

# NOTICE - SOME ITEMS SUPERSEDED OR OBSOLETE

## **Schedule Number: NC1-431-83-06**

Some items in this schedule are either obsolete or have been superseded by new NARA approved records schedules. This information is accurate as of: 1/31/2024

### **ACTIVE ITEMS**

These items, unless subsequently superseded, may be used by the agency to disposition records. It is the responsibility of the user to verify the items are still active.

Items 1 and 2/C are active.

### **SUPERSEDED AND OBSOLETE ITEMS**

The remaining items on this schedule may no longer be used to disposition records. They are superseded, obsolete, filing instructions, non-records, or were lined off and not approved at the time of scheduling. References to more recent schedules are provided below as a courtesy. Some items listed here may have been previously annotated on the schedule itself.

Items 2/A-B were superseded by N1-431-01-001 / 1/A-B.

Item 3 was superseded by N1-431-00-012 / 3/A.

<b>REQUEST FOR RECORDS DISPOSITION AUTHORITY</b> (See Instructions on reverse)		<b>LEAVE BLANK</b>	
TO: <b>GENERAL SERVICES ADMINISTRATION NATIONAL ARCHIVES AND RECORDS SERVICE, WASHINGTON, DC 20408</b>		JOB NO. <b>NC1-431-83-6</b>	
1. FROM (Agency or establishment) <b>U.S. Nuclear Regulatory Commission</b>		DATE RECEIVED <b>6/20/85</b>	
2. MAJOR SUBDIVISION <b>Office of Investigations</b>		NOTIFICATION TO AGENCY In accordance with the provisions of 44 U.S.C. 3303a the disposal request, including amendments, is approved except for items that may be marked "disposition not approved" or "withdrawn" in column 10. If no records are proposed for disposal, the signature of the Archivist is not required.	
3. MINOR SUBDIVISION			
4. NAME OF PERSON WITH WHOM TO CONFER <b>Robert Wade</b>	5. TELEPHONE EXT. <b>492-8540</b>	DATE <b>10-8-85</b>	ARCHIVIST OF THE UNITED STATES <i>Frank S. Bumble</i>
6. CERTIFICATE OF AGENCY REPRESENTATIVE			

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposal of the agency's records; that the records proposed for disposal in this Request of 2 page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified; and that written concurrence from the General Accounting Office, if required under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies, is attached.

A. GAO concurrence: ☐ is attached; or ☒ is unnecessary.

B. DATE <b>9/6/83</b>	C. SIGNATURE OF AGENCY REPRESENTATIVE <i>R. Stephen Scott</i> <b>R. Stephen Scott</b>	D. TITLE <b>Records Officer</b>	
7. ITEM NO.	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. GRS OR SUPERSEDED JOB CITATION	10. ACTION TAKEN (NARS USE ONLY)
	This schedule covers textual records of the Nuclear Regulatory Commission  There is no prior schedule covering this material		
1.	<u><b>Allegation and Inquiry Files</b></u>  Official case files located at NRC Headquarters documenting allegations of possible wrong doing by licensees, applicants, contractors or vendors. Contains statements, interviews, summary reports, reports of inquiry, recommendations and other related material.  Hold closed allegation case files in office 2 years then retire to WNRC. Destroy 10 years after cases are closed.		
2.	<u><b>Investigation Case Files</b></u>  Case files documenting investigations of licensees, applicants, contractors or vendors and any wrong doing by individuals other than NRC employees and NRC contractors. Files include statements, interviews, support information relating to the investigation, interim reports and the report of investigation.  (a) Official case files located at Headquarters selected		(5 items)

115-108 Copies sent to NRC, NNF, and NC - 10/8/85 CSR

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STANDARD FORM 115 (REV. 8-83)  
Prescribed by GSA  
FPMR (41 CFR) 101-11.4

REQUEST FOR RECORDS DISPOSITION AUTHORITY – CONTINUATION		JOB NO.	PAGE OF
7. ITEM NO.	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. GRS OR SUPERSEDED JOB CITATION	10. ACTION TAKEN (NARS USE ONLY)
2.	<p>by NRC because they meet one or more of the following criteria:</p> <ol style="list-style-type: none"> <li>1. Received wide attention from the news media;</li> <li>2. Was of significant interest to Congress, the White House, or NRC commissioners;</li> <li>3. Was involved in extensive litigation;</li> <li>4. Was involved in a major policy discussion and/or change in the Office of Investigations or in the Nuclear Regulatory Commission as a whole; and</li> <li>5. Prompted significant changes in designs or procedures by or relating to the nuclear industry.</li> </ol> <p><u>PERMANENT.</u> Hold in office for two years after closing. NRC will select cases for archival retention before retiring records to WNRC, using criteria listed above. The cases selected between 1984 and 1992 will be offered to NARA in 2002 and subsequent 10 year blocks will be offered at 10 year intervals thereafter.</p> <p>(b) Other official case files at NRC headquarters.</p> <p>Hold in office for two years after closing and retire to WNRC. Destroy 10 years after cases are closed.</p> <p>(c) Regional office or investigators copy.</p> <p>Destroy 2 years after the cases are closed.</p>		
3.	<p><u>Investigation Procedure Memos (IPMs)</u></p> <p>OI official record set of formal issuances maintained at NRC Headquarters.</p> <p><u>PERMANENT.</u> Offer a complete set to NARA in 2002 and at ten year intervals thereafter.</p>		