INACTIVE - ALL ITEMS SUPERSEDED OR OBSOLETE

Schedule Number: N1-255-04-004

All items in this schedule are inactive. Items are either obsolete or have been superseded by newer NARA approved records schedules.

Description:

Item 8C1C is superseded by N1-255-10-001 item 8. Item 9D is superseded by N1-255-10-001 item 9.

Date Reported: 4/9/2025 N1-255-04-004

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REQUEST FOR RECORDS DISPOS	SITION AUTHORITY		B NUMBER .	ARA use only)
(See Instructions on re	verse)	Π°	NI - 255	-04-4
TO: NATIONAL ARCHIVES and RECORDS ADI	MINISTRATION (NIR)	DA	TE RECEIVED	
WASHINGTON, DC 20408			<u> </u>	
FROM (Agency or establishment) National Aeronautics and Space Administration (N	ASA)		NOTIFICATION	-
2. MAJOR SUBDIVISION			In accordance with the p U.S.C. 3303a the disposi	
NASA STI Program		- II	including amendments, i	s approved except
3. MINOR SUBDIVISION CASI		- 11	for items that may be ma not approved" or "withdi	
4. NAME OF PERSON WITH WHOM TO CONFER	5. TELEPHONE	DA ⁻	· ·	F THE UNITED STATES
Patti F. Stockman, NASA Records Officer	(202) 358-4787	8/2	7/2004 Lais K	3-00-0
			aces F	ecoco
I hereby certify that I am authorized to act and that the records proposed for disposal of this agency or will not be needed after t the General Accounting Office, under the	on the attached 9 he retention periods spe	page(secified; a	s) are not now neede and that written con-	ed for the business currence from
Agencies, is not required;	is attached; or		has been reques	sted.
DATE SIGNATURE OF AGENCY REI	PRESENTATIVE TIT	LE		
7/8/04 Fatte FStocks	Ve / NAS	A Records	Officer, NASA Headquart	ers, Code VE
7. ITEM 8. DESCRIPTION OF ITEM AND PR	ROPOSED DISPOSITION		9. GRS OR SUPERSEDED JOB CITATION	10. ACTION TAKEN (NARA
Scientific and Technical Information Records attached)	- miscellaneous items (See	·	JOB CHATION	USE ONLY)
Included, for your information, in the attachm changing, except some to be marked as "clo produced. Because sub-items around them arout-dated sub-items as closed, rather than delessib-item reserved.	sed" because they are no lor re still valid, I will simply la	nger ibel		
The actual new items are sub-items: 7I2C 8C1(c) 9D				l
				r

MSN 7540-00-634-4064

100 7 TECHNOLOGY UTILIZATION FILES

A. PUBLICATION ORDERS, MEMORANDA, AND REPORTS FILES

Orders, memoranda, and reports between the Technology Utilization Office and the Scientific and Technical Information Office, relating to the use of STI's services. (This includes files created both by Headquarters and Centers.)

This is a CLOSED SERIES.

B. <u>TUCONFERENCE PROCEEDINGS (ANNUAL)</u> (HQ ONLY)

Finished published conference proceedings maintained and located at CASI (Senter for AeroSpace Information).

(Office of Primary Responsibility - NASA Headquarters, Code C)

C. TU CLIPPING FILE (Maintained at CASI - Center for Aerospace Information)

This is a CLOSED SERIES.

Files of articles appearing in technical and trade magazines on the NASA TU Program (including current and after-the-fact articles), and the transfer of space technology to private industry.

- 1. Clippings with no value (including those that do not directly relate to a specific project/program).
- Clippings of TU that have value and are related to a project/program.
- 3. JPL ONLY: OPR
- 4. All other office/copies.

D. TECHNICAL BRIEFS (TECH BRIEF)

Office which originated the publication (OPR):

1. Headquarters

One record copy of each publication, including abstract, as located at CASI (NASA's Center for Aerospace Information).

