 10/23/60 | ?; in Oct. 20/63 | 11/1/60 - No source<br>doc. | No record |
|--------------------|----------|------------------|-----------------------------|-----------|

|                 |  |                                  |                               |           |
|-----------------|--|----------------------------------|-------------------------------|-----------|
| Winsky, Shirley | 1961 - Rea. U.S. cit. & req.<br>Sov. cit.; arrived Moscow<br>Nov. or Dec. 1962 | Rep. for ret. to<br>U.S. in 2/63 | Eligible; on 1964<br>FBI doc. | No record |
|-----------------|--|----------------------------------|-------------------------------|-----------|

|                   |      |                                       |  |   |
|-------------------|------|---------------------------------------|--|---|
| Giardelli, Yibero | 7/59 | 4/28/63 on nonquota<br>immigrant visa | 12/21/60 - <del>SI</del> SIG as<br>source doc. | 1) 7/2/63 Report of info.<br>telephonically furnished |
|-------------------|------|---------------------------------------|--|---|

2) Chronology indicates CO field office in Boston debriefed him on 7/19/63. Regional Intelligence Officer, Burlington VT.

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| Name                                | Defectiva   | Ret. to US | 201-Opening                             | Debriefing |
|-------------------------------------|---|------------|---|------------|
| Rank, Susan<br><small>Pills</small> | USSR 12/59-5/61; in<br>USSR @ 1961 en route to U.S. | 6/61       | 8/9/57 on FBI<br>Doc.; Active CP member | No Record  |

|                       |   |                       |                                    |           |
|-----------------------|---|-----------------------|------------------------------------|-----------|
| Thomas, John<br>Dixon | Am. Moscow 10/6/59<br><small>[From machine listing - not in 200 file]</small> | Dep. Moscow @<br>8/62 | 12/10/68 on 10/10/68<br>*BI Report | No Record |
|-----------------------|---|-----------------------|------------------------------------|-----------|

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|----------------|--|------|--|-----------|
| Lincoln, Henry | 9/61<br>Went to USSR as CPUSA delegate to 22nd<br>Congress of CPSU | 2/64 | No date; no source<br>doc.; probably opened<br>by early 1950's | No record |
|----------------|--|------|--|-----------|

|                  |   |  |                               |  |
|------------------|---|--|-------------------------------|--|
| Traynell, Harold | 2/27/58<br>Am. Copeland w/ family<br>from Moscow on 6/29/59 |  | 4/6/60 on 7/23/59<br>FBI doc. | 1/7/6/59 cable from<br>[Copeland] to Director;<br>indicates they were unable |
|------------------|---|--|-------------------------------|--|

to obtain complete or coherent story. "consider full & controlled debriefing by CIA; FBI desirable".

2) 7/16/59 memo, Angleton to Dir., FBI; re: Traynell. Includes paragraph stating CIA requires results of any investigation by FBI. "He would be pleased to give any assistance necessary, including joint participation in the debriefing of Subject in precise details as his experiences in the USSR and his contacts while abroad."

3) No CIA docs. <sup>actual</sup> re: debriefing; FBI reports of: a) 7/9/59; b) 7/23/59; and c) 8/20/59.

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|                 |  |   |                             |  |
|-----------------|--|---|-----------------------------|--|
| Oboda, Vladimir | 8/2/60<br>USSR - by [British intelligence] | 3/19/63; CIA was provided a report of interview | 11/17/60 on 8/7/60<br>cable | Life interviewed after<br>leaving husband in |
|-----------------|--|---|-----------------------------|--|

|                           |                                   |      |  |  |
|---------------------------|-----------------------------------|------|--|--|
| Lock, Morris E.<br>Mollie | 7/59<br>written in Dacca in 4/64; | 6/64 | 6001784<br>8/20/57 on 8/20/57<br>FBI doc. to CIA | 1) Report of seaman<br>source who talked |
|---------------------------|-----------------------------------|------|--|--|

2) No Record of CIA contact after their arrival; 3) 4 FBI reports on blocks following their return to U.S.

