

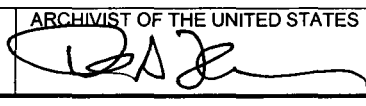
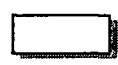
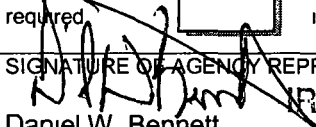
ACTIVE ITEMS. These items, unless subsequently superseded, may be used by the agency to disposition records. It is the responsibility of the user to verify the items are still active.

INACTIVE ITEMS. These items may no longer be used to disposition records. They are superseded, obsolete, filing instructions, describe non-records, or were lined off and not approved at the time of scheduling. References to more recent schedules are provided below as a courtesy. Some items may be annotated on the schedule.

(P) Permanent

(T) Temporary

[illegible]

REQUEST FOR RECORDS DISPOSITION AUTHORITY (See Instructions on reverse)		LEAVE BLANK (NARA use only)	
TO NATIONAL ARCHIVES and RECORDS ADMINISTRATION (NWML) 8601 ADELPHI ROAD, COLLEGE PARK, MD 20740-6001		JOB NUMBER N1-058-09-86	
1 FROM (Agency or establishment) Department of the Treasury		DATE RECEIVED 8/28/09	
2 MAJOR SUBDIVISION Internal Revenue Service		NOTIFICATION TO AGENCY In accordance with the provisions of 44 U.S.C. 3303a the disposition request, including amendments, is approved except for items that may be marked "disposition not approval" or "withdrawn" in column 10	
3 MINOR SUBDIVISION Office of the Commissioner, Research, Analysis, and Statistics, Research, Intelligent Business Solutions			
4 NAME OF PERSON WITH WHOM TO CONFER Daniel W. Bennett, IRS Records Officer Kay H. Wolman	5 TELEPHONE 202-435-6337 202-874-0544	DATE 8/28/09	ARCHIVIST OF THE UNITED STATES 
5 AGENCY CERTIFICATION I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached <u>2</u> page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified, and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies,  is not required,  is attached, or  has been requested			
DATE 8/25/2009	SIGNATURE OF AGENCY REPRESENTATIVE  Daniel W. Bennett		TITLE IRS Records Officer National Office, OS A RE SC Washington, DC 20224
7 ITEM NO	8 DESCRIPTION OF ITEM OF PROPOSED DISPOSITION	9 GRS OR SUPERSEDED JOB CITATION	10 ACTION TAKEN (NARA USE ONLY)
	RCS 1.15.27 for Records Control Schedule for Compliance Research Future Updates: • Adds new Item 53 for the <i>Link Analysis Tool (YK1)</i> Item 83 Link Analysis Tool (YK1) Sub-Items • a. Inputs b. Outputs c. Data d. Systems Documentation RCS 1.15.35 Records Control Schedule for Tax Administration – Systems (Electronic) a.) Add new pointer to Item 83 in RCS 1.15.19 The records are owned by the Office of the Commissioner, Research, Analysis, and Statistics, Research, Intelligent Business Solutions. <i>See the attached</i>		

REQUEST FOR RECORDS DISPOSITION AUTHORITY
(See Instructions on reverse)

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JOB NUMBER

N1-058-09-

IRM
1 15 27,
Item 53

Background:

The Office of the Commissioner, Research, Analysis, and Statistics (RAS) Division provides strategic service-wide research, analysis, studies, and support to internal and external stakeholders, including Internal Revenue Service Senior Management Team, Operating Division research and operational organizations, Other NHQ support units, External stakeholders, including Treasury, OMB, Congress and other tax administration agencies.

RAS conducts strategic research and analysis and research not limited to a single operating division but critical to the Service, overall This includes various types of research, econometric modeling, forecasting, compliance studies, analysis of proposed legislative initiatives, and strategic program evaluations; and provides taxpayer data to the Treasury Department's Office of Tax Analysis (OTA) and other agencies through the Statistics of Income Division.

Link Analysis Tool (YK1)

Description:

The Link Analysis Tool (YK1) is a link analysis system that draws enterprise maps of parent, subsidiaries, flow-through, and Controlled Foreign Corporations It extracts data from an Oracle database that contains selected information from the Business Master File Returns Transaction File (BMF RTF) and the Individual Master File Returns Transaction File (IMF RTF) It uses K-1 data to show money follow-throughs across all Internal Revenue Service taxpaying entities YK1 is currently running as a prototype It allows auditors (with worldwide access) to audit complex entities, such as corporations, trust, and partnerships via tracking of TIN (EIN and/or SIN)

A. Inputs:

The Link Analysis Tool (YK1) receives inputs from the Business Master File Returns Transaction File (BMF RTF) and the Individual Master File Returns Transaction File (IMF RTF)

Disposition TEMPORARY Delete/destroy any cached input files and data immediately following validation of receipt by the system.

B. System Data:

Contents of the Link Analysis Tool (YK1) consist of reformatted and post processed selected information from Business Master File Returns Transaction File (BMF RTF) and the Individual Master File Returns Transaction File (IMF RTF) related to relationships and flow troughs' of taxable entities The data is maintained in an Oracle database

Disposition: TEMPORARY Migrate from system to data tapes 10 years after processing year Maintain onsite an additional 6 years Delete/destroy 16 years after processing year or when no longer needed for operational purposes, whichever is later.

NEW

GRS 2D/2

REQUEST FOR RECORDS DISPOSITION AUTHORITY (See Instructions on reverse)		HAVE BLANK (NARA use only) JOB NUMBER N1-058-09-	
	C. Outputs: Outputs from the Link Analysis Tool (YK1) consist of printable diagrams and mappings of parents and subsidiaries (taxable entities) <i>Disposition: TEMPORARY Delete/destroy when no longer needed for operational purposes</i> D. System Documentation System Documentation for the Link Analysis Tool (YK1) consists of codebooks, records layout, user guide, and other related materials <i>Disposition: TEMPORARY Delete/destroy when superseded or 5 years after the system is terminated, whichever is sooner</i>	GRS 20/6 except to GRS/11	

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 PREVIOUS EDITION NOT USABLE

STANDARD FORM 115 (REV. 3-91)
 Prescribed by NARA
 36 CFR 1228