

# NOTICE - SOME ITEMS SUPERSEDED OR OBSOLETE

## **Schedule Number: N1-142-08-001**

Some items in this schedule are either obsolete or have been superseded by new NARA approved records schedules. This information is accurate as of: 07/28/2022

### **ACTIVE ITEMS**

These items, unless subsequently superseded, may be used by the agency to disposition records. It is the responsibility of the user to verify the items are still active.

Item 4 remains active as it was not listed in the N1-142-10-001 crosswalk.

### **SUPERSEDED AND OBSOLETE ITEMS**

The remaining items on this schedule may no longer be used to disposition records. They are superseded, obsolete, filing instructions, non-records, or were lined off and not approved at the time of scheduling. References to more recent schedules are provided below as a courtesy. Some items listed here may have been previously annotated on the schedule itself.

Per the N1-142-10-001 crosswalk:

Item 1 was superseded by N1-142-10-001, item 9c

Item 2 was superseded by N1-142-10-001, item 5c

Item 3 was superseded by N1-142-10-001, item 5c

# NOTICE - SOME ITEMS SUPERSEDED OR OBSOLETE

REQUEST FOR RECORDS DISPOSITION AUTHORITY		LEAVE BLANK (NARA use only)	
TO: NATIONAL ARCHIVES and RECORDS ADMINISTRATION (NIR) WASHINGTON, DC 20408		JOB NUMBER <i>N1-142-08-1</i>	
1. FROM (Agency or establishment)		DATE RECEIVED <i>5/15/08</i>	
TENNESSEE VALLEY AUTHORITY		NOTIFICATION TO AGENCY  In accordance with the provision of 44 U.S.C. 3303a the disposition request, including amendments, is approved except for may items that be marked "disposition not approved" or "withdrawn" in column to.	
2. MAJOR SUBDIVISION FINANCIAL SERVICES			
3. MINOR SUBDIVISION		DATE <i>1-13-09</i>	
4. NAME OF PERSON WITH WHOM TO CONFER  Kal Chatterjee			
5. TELEPHONE  865-632-3622		ARCHIVIST OF THE UNITED STATES <i>Adrian Thomas</i>	
6. AGENCY CERTIFICATION I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed on the attached (pages) page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified; and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies, <input checked="" type="checkbox"/> is not required; <input type="checkbox"/> is attached; or <input type="checkbox"/> has been requested.			
DATE <i>4/29/08</i>	SIGNATURE OF AGENCY REPRESENTATIVE <i>Mary H. Ragland</i>		TITLE Manager, Records Management & Systems
7. ITEM NO.	8. DESCRIPTION OF ITEM AND PROPOSED DISPOSITION	9. GRS OR SUPERSEDED JOB CITATION	10. ACTION TAKEN (NARA USE ONLY)
	ATTACHED:  1. FINANCIAL MANAGEMENT PLANNING RECORDS  2. PERFORMANCE AND ACCOUNTABILITY REPORTS <i>to see attached</i> 4. EXTERNAL AUDIT RECORDS  5. CFO COMMITTEE RECORDS. <i>GRS 26-1(a)</i>	<i>GRS G.5(b)</i>  <i>GRS G-1(a)</i>	

## FINANCIAL MANAGEMENT PLANNING RECORDS

Included are records that document agency-wide financial management goals; specific milestones to be achieved; identify performance measures; and provide procedural guidance to implement OMB's financial management policies. Items may include annual or other periodic plans documenting the implementation and maintenance of financial systems, and records supporting formally issued plans, such as records of concurrence, comments, clearances, justifications, and related materials.

Effective 2003, records relevant to audits and reviews must be retained for seven years to comply with the Securities and Exchange Commission's requirement stated in the 17 CFR Part 210 and mandated by Section 802 of the SOX act of 2002.

### DISPOSITION

Destroy/delete when 7 years old.

## PERFORMANCE AND ACCOUNTABILITY REPORTS

Included are annual reports consolidating the reporting requirements of the CFO Act, Government Performance and Results Act, and other statutes covering public accountability.

Records also include information on the agency's financial position and the performance of its programs relative to measurable goals.

Effective 2003, records relevant to audits and reviews must be retained for seven years to comply with the Securities and Exchange Commission's requirement stated in the 17 CFR Part 210 and mandated by Section 802 of the SOX act of 2002.

### DISPOSITION

- 2 A. Final submission to the Office of Management and Budget

Destroy/delete when 7 years old

- 3 B. Supporting Documentation

Destroy/delete when 3 years old.

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#### EXTERNAL AUDIT RECORDS

Included are records pertaining to the CFO's management of external audits and reviews of agency financial statements. Records may include reports, correspondence, and other documentation accounting for agency financial systems.

Effective 2003, records relevant to audits and reviews must be retained for seven years to comply with the Securities and Exchange Commission's requirement stated in the 17 CFR Part 210 and mandated by Section 802 of the SOX act of 2002.

#### DISPOSITION

Destroy/delete when 7 years old.

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#### CFO COMMITTEE RECORDS

*Withdrawn*  
Records include meeting minutes, summaries, agendas, and transcripts, reports, studies, and publications, correspondence, and other administrative committee records such as Disclosure Control Committee records

Effective 2003, records relevant to audits and reviews must be retained for seven years to comply with the Securities and Exchange Commission's (SEC) requirement stated in the 17 CFR Part 210 and mandated by Section 802 of the SOX act of 2002.

#### DISPOSITION

Destroy/delete when 7 years old.