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| Name                                      | Defection  | Ret. to U.S.  | 24-Opening                                   | Debriefing  |
|---|--|---|--|---|
| Winters, Joseph                           | 8/60<br><i>(minutes)</i><br>Source who met w/ him in Moscow                            | 11/30/63 - A.D.A. as reported<br>by Sov. Govt. to the East. | 12/21/60 on CI/SIG<br>as source doc.         | 11/24/60 CIA Intel<br>Signature Report from                                       |
| Stuller, Nicholas                         | Entered USSR - 8/10/59<br>Decided to remain there - 8/13/59<br>Ret. U.S. cit. - 9/2/59 | 9/22/59   | 10/1/64 on 1/21/64<br>FBI doc.               | No record   |
| Wron, Irving                              | Lived in USSR 1933-<br>1962  | 9/26/62   | 9/8/64 on 2/25/64<br>FBI doc.                | Interviewed<br>on 9/3/64  |
| Davis, Bruce                              | 8/19/60 - defected from<br>U.S. Army in E. He is asked<br>for asylum in USSR           | Left USSR for W. G. in 7/63                                 | 12/21/60 by A.E. on<br>CI/SIG as source doc. | Debriefed by AOD<br>in Kiev on 8/27/63<br>by 66th MLD.                            |
| Alperin, Maurice<br>Hymen<br>Edith French | 1953 - defected to Mexico<br>12/58 - moved to USSR                                     | Left USSR for Cuba<br>in 10/62                              | 9/3/57<br>9/24/56                            | Telephonic surveillance<br>1957-8<br>Mail interception<br>No Record of debriefing |
| Martin, Hm<br>Hamilton                    | Disappeared 6/24/60<br>8/6/60 whistle - believed to have<br>gone behind Iron curtain   |   | 8/3/60                                       | —   |
| Wester, Robert<br>Edward                  | Oct. 17-19, 1959   | 8/6/62  | 8/10/20/59                                   | Yes   |

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Roula Henry Jones 325363

Personal File Action Reg.

Date: 5/25/62 From: Anita Potocki for TFW/CI

Source Doc. ABF-40969 9/29/61

Action Desk: Cuba

Comments: Suspect Cuban agent

Traveled from <sup>AZ</sup> Havana in April 1961 at invitation  
Cuban govt. ~~of Cuba~~

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Everything else in file in 3rd agency - all FBI. (105-42974) <sup>Field File #</sup> <sup>Bur. File</sup> 105-98177  
1st FBI doc. is 8/28/61; next one is 9/21/61; then 6/11/62

As per machine listing, he defected to Cuba to 1961.

Was in Moscow in 1963, possibly on business for Cubans

Ret. to US <sup>Aug. 25,</sup> 1966

No appearance of direct debriefing by FBI or anyone - info. comes from other sources.

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Shredlinger Matter - 734499

Personality File Action Request

Date: 9/1/63

From: Wanda Larson CI/SP

Action Desk: CI/SP

Comments: Now living in Moscow. Married to Elena Ivanovna Pyatnitskaya

Source Code: None

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12/5/66 MFR; RE: "Am. Defectors to the USSR"

Att.: info re Shredlinger from: 1) 8/7/61 FBI Report

2) pre-11/60 [AFAIRWAY] (SR/10)

?

Chronology: References in chronology to Embtel., 201 & CI/SIG

8/8/69 MFR by H. Sander re: G's impending arrival to conf. in U.S. in 1969 -  
no ops. interest

Chron. indicates: G went to USSR in 1957; marr. there in 1958

Ret. to U.S. alone 7/23/59

Ret. to USSR 7/60

No indication of CIA debriefing <sup>while</sup> in U.S.

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Meyer, Karl Henry 857071

201 Pers. File Act. Reg.

Date: 9/4/69 From: (Anna Lee Smyth) Office: CI/RIA

Source Doc: DBB-39905 2/25/66

Comments: Construction Director visited Saigon in '66 on perfect mission

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3rd Agency Docs: 2 FBI reports from 1966

Block, Mollie 761424

Pers. File Act. Reg.

Date: <sup>None</sup> ~~8/23/62~~ From: A. Egertler

Source Doc: DCA 5374 3/28/62

Active Desk: CI/ISIG

Comments: Appl. to Am. Emb. Moscow for visa for ret. to U.S. for herself, husband & daughter in 3/62

(see then)  
Docs. same as in 201-194231

Martinkus, Anthony Vitas 809022

201 Pers. File Act. Reg.

Date: 12/12/66 From: RFO/201/COJ Office: CI/RA 2

Source Doc: None

Comments: Sov. authorities refused to accept exist. visa appl. to USSR

Info. from Max. Reported 436 - 1/19/61 states Sov. refused to accept exist. visa - consider them to be stateless  
3rd agency - looks like 1 doc.

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Gold, Robert

201 Pers. File Action Req.

Date: 9/14/68

From: P.J. D'Aquila } CI/KA

Source Doc.: DBC - 24928 8/2/68

Comments: Resided USSR 1961 Contracted Sov. Emb. 9-10/67.

Last add. Grand Hotel Chicago Sep. 3/68 Present location unknown

Country of location: USA Act. Desk: C/NA Sec. Desk: SB/CR

3rd Agency Desc.: Not indicated as to contacts.

Parker, Jas. Audley 287270

Pers. File Act. Req.

