# NOTICE - SOME ITEMS SUPERSEDED OR OBSOLETE

## Schedule Number: NC-173-75-001

Some items in this schedule are either obsolete or have been superseded by new NARA approved records schedules. This information is accurate as of: <u>12/19/2022</u>

#### **ACTIVE ITEMS**

These items, unless subsequently superseded, may be used by the agency to disposition records. It is the responsibility of the user to verify the items are still active.

All other items not listed below remain active.

#### SUPERSEDED AND OBSOLETE ITEMS

The remaining items on this schedule may no longer be used to disposition records. They are superseded, obsolete, filing instructions, non-records, or were lined off and not approved at the time of scheduling. References to more recent schedules are provided below as a courtesy. Some items listed here may have been previously annotated on the schedule itself.

Items 9, 10, 11, 14, and 17 are superseded by N1-173-86-002, item 24.

Item 12 is superseded by N1-173-86-002, item 25.

Item 15 is superseded by N1-173-08-001, item 1.

Item 25 is superseded by N1-173-86-002, item 30.

Item 26 is superseded by N1-173-86-002, item 31.

Item 27 is superseded by N1-173-86-002, item 32.

Item 32 is superseded by N1-173-86-002, item 33.

NOTICE - SOME ITEMS SUPERSEDED OR OBSOLETE

As of 12/19/2022 NC-173-75-001

(See Instructions on Reverse)

NATIONAL ARCHIVES AND RECORDS SERVICE, WASHINGTON, D.C. 20408

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DATE RECEIVED APR 1 7 1975

NC-173-75-

NOTIFICATION TO	D AGENCY.
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In accordance with the provisions of 44 U.S.C. 3303a the disposal request, including amendments, is approved except for items that may be stamped "disposal not approved" or "withdrawn" in column 10.

Cable Television Bureau 3. MINOR SUBDIVISION

1. FROM (AGENCY OR ESTABLISHMENT)

2. MAJOR SUBDIVISION

4. NAME OF PERSON WITH WHOM TO CONFER Rex Marshall

TO: GENERAL SERVICES ADMINISTRATION.

Federal Communications Commission

5. TEL. EXT. 632-7533

6. CERTIFICATE OF AGENCY REPRESENTATIVE:

1 hereby certify that I am authorized to act for this agency in matters pertaining to the disposal of the agency's records; that the records proposed for disposal in this Request of 14 page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified.

Chief, Records Management Division (Date) (Title) (Signa of Agency Representative) 8. DESCRIPTION OF ITEM 10 SAMPLE OR ITEM NO. (With Inclusive Dates or Retention Periods) ACTION TAKEN JOB NO. RECORDS CONTROL SCHEDULE FOR THE CABLE TELEVISION BUREAU 0.84 FUNCTIONS OF THE BUREAU. The Cable Television Bureau develops, recommends, and administers policies and programs with respect to the regulation of cable television systems and related private microwave radio facilities. The bureau implements the Commission's cable television regulatory program, and performs the work and activities involved in the licensing and regulation of cable television relay stations, in coordination with the Broadcast Bureau. CABLE TELEVISION BUREAU Records Schedule ADMINISTRATIVE AND GENERAL FILES 1 Administrative Files:

> Budget preparation and presentation data at Bureau level, including rough drafts of descriptive material outlining activities such as: data on average employ+ ment, personnel requirements, man-hour statistics, forecasts, etc. All record data and final drafts are in the official files in the Financial Management Division, Office of Executive Director.