DESTROY WHEN 2 YEARS OLD. <DA: N1-255-94-1> (N 23-5)

* PERMANENT *
TRANSFER TO NARA IN
10 YEAR BLOCKS WHEN 30
YEARS OLD.
<DA: N1-255-94-1>

DESTROY WHEN NO LONGER NEEDED OR WHEN 3 YEARS OLD, WHICHEVER IS SOONER. <DA: N1-255-94-1> (N 23-6)

PLACE CLIPPING IN SPINOFF CASE SEE ITEM I. OF THIS SCHEDULE. <DA: N1-255-94-I>

RETIRE TO LOCAL RECORDS STORAGE WHEN 1 YEAR OLD. TRANSFER TO JPL ARCHIVES WHEN 10 YEARS OLD. DESTROY WHEN NO LONGER NEEDED. <DA: N1-255-94-1>

DESTROY WHEN NO LONGER NEEDED OR WHEN 1 YEAR OLD, WHICHEVER IS SOONER. <DA: N1\255-94-1>

* PERMANENT *
RETIRE TO FRC 5 YEARS AFTER
PUBLICATION. TRANSFER TO
NARA WHEN 20 YEARS OLD.
<DA: N1-255-94-1> (N 23-7)

Previous autrolitu applies: NI-255-94-1

AFS#	ITEM	SUBJECT AREA OR RECORD TITLE DESCRIPTION OF RECORD SERIES	RETENTION
2130	7	2. Centers: Innovator's working papers (including patent) relating to the publication, such as feeder reports, background materials, interim reports, notes, correspondence, together with related showing inception, scope, and background.	RETIRE TO FRC AT THE SAME TIME AS THE RELATED CASE FILES. DESTROY WHEN 10 YEARS OLD. <da: n1-255-94-1=""></da:>
		3. All other offices/copies.	DESTROY WHEN REFERENCE VALUE CEASES OR WHEN 5 YEARS OLD. <da: n1-255-94-1=""></da:>
		E. TECHNICAL SUPPORT PACKAGE (TSP) FILES TSP files typically consist of basic technology that has not yet been transferred to a working/private-public organization. Files consist of a collection of technical documentation related to and describing aspects of the innovation reported in a NASA Tech Brief (Item D. above), including reproducible master copy (if any) and exclusive of duplicated copies of the TSP (if this is a patent, it is the basic documentation).	RETIRE TO FRC WHEN 5 YEARS OLD. DESTROY WHEN 10 YEARS OLD. <da: n1-255-94-1=""> (N 23-3)</da:>
2131		F. TU DISSEMINATION FILES Preliminary, progress, and final reports of publications prepared or issued by contractors operating a Regional Technology Transfer Center (RTTC); COSMIC; or TAC, in cooperation with NASA and other contractors assisting the Technology Utilization Program dissemination efforts, and related correspondence.	
		Headquarters - TU Office/Program	RETIRE TO FRC WHEN 2 YEARS OLD. DESTROY WHEN 5 YEARS OLD. <da: n1-255-94-1=""> (N 23-4)</da:>
;		2. All other offices/copies.	DESTROY WHEN 2 YEARS OLD. <da: n1-255-94-1=""></da:>
2170		G. NEW TECHNOLOGY FILES 1. REPORTS	
		These are new technology reports submitted to Technology Utilization Offices by in-house personnel or by contractors in accordance with the provisions of the contract's new technology clause (an annual report). (a) HQ and all other NASA Centers.	RETIRE TO FRC 3 YEARS AFTER RECEIVED. DESTROY WHEN 5 YEARS OLD. <da: n1-255-94-1=""> (N 23-2) SEE DISPOSITION ABOVE.</da:>
		(b) JPL ONLY. This is a CLOSED SERIES.	RETIRE TO LOCAL RECORDS STORAGE 3 YEARS AFTER RECEIVED. TRANSFER TO JPL ARCHIVES WHEN 10 YEARS OLD. DESTROY WHEN NO LONGER NEEDED, THESE RECORDS CANNOT BE RETIRED TO AN FRC. <da: n1-255-94-1=""></da:>
L			