Date: 11/14/60

From: Ruth Kippert } CI/SI

Source Doc.: None

Action Desk: CI/SI

Comments: None

Info. on J.R.P. (Ref. 10/60) - According to SA Ingram G-252, 10/26/60, he traveled on plane from Brussels to USSR on 10/23/60.

11/9/60 Bio. sheet

-Info. from DBF - 61279 11/60

11/1/61 Office Memo, Chief, Contact Div. OO to Chief CI Staff; Re: Possible defection of U.S. cit. (Parker) in Moscow as related by source who was traveling on same plane

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12/5/66 MFR re Am. Defs. in USSR

No attach. on JRP

No record of act. or of debriefing

3rd Agency - no indication of contacts

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3/21/78

Dubinsky, Shirley

Pers. File Act. Reg.

Date: Illegible From: Ana Egerton CI/SIG

Source Doc: - FBI ? 11/64 Action Desc: CI/SIG

Comments: U.S. address - Miami, Fla.

Applied for passport 2/23/61 Daughter of ? Dubinsky born in 5/95 in Russia & naturalized U.S. cit. & rose D. born in Poland

1961 - Renounced U.S. cit. & reg. USSR cit.

(see)  
Arr. in Moscow Nov. or Dec. 1962

3rd No other docs. other than 201 file review sheet.

3rd agency - no indication as to contents

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Hiberno Ricciardelli 289238

Personality File Action Log

Date: 12/21/60 by CI/SIG Ann-Egerton

Source Doc: CI/SIG Action Book: CI/SI

Comments: Residence in USA: Medford, Mass.

in USA: Medford, Mass.

Defected to the USSR while in USSR as tourist in July, 1959.

- Unless info on Y.R.: 8/3/59 Hummel - In 1959, Y.R. sold some of belongings in Fla. & said he was going to new job in Barbados - last anyone heard of him until he turned up in Moscow.
- News articles re R.
- Doc X-ref. sheet on XHHZ-12952 dated 10/2/62 Report of Helen Howard Putridger who met R. & his wife while serving as guide w/ Medicines USA exhibit in USSR from May-July 1962.
- 7/4/63 W.P. account of R family's ret. to U.S. at end of 6/63.
- 7/2/63 Report, telephonically furnished by [Mrs. Chae Johnston] Regional Intell. Officer, Washington, D.C. by W.T. Flagg, Chief Intell. Officer of Info.
- Subj., whose sec. is [redacted], left US in 2/59 to go to USSR.
- On 6/28/63 he entered US at N.Y. w/ non-quota immigrant visa - visa petition was submitted by wife, approved in Chicago 5/23/63, & the visa was issued on 6/14. He was traveling on Sov. passport. Wife in US cit. & they have 3 children.
- Subj's visa now located in Boston where his living [S lived in Moscow in 1959; in Kiev from 1959-63.

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R. Ricciardelli

12/5/66 MFR RE: Am. Defectors to USSR  
Chion. on L.R.

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Susan Frank 191098

Vol I

Personality (201) File Request (Amend)

Date: 3/13/59

From: RTB/201 Signed: LYM

Source Doc.: DBF-19795

Action Desk: CI/ICA

Comments: LIT: USA

In contact w/ CP members in 1958

Residence 11/58, 110 Christopher St., N.Y.C.

Pers. (201) File Req. (Open)

Date: 8/9/57

From: P. Cuffie

Source Doc.: DFB 87904

Action Desk: CI

Pers. (201) File Req. (Amend)

Date: 9/29/59

From: RTA/201 Signed: R. Seals

Source Doc. DBF-33269

Action Desk: CI/ICA Spd Country Int.: UK

Comments: LIT: USA Husband Frank Richard Lyul

Passport # 42431 Renewed 8/58 Would it answer ?'s relating to past or present

membership in CP. Subj. has been member of CP(NY) but denies being

one now because of husband's position w/ CBS-TV program "Conquest"

Comm. Party member, suspect.

201 Pers. File Req. (Amend)

Dated: 4/10/68

From: Richard Allen

CIOT/100

No Source Doc.

Action Desk: CIOT/100

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DATE 12 31 68 COPY 10

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Frank (cont.)

12/30/59 Dispatch, COS-Bern to Chief FE: RE: Report that Frank is in Bern 12/10/59 → 10/12/59, left for Zurich, where inquired for flight to Prague. Also had contact w/ Comm Emb. in Bern.

2/2/60 Memo, DDP to Dir. FBI re: Frank - after person desiring to go to Comm. China from Zurich go thru Prague

No info. in this file indicates that they ever went to China or USSR

Machine listing indicates that SH6 lived in Peking ca 12/59-5/61.

- Was in USSR ca 1961 en route to U.S.

- Ret. to U.S. @ 6/61.