DESTROY two years after close of fiscal year involved

es to Agency + NCW 7-30-

STANDARD FORM 115 Revised November 1970 Prescribed by General Services Administration FPMR (41 CFR) 101-11.4 115-105



Page \_\_\_\_\_2 of \_\_\_\_\_ pages

7. ITEM NO.	8. DESCRIPTION OF ITEM (WITH INCLUSIVE DATES OR RETENTION PERIODS)	9. SAMPLE OR JOB NO.	10. ACTION TAKEN
	CABLE TELEVISION BUREAU Records Schedule  ADMINISTRATIVE AND GENERAL FILES (cont'd)		
Cont'd 1)	B. Subject Files consisting of memoranda, reports, and bulletins, which deal with employee information, training, supplies, and other administrative housekeeping matters.  DESTROY as administrative need is ended or as The files become Syears old, which we is earlied.	Ro 6/2/hs	
2	Agenda Materialsfiles of agenda items for Commission meetings which consist of memoranda or other documents prepared by the staff presenting matters to the Commission for action; files are arranged chronologically. The official record copies of all Commission agenda are on file in the Minute and Rules Branch, Office of the Secretary.		
	DESTROY as they become six months old.		
3	Files of the Cable Television Advisory Committee on Federal/State-Local RelationsThe committee advises the Commission on problems concerning the allocation of regulatory responsibility among federal, state, and local government. It examines the procedural aspects of cable franchising and regulation as they are developing under recent regulations, identifies specific problems being experienced and likely to be encountered, and recommends solutions thereof. The committee consists of representatives of state and municipal entities, the cable television industry, public interest groups, and members of the Commission's staff. The files consist of correspondences, minutes of meetings, memoranda, and reports.		
	<u>PERMANENT</u> : Transfer to the Federal Records Center one year after the committee becomes inactive or when current administrative need is ended.		
	$\overline{ ext{OFFER}}$ to the National Archives $10$ years later.		

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Job	No		Page 4	
			of	_ pages

7. ITEM NO.	8. DESCRIPTION OF ITEM (WITH INCLUSIVE DATES OR RETENTION PERIODS)	9. SAMPLE OR JOB NO.	10. ACTION TAKEN
	CABLE TELEVISION BUREAU Records Schedule		
	ADMINISTRATIVE AND GENERAL FILES (cont'd)		
4	Files of the Technical Advisory Committee—The Committee accumulates data for the formulation of technical standards for carrying cable originated programs, two-way communications, and various other cable services. The Committee is composed of representatives of state and municipal entities, the cable television industry, the electronics industry, public interest groups, and members of the Commission's staff. The files consist of correpondences, minutes of committee meetings, memoranda, and reports.		
	PERMANENT: Transfer to the Federal Records Center one year after the Committee becomes inactive or when current administrative need is ended.		
	OFFER to the National Archives 10 years later.		
5	General Correspondence Fileoriginal correspondence from the public or Members of Congress and the official file copy of the Commission reply. This correspondence consists of inquiries, requests for information, clarification of the Rules, and complaints which relate to Cable Television. Files are arranged chronologically.		
	DESTROY as they become three years old.	·	
6	Docket MaterialsThese are copies of transcripts of hearings, exhibits, and work papers. They serve as reference and working materials for the staff. The official record copy of docketed case files are on file in the Dockets Branch, Office of the Secretary.		
	DESTROY as administrative need is ended, but not to exceed one year after docket has been formally closed.		

GPO

Standard Form No. 115-A	
Revised November 1951	-
Prescribed by General Services Adv	ninistration
GSA Reg. 3-IV-106	
115-202	

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Job	No.		 Page 4 of 14 pages

