

Request for Records Disposition Authority

Records Schedule Number DAA-0134-2013-0025

Schedule Status Approved

Agency or Establishment Surface Transportation Board

Record Group / Scheduling Group Records of the Interstate Commerce Commission

Records Schedule applies to Major Subdivision

Major Subdivision Office of Proceedings

Schedule Subject Finance Dockets (FD)

Internal agency concurrences will be provided No

Background Information

Item Count

Number of Total Disposition Items	Number of Permanent Disposition Items	Number of Temporary Disposition Items	Number of Withdrawn Disposition Items
1	1	0	0

GAO Approval

Outline of Records Schedule Items for DAA-0134-2013-0025

Sequence Number	
1	Finance Dockets (FD) Disposition Authority Number: DAA-0134-2013-0025-0001

Records Schedule Items

Sequence Number	
1	<p>Finance Dockets (FD)</p> <p>Disposition Authority Number DAA-0134-2013-0025-0001</p> <p>FINANCE DOCKETS (FD), PUBLIC DOCKETS: These dockets consists of applications and subsequent proceedings that concern the issuance of securities by rail carriers and persons holding positions as officers or directors of more than one rail carrier. These dockets also relate to reorganization plans, appointments of trustees, proxy authorizations, and deposit agreements filed under the Uniform Bankruptcy Act, the guarantee by the Commission/Board of loans granted by lending institutions to railroads, the extension of railroad lines or the abandonment of lines and services, and modifications of a railroad's financial status to make the investment in securities safe. Other Finance Dockets concern railroad pooling or division of traffic, the purchase, control, merger, lease, or consolidation of operating rights by railroads. The dockets include applications or petitions by railroad companies, decisions and orders of the Commission/Board, briefs, testimony, exhibits which include maps, charts, and photographs, loan applications and agreements, mortgages, contracts, and leases. The Finance Docket contains detailed information concerning the organization functions and operations of railroad companies. The authority issued, where applicable, in the form of certificates, licenses, or permits, may describe the extent of routes, stops, and jurisdictions, and types of property to be transported.</p> <p>Final Disposition Permanent</p> <p>Item Status Active</p> <p>Is this item media neutral? No</p> <p>Explanation of limitation DAA-0134-2013-0025 provides for disposition of permanent records maintained in electronic structured data.</p> <p>Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? Yes</p> <p>Do any of the records covered by this item exist as structured electronic data? Yes</p> <p>GRS or Superseded Authority Citation N1-134-08-1 / 13</p> <p>Disposition Instruction</p> <p>Cutoff Instruction Cutoff at end of calendar year.</p>

Transfer to the National Archives
for Accessioning

**Transfer electronic records in 5 year blocks when the
most recent record is 15 years old.**

Additional Information

First year of records accumulation **2008**

What will be the date span of the
initial transfer of records to the
National Archives? **From 2008 To 2013**

How frequently will your agency
transfer these records to the
National Archives? **Every 5 Years**

	Estimated Current Volume	Annual Accumulation
Electronic/Digital	10 GB	1 GB
Paper		
Microform		
Hardcopy or Analog Special Media		

Agency Certification

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal in this schedule are not now needed for the business of the agency or will not be needed after the retention periods specified.

Signatory Information

Date	Action	By	Title	Organization
03/29/2013	Return to Submitter	Michelle Thomas	Management Analyst Officer	Surface Transportation Board - OPAGAC
04/02/2013	Certify	Michelle Thomas	Management Analyst Officer	Surface Transportation Board - OPAGAC
04/25/2019	Submit for Concurrence	Steven Rhodes	Senior Appraisal Archivist	National Archives and Records Administration - Records Management Services
05/01/2019	Concur	Margaret Hawkins	Director of Records Management Services	National Records Management Program - ACNR Records Management Services
05/02/2019	Concur	Laurence Brewer	Chief Records Officer	National Records and Archives Administration - National Records and Archives Administration
05/06/2019	Approve	David Ferriero	Archivist of the United States	Office of the Archivist - Office of the Archivist