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Pittman, John Duon 843597

201 Personality file action request

Date: 12/10/68

From: Richard Ober CI/50

Doc. opened on: AB 10/10/68 FBI Report

Machin printout indicates suby. <sup>arrived</sup> departed Moscow 10/6/59; departed ca 8/62  
to ref. to U.S. - San Francisco

also  
file contains:

8/23/69 Daily World article

9/23/69 " " article

Card on TOP indicates he visited N. Korea in 1969

FBI's News Service printout - Korean trip 1969

No debriefing by CIA

All 3rd agency docs. are FBI reports

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Henry Kingston

001887

201 Personality File Action Request

Date: None

From: ~~Richard Ober~~ JK 4/10/60

Comments: Delete comments

Does it give date when file was opened

File indicates (in 10/16/65 Message, Dir. to Santiago) that <sup>in 1949</sup> A subj. was convicted & sentenced to 5-yr. term for conspiring to advocate overthrow of U.S. govt.

Not apprehended until 1956 when imprisoned w/ add'l. 3 yr. sentence. Reld. 1961.

Travelled to S. U. 9/61 as CPUSA delegate to 22 Congress CPUSA. Ret. USA 2/64. Long history as Communist (since 1931).

File probably opened by early 50's.

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Litynell, Harold

Personality (201) File Request

Date: 4/6/60

Signed: R. All Drecko

Form: SR/2

Source Doc.: ABF 28658 - FBI Report of 7/23/59

Country of Residence: 100 Primary Risk Indicator: 702

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Agency file contains

- Does dated early 7/59, copy to Secy. State and/or Dir. CIA; RE: Litynell - states L arrived Copenhagen w/ family 6/29 from Moscow claiming invol. detection USSR from 10/28/58. States L entered USSR via Czech. 2/27/58 as tourist but intend. est. residence & acquire Sov. cit. Emp. in Kharkov as Design Eng. became disill. moved w/ Sov. cit & attempted to ret. US

Consider full & controlled briefing by CIA; FBI desirable

- 7/16/59 memo, Angleton to Dir., FBI; RE: Litynell - Agency req. results of any FBI invest.; states "He would be pleased to give any assistance necessary, inc. joint participation in the debriefing of Subj. in precise details as his experiences in the USSR & his contacts while abroad."

word in CIA u. of debriefing.)

- Next doc. begins 4/1/59 SA Rep. for info which shows Litynell w/ addresses in Bulgaria & in Hartford, Conn.

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Third Agency Docs.

FBI Report: 7/23/59 (ABF 28658)

: 7/9/59 (ABF-27585)

no more 3rd Agency docs. until 6/3/65 FBI report

also 8/21/59 (ABF-32518) File N.Y. 105-36682

±? may be FBI debriefing?

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Sloboda, Vladimir

Personality File Action Request

Dated: 11/17/60

From: Tase Baseroft EE/6/CE

Source Doc: IN 34582 (8/7/60)

Comments: Former 513 MITG & track out

Action Desk: EE/6/CE See Desk: —

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Does prior to opening of 201 include:

- Many press reports & reports from armed forces in Germany re Sloboda's defection (early Aug. 1960) Ref. to USSR 8/2/60.

maybe 8/21/62 as  
a chronology

- Undated, unmarked (except for — 572 Moscow, Enclosure 1) report of visit to Mrs. Sloboda in Lvov — to inquire, on behalf of Consular Section, about progress of visa application for their son.

- Source doc. (8/7/60) indicates S's defection; info. that ops officer believes subj. had very limited access to 513 MITG files or operations.

- Several docs. (dated Aug. — Nov. 1960) re S's access to class. info, knowledge of persons serving in specific <sup>(Army)</sup> intell. ops.

- 8/10/60 Green List Check - indicates no info. on S in FI/D.

- 5/22/62 Dispatch, Chief SR & Chief WE to COS, London, re list of questions for Mrs. Sloboda who left husband in USSR & ret. to London.

~~No response in file as to subject's collection Mrs. S.~~

- Chronology indicates wife was interviewed by Br. intell. on 3/19/63

- 4/19/63 Dispatch, COS, London to Chief, SR & Chief, WE; RE: ~~Base~~ Attached report of 3/19/63 interview w/ Mrs. S.

- Deemed that she was recruited by Sov. intell. or was approached by them.

Third Agency Docs.:

Earliest

State Telegram # 76, 8/6/60

U.S. Army Report 9/11/60 RE: Sloboda

" " " 9/30/60 " "

Other State, Army & FBI docs. in 1961 & 1962.

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Block, Morris & Mollie

Personality (201) File Request

Morris Block -

Dated: 8/20/57

Source: ~~Doc~~ 88460

8/20/57 FBI (Hask) to CIA, 8/15/57, file

# 100-362792

1st doc. is:

- 5/9/49 Dispatch, Chief, London Station to Chief, BLD; RE: Alleged Communists, M & M Block, en route to Hawaii via Southampton.
- On 8/20/57 doc. lists Morris Block as an American en route to the Moscow Youth Festival via France
- Defected to USSR in 7/59 after an unsuccessful attempt to defect in the summer of 1958.