Previous auxnority applies: NI-255-94-1

AFS# ITEM	SUBJECT AREA OR RECORD TITLE DESCRIPTION OF RECORD SERIES	RETENTION
2170 7	2. CONTRACT ADMINISTRATION OF CLAUSE	
	Correspondence and documents, including copies of contracts, pertaining to administration of the new technology clause in contracts, especially to reporting and processing of innovations required to be reported. © GSFC ONLY - This item applies to the Patent Office. Description:	RETIRE TO FRC 1 YEAR AFTER CONTRACT CLOSEOUT. DESTROY 10 YEARS AFTER FINAL PAYMENT OR CLOSEOUT. <da: n1-255-94-1=""> (N 23-1)</da:>
	H. TU APPLICATIONS FILES	
	1. APPLICATION TEAM	
Previous autropitu applies	Reports, correspondence, minutes of meetings, etc. produced be the application team in identifying public sector opportunities for adaptive engineering.	RETIRE TO FRC WHEN 2 YEARS OLD. DESTROY WHEN 5 YEARS OLD. <da: n1-255-94-1=""></da:>
NI-255-94-	2. APPLICATION ENGINEERING PROJECT CASE FILES These files are maintained by the Program Manager who is located at NASA HQ, Code C, and consist of RTOP summaries interim reports; and summaries interactive format. NOTE: Case files that are located at the respective lead installation and should be retired under Schedule 8, R&D Project Case Files as a PERMANENT record series.	RETIRE TO FRC WHEN 2 YEARS OLD. DESTROY WHEN 5 YEARS OLD. <da: n1-255-94-1=""></da:>
	I. <u>SPINOFF FILES</u>	
	1. SPINOFF CASE FILE	
	Files are maintained by year and consist of research files which contain clippings, correspondence with companies, product literature, and interview notes and any other similar documentation or related materials.	RETIRE TO FRC WHEN 5 YEARS OLD. DESTROY WHEN 20 YEARS OLD. <da: n1-255-94-1=""></da:>
	2. SPINOFF PUBLICATION	* PERMANENT * TRANSFER TO NARA AS CITED BELOW:
	(a) 1976 - 1990 One original finished/published document This is a CLOSED SERIES.	
	□ 1976 - 1986 □ 1987 - 1990	TRANSFER JANUARY 1, 1997. TRANSFER JANUARY 1, 2000. <da: n1-255-94-1=""></da:>
	(b) 1991 - Continuing	* PERMANENT * TRANSFER ONE COPY
	This is a CLOSED SERIES.	ANNUALLY TO NARA. <da: n1-255-94-1=""></da:>
New	(c) 2003 - Continuing	Transfer to NARA with STI publications of Item 8C1(c).

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AFS#	<u>ITEM</u>	SUBJECT AREA OR RECORD TITLE DESCRIPTION OF RECORD SERIES	RETENTION
2220	8	SCIENTIFIC AND TECHNICAL INFORMATION PUBLICATIONS AND MATERIALS	
		A. PUBLICATIONS AND MATERIALS MAINTAINED BY OPR	
		Published and processed documents prepared by NASA, such as technical reports, historical volumes, and informational materials.	
		The office of primary responsibility will maintain one copy of each published or processed document together with related background papers reflecting a basis for the issuance or that contribute to the content of the publication, including coordination papers and comments.	RETIRE TO FRC AT END OF SECOND FISCAL YEAR AFTER DOCUMENT IS PUBLISHED. DESTROY WHEN 10 YEARS OLD. <da: n1-255-94-1=""> (N 1-28)</da:>
		NOTE: It is the responsibility of the OPR to send/submit one record copy to CASI. See Sub-element C. of this Item.	
		Working papers such as notes, rough drafts, background reports, and other such papers (exclusive of those described under Item 1.)	DESTROY 1 YEAR AFTER DOCUMENT IS PUBLISHED. <da: n1-255-94-1=""></da:>
		3. All other offices/printed copies.	DESTROY WHEN REFERENCE VALUE CEASES. <da: n1-255-94-i=""></da:>
		B. <u>PUBLICATIONS AND MATERIALS NOT YIELD AT CASI</u> (CENTER FOR AEROSPACE INFORMATION)	
		Record copy if maintained in duplicating or distribution unit, i.e., Education Office; Public Affairs; Mission Office(s), of each pamphlet, report, leaflet, poster, chart, booklet, regulation or similar or other published or processed documents, or the last manuscript report if not published.	
		Record copy with the supporting papers which document the inception, scope, and purpose. (This item does not cover copies and related material retained in originating office.)	* PERMANENT * TRANSFER TO NARA WHEN 10 KEARS OLD. <da: n1-255-94-i=""></da:>
		2. All other office/copies.	DESTROY WHEN SUPERSEDED, OBSOLETE, NO LONGER NEEDED, OR WHEN 3 YEARS OLD, WHICHE VER IS LATER <da: ni-255-94-12<="" td=""></da:>
		C. PUBLICATIONS AND MATERIALS HELD/MAINTAINED AT CASI	
		Record copy of scientific and technical publications, such as Technology Surveys, Technical Reports, Technical Notes, Technical Memoranda, Contractor Reports, and Special Publications. These are examples only and records should not be limited to this selection.	Previous autrous applies: NI-255-94

