

Request for Records Disposition Authority

Records Schedule Number DAA-0266-2017-0002

Schedule Status Approved

Agency or Establishment Securities and Exchange Commission

Record Group / Scheduling Group Records of the Securities and Exchange Commission

Records Schedule applies to Agency-wide

Schedule Subject Data Marts and Warehouses

Internal agency concurrences will be provided No

Background Information Data Marts and Warehouses provide easy access and facilitate analysis and reporting, regardless of the data's source, and provide integrated data models that relate other core systems through a common definition of entities, provisioning of data, and/or application of a search and portaling tool for end users. SEC data marts and warehouses provide access to business critical data in an integrated manner, and leverages tools to conduct the kinds of analytics such as high speed analytics processing, unstructured text analytics, predictive modeling, visualization and federated search.

Item Count

Number of Total Disposition Items	Number of Permanent Disposition Items	Number of Temporary Disposition Items	Number of Withdrawn Disposition Items
1	0	1	0

GAO Approval

Outline of Records Schedule Items for DAA-0266-2017-0002

Sequence Number	
1	Data Marts and Warehouses Disposition Authority Number: DAA-0266-2017-0002-0001

Records Schedule Items

Sequence Number	
1	<p>Data Marts and Warehouses</p> <p>Disposition Authority Number DAA-0266-2017-0002-0001</p> <p>This schedule covers data mart and warehouse repositories of data copied or downloaded from other information systems at specific intervals. The data in the source systems are not covered by this schedule.</p> <p>Final Disposition Temporary</p> <p>Item Status Active</p> <p>Is this item media neutral? No</p> <p>Explanation of limitation Electronic data maintained in data mart and data warehouse environments</p> <p>Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? Yes</p> <p>Do any of the records covered by this item exist as structured electronic data? Yes</p> <p>Disposition Instruction</p> <p>Retention Period Delete data when superseded by next scheduled update or when system is discontinued or obsolete.</p> <p>Additional Information</p> <p>GAO Approval Not Required</p>

Agency Certification

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal in this schedule are not now needed for the business of the agency or will not be needed after the retention periods specified.

Signatory Information

Date	Action	By	Title	Organization
01/18/2017	Certify	Curt Francisco	Records Officer	Office of Support - Office of Records Management Services
09/27/2017	Return for Revision	Andreea Vlaicu	Archives Specialist	National Archives and Records Administration - RDTP2 Archives II Processing Section
09/27/2017	Submit For Certification	Curt Francisco	Records Officer	Office of Support - Office of Records Management Services
09/27/2017	Certify	Curt Francisco	Records Officer	Office of Support - Office of Records Management Services
11/21/2017	Submit for Concurrence	Andreea Vlaicu	Archives Specialist	National Archives and Records Administration - RDTP2 Archives II Processing Section
11/27/2017	Concur	Margaret Hawkins	Director of Records Management Services	National Records Management Program - ACNR Records Management Services
11/27/2017	Concur	Margaret Hawkins	Director of Records Management Services	National Records Management Program - ACNR Records Management Services
12/01/2017	Approve	David Ferriero	Archivist of the United States	Office of the Archivist - Office of the Archivist