4/29/64 Dispatch, LOS, Oslo to Chief, WE; RE: Forwards a report from a seaman source who, while in contact on 4/2/64, had a 5-min. conversation w/ Block family.

Newspaper articles indicate that Block family returned to U.S. in mid-June 1964.

No CIA reports of contact w/ Blocks after their return.

File shows 4 reports of FBI on Blocks following their return

: 1 Navy doc. (NIC), 5/10/65, Op-921 E/Jb Ser 002237P92

4 vols. of 201 file contain primarily third agency docs. (mainly FBI), starting 7/11/50 — 4/4/66

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Duthanez, Joseph

289236

Personality File Action Request

From: Ann Egerton

Dated: 12/21/60

Source Doc.: CI/SIG

Comments: A Communist, served in U.S. Armed Forces reported missing <sup>in 1954</sup> ~~in 1954~~ of his family from his post in N. Germany since 7/16/60 <sup>disappeared</sup> 11/60.

Contains:

- Various news dispatches & articles re Duthanez's defection dating from July 27, 1960.
- 7/29/60 Army Intell. Report re articles on D's alleged defection
- 8/19/60 Dispatch, Frankfurt to Director, RE: USAREUR doesn't feel that D's reported defection has any great significance re US intell. effort.
- 10/24/60 CIA Intell. Report; RE: Info. from source (a U.S. minister) re meeting w/ D in Yver.
- Remainder of docs. transcription of exp. prepared for S.A. 11/60 dates after 5/62

No indication of CIA copies.

Third Agency Docs:

- Earliest doc. is State Dispatch #497 dated 12/11/61 re Mrs. A.
- Other docs. include <sup>4</sup>FBI reports; <sup>9</sup>S.A. docs.; Report by Production Branch, Intell. Div, HQ USAREUR; Army Study; dating from 12/27/61 - 1/31/66

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Petrulli, Nicholas

Entered Soviet Union as tourist on 8/10/59;

Decided to stay in S.U. & not continue w/ travel group on 8/18/59; informed <sup>Emb. officials</sup> on 8/28/59;

Renounced U.S. citizenship on 9/2/59;

Ret. to U.S. Emb. on 9/2/59 indicating dissatisfaction w/S.U.; desire to return home.

Ret. to N.Y. on 9/22/59.

Personality File Action Request:

Date: 10/1/64

Source Doc.: DBA-62069 FBI Report # 100-32163, 100-431848 of 1/21/64

Comments: Gen. Inv. Act., Moscow, 1959. Applied for Sov. cit., never rec'd.

Ret. to USA, 1959.

File consists primarily of 3rd agency docs:

1) 1 State Dispatch from Emb. Moscow 9/11/59

2) 10 FBI docs. from 9/11/59 to 5/6/62

No other CIA generated docs. other than <sup>emb.</sup> info. based on above docs. & newspaper articles.

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Amron, Irving

Amron was residing in USSR from 1933-1962. This was not reflected in machine listing from which request was made.

Amron applied to Agency for employment in letter dated 6/21/64.

" submitted Personal History Statement dated 7/5/64.

Personality ~~File~~ Request dated 9/8/64.

Source document: FBI F#105-2862; B#105-100312 2/28/64

Newark, N.J. (ABA 78700) Sealed as 3rd Agency doc.

10/22/64 MFR indicates Amron was interviewed (debriefed) on 9/3/64 by  
intell. officer using DOD cover.

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Defectors

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Bruce Davis - 201-289235

Personality File Action Request, 12/21/60 by <sup>and Egerton</sup> 201-289235

Comments: Deserted U.S. Army in Germany, 8/60.

: Defected to E. Ger. - 5/19/60

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Undated report on ~~Davis~~ Bruce Funderick

Contains info. on defector from:

- 1) Dept. of Army dispatch from Moscow on 10/22/60;
- 2) Articles from 10/22/60 Nash. Evening Star and 10/23/60 N.Y. Times

Dept. of State, evening Telegram <sup># 1032</sup> dated 10/22/60, Moscow to Secy. of State re Soviet press statement on defection of Davis

Earliest sealed 3rd agency doc. is ~~dated~~ his Force doc., dated 7/12/62.

Copy of above doc. is in file:

Rept. of his Force Intelligence Report dated 7/12/62; Subject: Execution w/2 U.S.

Defectors during USA Medical Exhibit in Kiev, USSR

- Details accounts of source who served as guide at U.S. Medical Exhibit held in Moscow, Kiev & Leningrad from March to July 1962, w/ Bruce F. Davis and Vibero Ricciardelli.