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7. ITEM NO.	8. DESCRIPTION OF ITEM (WITH INCLUSIVE DATES OR RETENTION PERIODS)	SAMPLE OR JOB NO.	ACTION TAKEN
	CABLE TELEVISION BUREAU Records Schedule  ADMINISTRATIVE AND GENERAL FILES (cont'd)		
7	Public NoticesPublic notices issued by the Cable Television Bureau. These notices announce filings of applications for certificates of compliance, licenses, major amendments to applications, and other important matters of the Bureau. Files are arranged chronologically.  DESTROY as they become 10 years old.		
8	Reports and Studies relative to the cable television industry or the operations of the Cable Television Bureau. Such studies include compilations and analyses of cable television annual reports and other reports required by the Commission.  PERMANENT. Offer for transfer to National Archives 5 years intervals, commencing in 1977.		
	PROGRAM FILES		
9	Certificate of Compliance Files—This file contains the official "Cable Television Certificate of Compliance" (FCC Form 370) which is required of all Cable Television Systems prior to commencement of service and pursuant to Section 76.11 of the Rules. Also included in the files are the related applications and amendments, copies of the state of local franchise to construct and operate a cable television system, and other filings required pursuant to Section 76.18 of the Rules. Files are arranged by state then numerically.	#K5 315	
	A. Where the Certificate of Compliance has expired or is deleted.		
	One year after action, <u>SEGREGATE</u> sample files from remainder of files and <u>TRANSFER</u> both sets to Federal Records <u>Easter</u> . (Retention standards, to		
	gether with procedures for selection of sample files, are given on Page 15 of this disposal job.		
	B. Where the Certificate of Compliance is renewed, create a new Certificate of Compliance File.		
	INCORPORATE previous Certificate of Compliance  Four copies, including original, to be submitted to the National Archives and Records		GPO : 1963—O-711-917



Page  $\frac{4a}{14}$  pages

7. ITEM NO.	8. DESCRIPTION OF ITEM (WITH INCLUSIVE DATES OR RETENTION PERIODS)	9. SAMPLE OR JOB NO.	10. ACTION TAKEN
Cont'd 9	CABLE TELEVISION BUREAU Records Schedule  PROGRAM FILES (cont'd)  authorization into the new file.	195/1/3/15	
	One year after renewal and removal of previous Certificate of Compliance authorization, SEGREGATE sample files from remainder of files and TRANSFER both sets of files to Federal Records Center. (Retention standards, together with procedures for selection of sample files, are given this disposal job.)	23( <sup>13</sup>	
	A and B. Procedures for Selection of Sample Files.  The Chief or his designee of the Cable Television will select annually a sample of not more than one (1) percent of the Certificate of Compliance files for permanent retention. The designation of permanent will be given to sample cases evaluated as "significant" in terms of one or more of the following criteria: a) effect upon administrative procedures of the Cable Television Bureau: b) intensity of public interest in the proceedings; c) impact on the operations of the cable television industry.		
	At the time of their selection the sample files will be conspicuously flagged or marked to facilitate their later segregation at the time of transfer to the Federal Records Center.  1. Sample Certificate of Compliance Files.		
	PERMANENT. OFFER to the National Archives 20 years after transfer to Federal Records Center.		
	2. Other Certificate of Compliance Files.  DESTROY 20 years after transfer to Federal Records Center.		

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Page	5
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7. ITEM NO.	8. DESCRIPTION OF ITEM (WITH INCLUSIVE DATES OR RETENTION PERIODS)	9. SAMPLE OR JOB NO.	10. ACTION TAKEN
	CABLE TELEVISION BUREAU		
	Records Schedule		5.
·	PROGRAM FILES (cont'd)		
Cont'd 9)	Where the Certificate of Compliance is renewed, create a new Certificate of Compliance File.		
	INCORPORATE previous Certificate of Compliance authorization into the new file.		
	TRANSFER all other materials to the Federal Records Center one year after renewal.		
	Except for sample files to be selected for accession to the National Archives, DESTROY 20 years later.	N .	
10	Special Relief Filespetitions for waivers of provisions of the Commission's Rules, imposing additional or different requirements, or issuing a ruling on a complaint or disputed question. These petitions are filed pursuant to Section 76.7 of the Rules. The files consist of the original petition and related correspondences and exhibits. Files are arranged by state then numerically.		
,	A. TRANSFER original to Federal Records Center two years after final action.		
	DESTROY 8 years later.		
	M. DESTROY duplicate copies two years after final action.		
11	Show Cause Filespetitions for show cause orders requesting that the Commission revoke a license or permit, or issue a cease and desist order pursuant to Section 312 of the Communications Act. Files consist of original petitions and related correspondences and exhibits. Files are arranged by state then numerically.		
	A. Where a hearing has been ordered:		
	Original and duplicate files go to Dockets Branch, Office of the Secretary, where they become part of official docketed proceedings.		