	SUBJECT AREA OR RECORD TITLE	RETENTION
AFS#	<u>ITEM</u> <u>DESCRIPTION OF RECORD SERIES</u>	Authority>
	8 1. Office of primary responsibility (CASI).	
	(a) Microfiche copies This is a CLOSED SERIES. Microfiche production discontinued at the end of 2002. For Post-2002 records see Item 8.1.c (1) MASTER	
	One silver original and one diazo copy. (Starting - Post 1993)	* PERMANENT * TRANSFER TO NARA WITHIN 1 YEAR AFTER FILMING <da: n1-255-94-1=""> (N 23-7)</da:>
	(2) ALL OTHER COPIES	DESTROY WHEN NO LONGER NEEDED OR WHEN REFERENCE VALUE CEASES. RECORDS CANNOT BE RETIRED TO AN FRC. <da: n1-255-94-1=""></da:>
	(b) Paper copy. This is a CLOSED SERIES.	
	(1) Pre-1958	* PERMANENT * A SEPARATE SF-115 MUST BE SUBMITTED TO NARA AFTER COMPLETION OF COMPREHENSIVE INVENTORY IN FY-1996. <da: n1-255-94-1<="" td=""></da:>
	(2) 1958 - 1978 (That have not been microfilmed.)	* PERMANENT * TRANSFER TO NARA WHEN 25 YEARS OLD (2003) OR SOONER. <da: n1-255-94-1=""></da:>
	(3) 1979 - 1993 (That have not been microfilmed.)	* PERMANENT * TRANSFER TO NARA WHEN 10 YEARS OLD (2003) OR SOONER. <da: n1-255-94-1=""></da:>
	(4) 1994 to present that have not been microfilmed.	* PERMANENT * TRANSFER TO NARA WHEN 1 YEAR OLD. <da: n1-255-94-1=""></da:>
	(5) Paper copies that have been microfilmed dated 1958 to present.	DESTORY (AFTER VERIFICATION OF MICROFILM) WHEN NO LONGER NEEDED FOR REFERENCE OR WHEN 60 YEARS OLD, WHICHEVER IS SOONER. RECORDS CANNOT BE RETIRED TO AN RC. CDA: N1-255-94-1>

Arevious autrovitu applies: NI-255-94-1

AFS#	<u>ITEM</u>	SUBJECT AREA OR RECORD TITLE <u>DESCRIPTION OF RECORD SERIES</u>	RETENTION
	8 New	(c) Portable Document Format (PDF) Searchable Image Exact (or Other NARA Approved Electronic Format) Copies. 2003 - Continuing	*PERMANENT* TRANSFER TO NARA ANNUALLY IN ACCORDANCE WITH NARA APPROVED TRANSFER GUIDANCE.
		 D. DOCUMENT AVAILABILITY AUTHORIZATION (DAA) RECORDS Papers submitted for approval of and released through scientific and technical organizations EXTERNAL to NASA. (a) Documentation consists of the original paperwork submitted for each paper:	DESTROY 2 YEARS AFTER MEETING OR PUBLICATION DATE CITED ON THE FF427 (DAA
		Technical Document Availability Authorization (DAA)"; Abstract of the paper cited on the NF 1676 (or old FF427); JSC ONLX In addition to the above documentation, JSC authors are required to provide: JSC Form 548, "Approval and Funding for Technical Presentations, Technical Papers, and Journal Articles for External Audiences."	FORM) OR WHEN 5 YEARS OLD, WHICHEVER IS SOONER. <da: n1-255-94-1=""></da:>
		(b) All other copies/centers.2. Papers submitted for publication in the NASA Scientific and	DESTROY WHEN NO LONGER NEEDED FOR REFERENCE USE OR WHEN 3 YEARS OLD, WHICHEVER IS SOONER. <da: n1-255-94-1=""></da:>
		Technical Reports Series. (a) Records document both Center and NASA Headquarters approval of reports by civil servant and contractor authors for publication in the NASA STI Report Series. Documentation consists of: FF427 (DAA); Report Documentation Page (SF 298); or an Abstract of; or an Introduction to the Report;	DESTROY NO LATER THAN 5 YEARS AFTER DATE OF PUBLICATION OR WHEN 6 YEARS OLD, WHICHEVER IS SOONER. <da: n1-255-94-1=""></da:>
		 In addition to the above, JSC authors (as opposed to a contractor author) are required to provide: JSC Form 155, "Processing Scientific & Technical Publications." 	