Cross Reference Sheet for <sup>10/2/62</sup> ~~above~~ doc. on Helen Howard Partridge who served as a guide w/ Medicine - USA exhibit in USSR from March to July 1962.

She executed a statement on 9/13/62, detailing her contact w/ Am. defectors Bruce Davis & Vibero Ricciardelli. Also mentions names of other guides who had contact w/ Davis.

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B. F. Davis (cont.)

DDI Intelligence Information Report dated 10/24/63

Report contains limited NIS information concerning the city of Kiev, where SOURCE (Bull F. Davis) spent approx. 3 yrs. from 10/60 - 7/63. Info. was acquired at Frankfurt, Germany on 8/7/63

6/11/62 Internal Report of Bull Davis

Looks like details of contact w/ George Humphrey & Helen Partridge (both guides at Medical Exhibit in <sup>USSR</sup> ~~Russia~~ in 1962.

6/24/62 Memo, COS Moscow (T. M. Relander) to Chief, SR; Subject: Security; Encounter w/ Am. defector. Attached copy of report prepared by 2 indivs. who encountered an Am. defector who deserted from the Army in Berlin. ... name was not familiar to us here in Moscow, & did not appear in my files, the info. has been reported...

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Halperin, Maurice Hymen

Halperin has 7-volume 201-file.

- ~~Halperin~~ file begins with employment records during 1940's.
- Indicates that during his employment w/ OSS in Wash., D.C., Halperin was passing inf. to Jacob Golos, a known Soviet espionage agent. Apparently FBI was handling case until time in late 1953, when Halperin left U.S. for Mexico.
- 201 file requests on M.H. Halperin and Edith Faniel Halperin are dated 9/3/57 and 9/24/56, respectively. Files indicate heavy telephonic surveillance on Halperins during 1957 & 1958.
- Files also contain copies of correspondence to Halperins.
- Left Mexico in 1958.
- Lived in Soviet Union from 12/58 until 10/62, when they moved to Cuba.

+ ~~Believed to~~ employed as professor at Simon Fraser U. in Vancouver

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3/17/60

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Wm. Hamilton Martin

Disappeared. 6/24/60.

Wash. D.C. Star of 8/6/60 : presumed to have gone behind Iron Curtain.

N.Y.T. - 9/7/60 : Text of statements read by M.E.M. in Moscow

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201 File Request

Date: 8/3/60

From: Wm. K. Harvey

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Robert E. Webster file

DOB 23 Oct 28 - Ohio

CIA has no operational relationship  
with Mr. Webster - letter from  
Dulles to Bundy dated 15 Apr 61

Was employed as plastic expert by  
the Sand Development Corp. of Cleveland  
Ohio

enlisted electronics specialist  
in U.S. Navy.

Traveled to USSR in connection with  
the American Exhibition in Moscow, 1959

17 Oct 59 officially renounced his  
U.S. Citizenship

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No File Opening Request

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dated 10/17/20/59 -

Probably opened on 10/20/59 from news articles - earliest agency was AF doc. 10/23/59.

Webster, Robert E.

Vol. I

Bio sheets & newspaper articles provide following info.:

- Webster made a series of trips to Moscow during March-July 1959 in conjunction w/ Rand Corp. exhibit at the Moscow Exhibition.

- Webster disappeared "on a trip" on 9/10/59 when the Rand Corp. personnel were scheduled to leave Moscow.

- He reportedly renounced U.S. cit. @ Oct. 17-19, 1959. Was informed by Sov. that if he accepted Sov. cit., he wouldn't be able to leave country.

10/6/59 SD Telegram, <sup>+1082. (H. memo)</sup> Moscow to Secy. State; RE: Receipt of letter from Webster stating his decision to remain in S.U. to live & work. Emb. unable to determine N's whereabouts in Leningrad.

10/8/59 MFR by Emmett J. McMartney - SR / COP / FI; RE: Attempts to locate Webster; receipt of (above) Emb. cable.

- FIIC Cleveland advised whether W was carrying out clandestine work for CIA which hadn't been coordinated w/ them. Was assumed that this was not case & to best of our knowledge, W had not been briefed by & was unknown to either DDP or OO officers.

- Check made w/ Mr. Mills; had encountered W on a few social occasions, he will consult w/ Messrs. Winkelman & Rayson to produce a more complete picture of W.

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10/20/59 MFR, by Bruce K. Solie, OS/SRS; RE: Webster

- Egerton advised Papier called Roman re Agency interest in W.

- OS files - no clearance; was an OO/c int. in W in late May '59, but W wasn't contacted by OO/c prior to trips to USSR.

- CI/OA files - no record.

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R. E. Webster (cont.)