	•	•	6
Job	No		Page
			of pages

7. ITEM NO.	8. DESCRIPTION OF ITEM (WITH INCLUSIVE DATES OR RETENTION PERIODS)	9. SAMPLE OR JOB NO.	10. ACTION TAKEN
	CABLE TELEVISION BUREAU Records Schedule		
	PROGRAM FILES (cont'd)		
(Cont'd 11	) B. Where no hearing has been ordered.		
	TRANSFER original to Federal Records Center two years after final action.		
	DESTROY 8 years later.		
	DESTROY duplicate copies two years after final action.		
12	Grandfathered Cross Ownership Filespetitions for release from Section 76.501 of the Rules which prohibit cable television systems from carrying the signal of any television broadcast station if such system directly or indirectly owns, operates, controls, or has an interest in: a national television network; a television broadcast station whose predicted Grade B contour overlaps in whole or in part the service area of such system; or a television translator station licensed to the community of such system. These petitions were granted under grandfathering provisions of the Rules. Files consist of original petition, correspondences, and exhibits. Files are arranged numerically.		
	A. TRANSFER original to Federal Records Center two years after final action.		
	DESTROY 8 years later.		
	B. <u>DESTROY</u> duplicate copies two years after final action.		
13	Tax Certificate FilesRequests for the issuance of a tax certificate which relate to the divestiture of property by cable television systems to effectuate Commission policy (See Section 76.501 of the Rules), and pursuant to Section 1071 of the Internal Revenue Code of 1954. Files are arranged numerically.		
	DESTROY original 5 years after final action.		

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Job 3	No		Pag	ge	7 nages

7. ITEM NO.	8. DESCRIPTION OF ITEM (WITH INCLUSIVE DATES OR RETENTION PERIODS)	9. SAMPLE OR JOB NO.	10. ACTION TAKEN
	CABLE TELEVISION Records Schedule		
,	PROGRAM FILES (cont'd)	÷	
14	Franchise Files—These files contain the local ordinance, permit, or license by which a granting municipal or state authority authorizes the construction and operation of a cable television system or the use of public streets and ways for connection therewith. These franchises are for systems not already in operation and are filed pursuant to FCC Order 70-369. Files are arranged numerically.		
	A. $\frac{\text{INCORPORATE}}{\text{when the system applies for the Certificate of Compliance.}}$		
	B. <u>DESTROY</u> franchises for cable systems which are never activated five years after expiration of the franchise.		
15	Construction Permit FilesThis file contains FCC Form 402, Application for Microwave Station Authorization, and related correspondences prior to licensing of a Cable Television Relay Station. Files are arranged alphabetically by name of permittee.		
. *	A. INCORPORATE construction permit files into the license file when the license is granted. (See Item 16.)		
	B. TRANSFER to Federal Records Center those files which have been denied or dismissed one year after action.		
	DESTROY 5 years later.		
16	License FilesOfficial files relating to the licensing of cable television relay stations. These files contain correspondences, construction permits, station assignments, modifications, transfer of control, renewals, special temporary authority, amendments, and petitions. Files are arranged alphabetically by name of licensee.		
	A. Where the license of a Cable Television Relay Station has expired or is deleted.		, ·
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Job	No	<del></del>	Page,_	
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7. ITEM NO.	DESCRIPTION OF ITEM     (WITH INCLUSIVE DATES OR RETENTION PERIODS)	9. SAMPLE OR JOB NO.	10. ACTION TAKEN
	CABLE TELEVISION BUREAU Records Schedule		
	PROGRAM FILES (cont'd)		
ont'd 16)	TRANSFER entire file to the Federal Records Center one year after action.	?)	
	Except for sample files to be selected for accession to the National Archives, DESTROY 20 years later.	183, 1917;	
	B. Where the License of a Cable Television Relay Station is renewed.		
	DESTROY staff working papers (left hand side of folder) as they become ten years old.		
17	1105 Notification Files—notifications by a cable television system to commence operations which are filed prior to commencement of service and pursuant to Section 74.1105 of the Rules. They consist of original notices in the form of petitions or letters which contain the name and address of the system, identification of the community to be served, television signals to be distributed, and estimated time operations will begin. These records are being superseded by the Certificate of Compliance applications under Section 76.11 of the Rules. All Cable Television systems will have filed application for the Certificate of Compliance by 1977. Files are arranged chronologically.  TRANSFER To Federal Records Center 1980.  PEVIEW in 1977 to determine final disposition of the Disposal authorized at this time.		
18	1107 Petition Files—petitions to extend the signal of a television broadcast station beyond the Grade B contour of that station in the top 100 television markets. Thes petitions are filed pursuant to Section 74.1107 of the Rules. The files contain original correspondences, statements, work papers, notes, and other related materials. Section 74.1107 of the Rules has been deleted and these files are no longer being accumulated. Files are arranged numerically.	e	
	TRANSFER original to Federal Records Center two year after final action.  DESTROY 8 years later.	s	
	DESTROY duplicate copy 2 years after final action.		

