

1 2 **Briefing Outline**

- Overview of the C.U.I. Program
  - Establishment of the Program
  - Elements of the C.U.I. Executive Order
  - Categories and Registry
  - Handling C.U.I.
  - Current Efforts
- C.U.I. and IT Implementation
  - C.U.I. and N.I.S.T. Standards and Guidelines
  - Publication Delivery Vehicle
- C.U.I. Approach for the Contractor Environment
  - Preliminary FAR Rule

3 **Overview of the C.U.I. Program**4 **Why is the C.U.I. Program necessary?**5 **What are the benefits of the C.U.I. Program?**6 **Executive Order Thirteen five fifty six**

- Established C.U.I. Program
- Executive Agent (EA) to implement the E.O. and oversee department and agency actions to ensure compliance
- An open and uniform program to manage all unclassified information within the executive branch that requires safeguarding and dissemination controls as required by law, regulation, and Government-wide policy

7 **Approved C.U.I. Categories**8 **Online Registry**9 **C.U.I. Advisory Council**10 **C.U.I. Executive Agent Current Efforts**

- Maintain Registry
  - Approve additional C.U.I. categories and subcategories based on agency submissions
  - Provide guidance on provisional approval process for new C.U.I. categories
- Finalize C.U.I. Policy
  - Undergoing formal O.M.B. comment process
  - To be published in CFR
- National Implementation Plan (N.I.P.)
  - Work with agencies and O.M.B. to create and execute implementation planning framework, including deadlines for phased implementation

11 **Handling C.U.I.**12 **Handbooks, Training and Tools**13 **C.U.I. Phased Implementation**14 **C.U.I. and IT Implementation**

- “This order shall be implemented in a manner consistent with...applicable Government-wide standards and guidelines issued by the National Institute of Standards and Technology, and applicable policies established by the Office of Management and Budget”, Section 6(a)3, Executive Order Thirteen five fifty six.
- Future C.U.I. guidance where it addresses IT issues, must be aligned to Federal policies.

15  **C.U.I. and N.I.S.T. Standards/Guidelines**

- ISOO and N.I.S.T. developed a strong partnership.
- “In accordance with Federal Information Processing Standards (F.I.P.S.) Publication 199, the Confidentiality impact level for C.U.I. shall be no lower than the Moderate level” (proposed C.U.I. rule).
  - ISOO is collaborating with N.I.S.T. on developing a Publication to represent the technical standards and guidelines for Moderate Confidentiality in the contractor environment.
  - A future Federal Acquisition Rule will be the appropriate instrument for the application of these standards and guidelines.

16  **C.U.I. Publication**

- Appropriately tailored security control baseline for Moderate Confidentiality based on applicability to the contractor environment of operations.
- Requirement descriptions based on F.I.P.S. Publication 200 with specified understandings of the Moderate Confidentiality Impact level for protection of C.U.I. for the contractor environment.
  - Descriptions will allow for the use of compensating security controls, namely those providing equivalent or comparable protection.
- Document development will follow standard N.I.S.T. processes involving comment from public – to include industry.

17  **C.U.I. and N.I.S.T. Guidelines**

- C.U.I. categories and subcategories will be incorporated as information types into the next revision of the N.I.S.T. Special Publication 800-60, where the work of the C.U.I. Executive Agent will be integrated.
  - The N.I.S.T. SP 800-60 will reflect Moderate Confidentiality for all C.U.I. categories and subcategories.
  - The assignment of Integrity and Availability security impact levels will follow standard N.I.S.T. processes.

18  **C.U.I. Approach for Contractor Environment**

19  **Preliminary FAR Draft**

- Goal – the development of a FAR rule to protect C.U.I. in the contractor community, by its reference to:
  - EO Thirteen five fifty six
  - C.U.I. EA issuances
  - Agency C.U.I. implementation policies
  - A publication to represent the technical standards and guidelines for Moderate Confidentiality in the contractor environment
  - Modeled on current DFARS 252.204-7012: Safeguarding Unclassified Controlled Technical Information
  - Expansion to include reporting of security incidents involving compromise

20  **Preliminary FAR Draft (continued)**

- Additional Features
  - Completion of representations and certifications relevant to C.U.I. in SAM database

- Requirement to keep current based on any changed status pertaining to C.U.I. representations (e.g., safeguarding capabilities)
- Future use of SAM database for oversight activities being explored with GSA for possible inclusion in FAR rule

21  **C.U.I. Phased Implementation - FAR & N.I.S.T.**

22  **Questions?**

23  **Contact Information**

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