- 10/21/59 Office Memo, E.S. Pittsburg, Cleveland to Chief, <sup>Contact A.W.</sup> DCD, Attn: Support Br. (Crowley); RE: R.E. Webster case at recent Machine Searching Conference on 10/20/59 - Our org. has no interest in matter.

- 10/22/59 Office Memo, Pittsburg Cleveland Resident Agent to Chief, <sup>Contact A.W.</sup> Attn: Support (Crowley); RE: Webster - Questions asked by Major Rbt. Cochran of OSI:

- a) Is this office doing anything re W's defection?
- b) If not, do they contemplate doing anything?
- c) What would this office have done if W ret. normally?

Pittsburg called next day w/ response that they knew only what was in newspapers re W; (not very cooperative)

- 10/30/59 Office Memo, Pittsburg to Crowley; RE: Kent (of WRU) conversation w/ H.J. Reed re R.E. Webster

- 10/26/59 Memo, Sheffield Edwards to Sci., FBI; <sup>Agency interest in</sup> RE: Webster

- W has never been used by Agency; was considered for debriefing May-June 1959, however he wasn't contacted prior to dep. for USSR
- Agency does have a std. contractual relationship w/ Cleveland office of Rand Develop. Corp. on develop. of tech. material of int. to Agency.

In view of W's empl. w/ RDC, please forward any info. obtained in investig. of W.

10/23/59 Info. on Vera Svehenko, W's girlfriend

0102800

10/28/59 Report on W:

W was given sec. clearance 6/5/59 but never had access to military info.

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R. E. Helbert (cont.)

(cont.)

ca 10/17/59

Report of interview of W by Snyder in presence of H. J. Read & Geo. Bookbrader at the DBVIR in Moscow - reasons for defection; also signed renunciation of U.S. cit.

3/22/60 Office of Personnel, Kittingburg to Det Chief, Contact Div.; Attn: Support Branch (Crowley) - indication (from 3/22/60 Cleveland Press article) of W's desire to ret. to U.S.

3/25/60 DM, Kittingburg to Travis

- Rand contacted by W's father to aid in bringing W home.
- Rand indicated he would contact SA & Sov. Emb. Wash. to see what could be done.
- R quoted Snyder of US Emb Moscow as saying there would be no difficulty from U.S. side. Even though W is Sov. cit., Sov. quote is never filled & since he's broken no laws, he should be able to enter US as alien if necessary.
- R indicated may be value in bringing W back for intell. reasons.

Several Office of Personnel re W's interest & actions re return to US.

12/20/60 Side Review of Office of Security files, SA reflected (besides doc. re W's defection) the following:

5/4/60 Telegram (# 2697) Am Emb Moscow to SA: RE: Visit of W that afternoon; interviewed by Security & Consular Officers; asked for advice re ret. to US.

- States that W's father should be advised to execute visa petition & also forward notarized letters of invitation from self & Mrs. W. for W's use in making Sov. exit visa appl.
- W was given standard invit. letter to facilitate future entries into country.

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R. E. Webster

Vol. I contains third agency docs. as follows:

17 FBI Reports -

2 Rept. of AF Memos

• 4 SA docs. - 11/12/59; 3/22/60; 4/4/60; 6/8/60

Vol. II ✓

- PRQ - Part I <sup>Handwritten</sup> ~~Handwritten~~ <sup>permission</sup> someone after return to US.

- News articles re W's <sup>permission</sup> ~~retention~~ to ret. to US @ 5/16/62.

- Undated Memo, Joe B. Yare, Assessment & Evaluation Staff, Office of Inq. to Mr. Rudy Salaban, SR/6 Attaching:

Report of Court Assessment of R. E. Webster - Assessed on 7/23-24/62

- Report based on psych. testing

- Attach. to Pitt Report 21345 - "Hist of Yare's Retention Status from R. E. Webster"

3rd Agency - 1 FBI Report of 1/8/62

Vol. III

Office Memos from Cleveland re Rand & W's ret.

Correspondence bet. W & family

CIA Info Report Country: USSR  
Subj: Keningrad Scient. Res. Inst. of Poly. Plastics (NIIPP)  
Res. & Dev. of Poly. Plastics Tech.

Date of Info: 11/13/59 - 4/18/62

Report # 00-B 3232798

CG/00 Case 38246

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Joint report of Foreign Tech. Div., AF Systems Command, & CIA

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R. E. Webster

Vol. III (cont.)

6/4/62 Request for Approval or Investigative Action

To: CI / Op. App. & Supp. Div.

From: AC / SR / 6 / Supp.

: Sec. Supp. Div. / DS

R. J. Balaban

Reqs.: Provisional Invest. Security Approval

Spec. Area of Use: Debriefing & other Sov. activities data

Clearance req. to debrief only re experience as a resident of S.U. prior to & during defection. @ 9/10/59.