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7. ITEM NO.	8. DESCRIPTION OF ITEM (WITH INCLUSIVE DATES OR RETENTION PERIODS)	9. SAMPLE OR JOB NO.	10. ACTION TAKEN
	CABLE TELEVISION BUREAU Records Schedule PROGRAM FILES (cont'd)		
19	1109 Petition Filespetitions for waiving provisions of the Rules, imposing additional or different requirements, or issuing a ruling on a complaint or disputed question. These petitions are filed pursuant to Section 74.1109 of		- - - - - -
	A. TRANSFER original to Federal Records Center two years after final action.  DESTROY 8 years later.		
	B. <u>DESTROY</u> duplicate files two years after final action.		
20	Political Cablecasting Report FilesFCC Form 322, which requests information on the use of the facilities of cable television systems for originating political programming by or on behalf of candidates for political office during primary and general elections. Files are arranged by state, then numerically.		
	TRANSFER to Federal Records Center after 8 years.  DESTROY 10 years later.		
21	Annual Report of Cable Television Systems——(FCC Form 325) This report consists of certain information on cable television services, and ownership. It is required of all cable television systems pursuant to Section 76.401 of the Rules. Files are arranged by state then numerically.		,
	TRANSFER to Federal Records Center after 3 years.  DESTROY OFFER to the National Archives 10 years later.	þί	

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7. ITEM NO.	8. DESCRIPTION OF ITEM (WITH INCLUSIVE DATES OR RETENTION PERIODS)	9. SAMPLE OR JOB NO.	10. ACTION TAKEN
;	CABLE TELEVISION BUREAU Records Schedule PROGRAM FILES (cont'd)		
22	Cable Television Annual Financial Report (FCC Form 326)—This report consists of certain information on gable television revenues and expenses, income, tangible and intangible property, and non-recurring telephone company charges. It is required of all cable television systems pursuant to Section 76.405 of the Rules. Files are arranged by state then numerically.	,	
	TRANSFER to Federal Records Center after 3 years.  OFFER to the National Archives 10 years later.	ike the	
23	Computation of Cable Television Annual Fee (FCC Form 326-A)This form is filed pursuant to Section 76.406 of the Rules and accompanys the payment of the annual fee required of cable television systems pursuant to Section 1.1116(b) of the Rules. Files are arranged by state then numerically.		
	TRANSFER to Federal Records Center after 3 years.  DESTROY 10 years later.		
24	Annual Employment Report (FCC Form 395 or 395N)These forms are filed by all cable television systems in fulfillment of the equal employment opportunity provision of the Rules. (See Section 76.311 and 76.411 of the		
	Rules.) Files are arranged by state, then numerically.  TRANSFER to Federal Records Center after 3 years.		
	DESTROY 10 years later.		
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Job No		$\frac{\text{Page}}{\text{of}} \frac{11}{14}$