Previous autrovitu applies: NI-255-94-1

AFS# ITEM	SUBJECT AREA OR RECORD TITLE DESCRIPTION OF RECORD SERIES	RETENTION Authority>
8	(b) All other copies/centers.	DESTROY WHEN NO LONGER NEEDED FOR REFERENCE USE OR WHEN 3 YEARS OLD, WHICHEVER IS SOONER. <da: n1-255-94-1=""></da:>
	E. AUTHOR'S FILES/RECORDS	
	1. AUTHOR'S WORKING PAPERS	
	Working papers relating to the publication, such as feeder reports, background materials, interim reports, notes, correspondence, and related papers.	DESTROY WHEN 15 YEARS OLD <da: n1-255-94-1=""></da:>
	2. SPECIAL AUTHOR FILES (GRC)	
	(a) Edited manuscript copy, galley proofs, blue lines, and dummy layouts for each technical report.	DESTROY WHEN 2 YEARS OLD. <da: n1-255-94-1=""></da:>
	(b) Camera-ready copy of text and figures and related negatives, supporting papers which document the inception, scope, and purpose, including editorial notes.	DESTROY 20 YEARS AFTER SEPARATION, TRANSFER, OR TERMINATION OF EMPLOYEE. <da: n1-255-94-1=""></da:>
	F. EDITED MANUSCRIPT FILES	
	1. HQ STI FILES	
	(a) Edited manuscript copy, galley proofs, blue lines, and dummy layouts for each publication.	DESTROY WHEN 2 YEARS OLD. <da: n1-255-94-1=""></da:>
	(b) Camera-ready copy of Special publications, including original art, figures, cover design, and title page.	TRANSFER TO FRC 6 MONTHS AFTER DOCUMENT IS PUBLISHED. DESTROY WHEN 3 YEARS OLD. <da: n1-255-94-1=""></da:>
	2. E-FILES (GRC STI FILES)	
	(a) Edited manuscript copy, galley proofs, blue lines, and dummy layouts for each technical report.	
	(1) 1981-1983	DESTROY JANUARY 1996. <da: n1-255-94-1=""></da:>
	(2) 1984-1989	DESTROY JANUARY 1997. <da: n1-255-941=""></da:>
	(3) 1990-1993	DESTROY JANUARY 1998. <da: n1-255-94-1=""></da:>
	(4) 1994 and Continuing.	DESTROY WHEN 2 YEARS OLD. <da: n1-255-94-1=""></da:>