6/22/62 Memo, DD Security (Invest. & Oper. Supp.) to Chief, SR Div.; Attn: Balaban

- A PCSA may be granted for use as described; subj. is not to represent himself nor to be represented as an emp. of CIA. If change in status is desired, new request should be made.

7/5/62 Author. to expend funds <sup>for debriefing</sup> <sup>(REW)</sup> of Soviet associates of int. to SR Div.

Funds for use of safe house approx. 14 days. Chargeable to Project

DDA

7/11/62 Memo: Request for Handwriting Analysis

7/12/62 Telememo to Stewart, Pittsburgh, to EE, USSR (Kosley), Att. data recorded on Sov. activities

7/16/62 Personal History Statement of Webster

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7/18/62 Memo, J. F. Marzou, Chief TS & GAR.B to Chief AD/P/SR; RE: Handwriting analysis as per req. of 7/11/62.

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Robert E. Webster

7/24/64 MFR <sup>by Howard I. Stark;</sup> RE: Assessment of R.W. in area of plastics

7/24/62 Interview Report by Dr. Chas. A. Bohrer, 10pp.; RE:

Background info. of W; <sup>info. on</sup> ~~assess~~ stay in S.U. & ret. to U.S.

?s: Is this ~~man~~ <sup>info.</sup> controlled? Highly unlikely.

If not, could he become a <sup>possibility</sup> if things don't go well in future & he is offered suitable financial reward.

Undated MFR of 7/19/62 Debriefing of R.E.W. by Ned Bennett, CA/PROP/OB;  
RE: Views on Sov. attitudes      Notes additional notes

8/1/62 MFR by Victor F. Zimin; RE: Area in interrogation which cast a shadow on W's bona fides.

8/27/62 Memo, Geo. Stone, IA/CR to Eleanor Reed; RE: Appraisal of letter.

8/30/62 Memo, S. Dumas, SR/CR to SR/6/support; RE: Photo ident.

11/1/62 Memo, Virgil K. Harris, Chief, SR/6 RE: Debriefing of REW 7/16-17/62

I. Outline of life story of REW - complete chron.

II. Misc. info. on Sov. realities 1959-62: TV, <sup>sociological & ec. conditions, prices,</sup> taxi, mail, medical treatment, voting.

III. Biog. info. on Sov. personalities known to W-1959-62

IV. Assessment of REW

Vol. III 3rd Agency doc.

2 SK telegrams - 5/4/62 and 3/27/62

5 FBI reports 3/6/62 - 5/24/62

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R. E. Webster

101. IV

4/16/63 Memo. Balaban to Chief, SR/6 ; RE: Debriefing of R. E. Webster

✓

1. Subj. debriefed by undersigned together w/COO/Contract Div. & AF reps. in Pittsburgh during 7/2-3/62.

Balaban = Rudy Valentis

Attended Times

= Keller Stewart

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= Jack [unclear]

= Major E. J. Zucchia

= Mr. F. G. Jaycocks

COO Office, Pittsburgh

at Foreign Sec. Div., Wright Patterson AFB, Ohio

Recommended that subj. be brought to A.C. for detailed debriefing.

Arrangements for 2nd debriefing made by OS

Subj. stayed at Marriott Hotel, Key Bridge, during 7/15-28/62.

Enclosure A: List of staff personnel who participated in debriefing.

" B: Notations made by Balaban during various phases of debriefing.

" C: Surety agreement, dated 7/16/62, signed by subj.

" D: " " of truthfulness, signed by subj.

" E: short [unclear] on subj.

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Refs. to Oswald

5/11/64 Memo. Angleton to Dir., FBI re LHO

- Attached copy of names, addresses & phone #'s re S.U. - one address is deranged of Lev Prizentsev is same or similar to H's address here.

ndrot'yevskiy

6002226

12/5/66 MFR, from Webster re "Am. Refug. to USSR" w/attached chon. to W.

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R. E. Webster

10/10/67 Memo, B. Kellan Stewart, Jr. (Chief, Pitts. Office) to Dir. DCS; RE: RSW

- We've had no contact w/ W since 1/63 when we completed handling of Jim  
under case # 38-246

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Vol. IV - 3rd agency materials

4 FBI Reports 10/22/64 - 2/13/67

INS bio sheet - 1969

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RE.W.

Defection

How aided by Sovs.? Popov & Shaked - high govt. officials (KGB)

How aided in obtaining employment?

Any contact with Sov. emb. in Moscow (3/60) After attempts to return to U.S.? After ret. to US?

Contacts at Sov. Emb. in Moscow

- SA

- CIA

PRQ- Pt. I - why ; when (1st deb.)?

Debriefing

Did debriefing indicate any desire by Agency to use him

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