7. ITEM NO.	8. DESCRIPTION OF ITEM (WITH INCLUSIVE DATES OR RETENTION PERIODS)	9. SAMPLE OR JOB NO.	10. ACTION TAKEN
	CABLE TELEVISION BUREAU Records Schedule		
	CARD FILES		
25	Cable Television Relay Service Card Files—These cards index pertinent information relating to cable television relay stations and are reference aids in utilizing the cable television relay service license files. (See Item No. 16.). These files consist of the following series:		
	A. History cards for active and deleted cable relay station, which are arranged alphabetically by name of licensee.  DESTROY 5 years after CATV system ceases  PERMANENT To exist.	6/27/15	
	B. Location cards which index cable relay stations alphabetically by state then by city.  PERMANENT Same Standard as 15A.	אר	
	C. Cross Reference Cards which list cable relay stations alphabetically by call sign.  PERMANENT Same standard or 154.		
	D. Cross Reference Cards which list cable relay stations by file numbers.  PERMANENT Same Stanland ac 254.	nhi	
26	Certificate of Compliance Card FilesThese cards index pertinent information relating to cable television systems, and are reference aids in utilizing the Certificate of Compliance files. (See Item No. 9). These files consist of the following series:		
	A. History Cards which list the filings and actions taken on cable television systems, which are arranged alphabetically by state then by community lestery freeze after CATY system course TERMANENT	1	

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Job N	0		Page	<del>-</del>
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7. ITEM NO.	8. DESCRIPTION OF ITEM (WITH INCLUSIVE DATES OR RETENTION PERIODS)	9. SAMPLE OR JOB NO.	10. ACTION TAKEN
	CABLE TELEVISION BUREAU		
	Records Schedule	r,	
		·,	e .
	CARD FILES (cont'd)		
(Cont'd 26)	B. Certificate of Compliance cards, arranged by city		,
(Cont. d 20)	and stateCards on show cause and special relief		<i>'</i>
	filings are interfiled in this series on color		
	coded cards next to their related Certificate of		
	Compliance card: Certificate of Compliance card=		
,	white; Show Cause card=orange; Special Relief		
	card=blue. $Q_{n}$		
	PERMANENT Some standard as 26A. HAS 6/21/	وا	
	PERMANENT Some Standark Re CDM.		
	C. Cross Reference Cards which index cable tele-		,
	vision systems alphabetically by name of system.	106	
	- PERMANENT Same standed as 16A. 185 427	Lis.	
	TENUMENTE SAME		
			·
27	Franchise Card FilesThese cards index state and local		
	franchises and are aids for referencing the franchise	*	·
	files. (See Item No. 14). The files consist of the		.*
	following series:		
	A. State Cards which index franchises alphabetically	1	·
	by state then community.	W	
	TESTROY in 1985 or when administrative needs (	180	
	have been met whichever is earlied.	Chaps	•
•	Disposal not authorized at this time.	· · · · · ·	·
			·
· .	B. Cross Reference Cards which index franchises by		
	name of franchisee.		
	REVIEW in 1977 for final disposition		
	Disposal not authorized at this time.		
28	74.1105 Notification Card Files which serve as an index	•	
20	and reference aid for 74.1105 Notification Files. (See		
·	Item No. 17). Arranged by state then alphabetically by	<b>/</b>	l.
	community.		
	DESTROY in 1985 or when administrative needs () A	15	
	have been met whichever is carlied 612	7113	
	Disposal not authorized at this time.		
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Job	No		 Page 13
			of <u>14</u> pages