Previous autrobitu applies: NI-255-94-1

	<u> </u>	
AFS# ITEM	SUBJECT AREA OR RECORD TITLE DESCRIPTION OF RECORD SERIES	RETENTION
8	(b) Camera-ready copy of text, figures, related negatives, and, supporting papers which document the inception, scope, and purpose, including editorial notes.	
	(1) 1981-1983	DESTROY JANUARY 1996. <da: n1-255-94-1=""></da:>
	(2) 1984-1989	DESTROY JANUARY 1999. <da: n1-255-94-1=""></da:>
	(3) 1990-1993	DESTROY JANUARY 2003. <da: n1-255-94-1=""></da:>
	(4) 1994 and Continuing.	DESTROY WHEN 10 YEARS OLD. <da: n1-255-94-1=""></da:>
	G. OTHER STI PUBLICATION FILES	
	Other locally published reports NOT included in the "formal" series list above.	DESTROY WHEN REFERENCE VALUE CEASES. <da: n1-255-94-1=""> (N 23-8)</da:>
	GLENN - Locally published Reports NOT included in FORMAL series.	
	(a) B-FILES	
	(1) Edited manuscript copy, galley proofs, blue lines, and dummy layouts for each technical report.	DESTROY 2 YEARS AFTER PUBLICATION. <da: n1-255-94-1=""></da:>
	(2) Camera-ready copy of text, figures, related negatives, and supporting papers, which document the inception, scope, and purpose, including editorial notes.	DESTROY WHEN 10 YEARS OLD. <da: n1-255-94-1=""></da:>
	(b) RECURRING B-FILES	
	(1) See Description in a.(1) above.	DESTROY 2 YEARS AFTER PROGRAM TERMINATION, CANCELLATION, COMPLETION, URON FACILITY CLOSURE, OR WHEN 5 YEARS OLD, WHICHEVER IS LATER. <da: ni-255-94-1=""></da:>
	(2) See Description in a.(2) above.	DESTROY ID YEARS AFTER PROGRAM TERMINATION, CANCELLATION, COMPLETION, UPON FACILITY CLOSURE, OR WHEN 15 YEARS ODD, WHICHEVER IS LATER. <da: n1-255-94-1=""></da:>

Previous authoritu applies: NI-255-94-1

AFS# IT	EM	SUBJECT AREA OR RECORD TITLE DESCRIPTION OF RECORD SERIES	RETENTION Authority
8		(c) PROJECT-RELATED FILES	
		(1) See Description in a.(1) above.	DESTROY 2 YEARS AFTER PROJECT TERMINATION, CANCELLATION, OR COMPLETION. <da: n1-255-94-1=""></da:>
Previous		(2) See Description in a.(2) above.	DESTROY WHEN REFERENCE VALUE CEASES OR WHEN 20 YEARS OLD, WHICHEVER IS
andiosite			SOONER. <da: n1-255-94-1=""></da:>
hevious authority applies: NI-255-94	-1 H.	ALL OTHER OFFICES/COPIES	DESTROY WHEN REFERENCE VALUE CEASES OR WHEN NO LONGER NEEDED. <da: n1-255-94-1=""></da:>
2220 9	STI	DATABASE ACCESSION SERIES – ELECTRONIC	
	A .	STAR, IAA, LSTAR, CSTAR	
		These files consist of documentation and material related to the STI databases: Scientific and Technical Aerospace Reports (STAR), International Aerospace Abstracts (IAA), Limited Scientific and Technical Aerospace Reports (LSTAR). These are the on-line bibliographic files to the NASA STI accessioned series.	* PERMANENT * PRODUCE IN TAPE FORMAT AND TRANSFER TO NARA EVERY 5 YEARS BEGINNING IN 1995. <da: ni-255-94-1=""></da:>
		This is a CLOSED SERIES.	
	В.	RECON/ARIN	
		RECON, ARIN, or any other library management or bibliographic information system currently supporting CASI or any other NASA libraries, or any that will be developed EXCLUDING full text or image. (This is RESTRICTED to NASA only and does not include other organizations full-text databases, i.e., on-line newspapers, or reference documents.) This is a CLOSED SERIES.	DELETE WITH RELATED RECORDS OR WHEN THE AGENCY DETERMINES THAT THEY ARE NO LONGER NEEDED FOR ADMINISTRATIVE, LEGAL, AUDIT, OR OTHER OPERATIONAL PURPOSES, WHICHEVER IS LATER. [GRS 20-9]
	C.	<u>IAA / IAA ALTERNATE FILES</u>	
		These files consist of electronic records and documentation related to the STI databases. [International Aerospace Abstracts (IAA)]	DESTROY WHEN REFERENCE VALUE OR AGENCY NEED CEASES.
		This is a CLOSED SERIES.	<da: n1-255-94-1=""></da:>
Ne	ew D.	NASA STI DATABASE	
		Metadata records that contain abstracts and summarize record copy materials transferred to CASI under Item 8.c.1.c.	*PERMANENT* TRANSFER TO NARA ANNUALLY