7. ITEM NO.	8. DESCRIPTION OF ITEM (WITH INCLUSIVE DATES OR RETENTION PERIODS)	9. SAMPLE OR JOB NO.	10. ACTION TAKEN
	CABLE TELEVISION BUREAU Records Schedule		
<b>\</b>	CARD FILES (cont'd)		
29	74.1107 Petitions Card Files which serve as an index and		
29	reference aid for 74.1107 petitions files. (See Item No. 18). Cards are arranged alphabetically by state	2.1	
	then numerically.  DESTROY 10 years after date of final action or	IKS HY	
	neview in 1977 for final disposition in 1982, which electic earlies.  Disposal not authorized at this time.		
30	74.1109 Petitions Card Files which serve as an index and reference aid for 74.1109 petition files. (See Item	;	
	No. 19). Cards are arranged by state then by community for active and inactive petitions.  DESTROY to years after date of fine action of	185 Jol	
	DEVIEW in 1977 for final disposition.  in 1982 whichale is earlied.	(6)2111.	
	Disposal not authorized at this time.		
31	Pre 3-31-72 Filings by cable television systemsThese serve as a cross reference for 74.1105, 74.1107, 74.1109		2
	and other filings prior to the adoption of new rules covering cable television systems. The File consists of	North Wall	
	the following series:		
	A. Cross Reference alphabetically by state then by community.  **DESTROY in 1986 or when administrative needs  **REVIEW in 1977 for final disposition.	Bu	
•	hale been met which elect is earlied.  Dioposal not authorized at this time.	ij.	
	B. Cross Reference alphabetically by name of cable television system.		
	Same standard as 31A.  REVIEW in 1977 for final disposition.		
	-Disposal not authorized at this time.		

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Job	No		Page	14
900	110		of 14	pages

CABLE TELEVISION BUREAU Records Schedule  CARD FILES (cont'd)  2 Cross Ownership History Card FilesThis card lists filings and actions taken on petitions for cross ownership, and serves as a reference aid for utilizing cross ownership files. (See Item No. 12). Cards are arranged numerically.  DESTROY to years after final disposition.  Plespeed not authorized at this time.  33 Tax Certificate Card FilesThese cards index filings of petitions for tax certificates, and serve as a reference aid for utilizing the tax certificate files. (See Item No. 13). Files are arranged numerically.  DESTROY five years after final action granting or denying tax certificate.	7. ITEM NO.	8. DESCRIPTION OF ITEM (WITH INCLUSIVE DATES OR RETENTION PERIODS)	9. SAMPLE OR JOB NO.	10. ACTION TAKEN
filings and actions taken on petitions for cross ownership, and serves as a reference aid for utilizing cross ownership files. (See Item No. 12). Cards are arranged numerically.  **Testroy to years Act at Production.**  **Disposal net authorized at this time.**  Tax Certificate Card Files—These cards index filings of petitions for tax certificates, and serve as a reference aid for utilizing the tax certificate files. (See Item No. 13). Files are arranged numerically.  **DESTROY** five years after final action granting or denying tax certificate.**		Records Schedule		
Tax Egrtificate Card Files—These cards index filings of petitions for tax certificates, and serve as a reference aid for utilizing the tax certificate files. (See Item No. 13). Files are arranged numerically.  DESTROY five years after final action granting or denying tax certificate.	32	filings and actions taken on petitions for cross ownership, and serves as a reference aid for utilizing cross ownership files. (See Item No. 12). Cards are arranged numerically.  DESTROY to years and actions for cross owner-ship, and actions of the server and the server are arranged numerically.	15 HS	
of petitions for tax certificates, and serve as a reference aid for utilizing the tax certificate files. (See Item No. 13). Files are arranged numerically.  DESTROY five years after final action granting or denying tax certificate.		Disposal not authorized at this time.		
denying tax certificate.	33	of petitions for tax certificates, and serve as a reference aid for utilizing the tax certificate files.		
			·	