NOTICE - SOME ITEMS SUPERSEDED OR OBSOLETE

Schedule Number: N1-455-87-001

Some items in this schedule are either obsolete or have been superseded by new NARA approved records schedules. This information is accurate as of: <u>11/30/2022</u>

ACTIVE ITEMS

These items, unless subsequently superseded, may be used by the agency to disposition records. It is the responsibility of the user to verify the items are still active.

All other items remain active.

SUPERSEDED AND OBSOLETE ITEMS

The remaining items on this schedule may no longer be used to disposition records. They are superseded, obsolete, filing instructions, non-records, or were lined off and not approved at the time of scheduling. References to more recent schedules are provided below as a courtesy. Some items listed here may have been previously annotated on the schedule itself.

Item 22 was superseded by N1-455-11-002 item 1.

Item 23 was superseded by N1-455-11-002 item 1.

NOTICE - SOME ITEMS SUPERSEDED OR OBSOLETE

As of 11/30/2022 N1-455-87-001

REC	DUEST FOR RECORDS DISPOSITION AUTHORITY	JOB NO N1-455-87-1 DATE RECEIVED		
	(See Instructions on reverse)			
TO' GENERA	L SERVICES ADMINISTRATION			
NATIONA	L ARCHIVES AND RECORDS SERVICE, WASHINGTON, DC 20408	7-7-87		
	y or establishment)	NOTIFICA	TION TO AGENO	Y
2 MAJOR SUBE	onal Safety and Health Review Commission	In accordance with the provisions of 44 USC 3303a the disposal request, including amendments, is approved except for items that may be marked "disposition not		
3 MINOR SUBD	DIVISION	approved" or "withdra- are proposed for dispos not required	wn" in column 1 al, the signature o	O If no records f the Archivist is
	arling, Jr. (Executive Sec'y) 634-7950	DATE ARCHIVIST OF THE UNITED STATES		
6 CERTIFICAT	E OF AGENCY REPRESENTATIVE			
that the reco	tify that I am authorized to act for this agency in matters pertained proposed for disposal in this Request of twelve page(swill not be needed after the retention periods specified, and Office, if required under the provisions of Title 8 of the GAO) are not now need that written concu	ed for the bus irrence from	siness of this the General
	currence is attached, or is unnecessary			
B DATE	C. SIGNATURE OF AGENCY REPRESENTATIVE D TITLE			
6/24/87	1- Kars Buckley Chair	rma n		
7 ITEM NO	8 DESCRIPTION OF ITEM (With Inclusive Dates on Retention Periods)		9 GRS OR SUPERSEDED JOB CITATION	10 ACTION TAKEN (NARS USE ONLY)
	Records of the Occupational Safety and Helommission (OSHRC) An independent quasi-judicial agency esthe Occupational Safety and Health Act of S.C. 651-678), OSHRC rules on cases forward the Department of Labor when employers or representatives of employees dispute the Safety and health inspections performed by the Chief Administrative Law Judge (CALLASSIGNS cases which require a hearing to hational or a regional office, who decide After a hearing, the ALJ issues a decision findings of fact and conclusions of law. Judges' decisions become final orders of any of the three Commissioners may direct review by the Commissioners may direct review by the Commission, which then would be serving a 6-year term. One of the three and also directs the operations of the Office of the Executive Secretary of Administrative Services, and the Office of Services of Administrative Services, and the Office of Services of Se	tablished by 1970 (29 U. rded to it, employees, he results of y Labor's Octon. J) of OSHRC an ALJ in the sthe case. In based upon Most of the OSHRC. But a case for d issue its. S. Courts es, each is Chairman fice of the l offices of the cutive Directy, the Offices		
	Information.	_	25item	<u> </u>

Copies sent to agence

NSN 7540-00-634-4064

Records Common to Several Offices

- 1. Subject correspondence files. Original incoming copies of correspondence. official copies of outgoing correspondence, internal memoranda, reports, publications, transcripts of speeches, and related documents created or received by the office maintaining the file. Contents concern the functions, operations, and programs of the office maintaining the file, including both planning and routine activities. Generally maintained in accordance with a subject-filing system devised by the creating office.
 - of the Chairman. Maintained by Office of the Chairman. Arranged alphabetically by subject.

a. Subject correspondence files TEMPORARY. Cut off in 6-year blocks and retire blocks to record holding area. Transfer to Washington National Records Center (WNRC) 3 years after cut-off. Destroy when 20 years old. Volume on hand: about 9.5 cubic feet (records from 1976 to 1987). Estimated annual accumulation: 1.3 cubic feet.

of all other offices and officials.

✓ b. Subject correspondence files Cut off when 3 years old. Destroy 3 years after cut-off; or sooner if no longer needed. (Replaces NC1-455-76-1. Items 4a and 4hl, for those offices which do not maintain the 2 files separately)

2. Thronological, Reader, or Day files. Copies of correspondence and, occasionally, other documentscomposed by the staff of the office maintaining file. Include logs or registers of correspondence sent or received. Arranged by date of document, or by date of dispatch or receipt.

Non-Record

Cut off at end of calendar or fiscal year. Destroy one year after cut-off. (Modifies NC1-455-76-1. Item 4c. to reflect actual recordkeeping practice)

Desk or Convenience files, or Working papers. Copies of correspondence, memoranda, notes, and related material concerning the position and activities of the person maintaining the file, and not covered by other disposition instructions in this schedule or the General Records Schedules. Generally arranged by subject.

GRS 23 item 6

Review annually or more frequently; transfer appropriate material to Subject Correspondence file of office (Item 1) and destroy unnecessary material. Destroy entire file when no longer needed. Do not retire to WNRC or offer to National Archives.

(GRS 23, Item 6)

4. <u>Iravel files</u>. Requests for travel, travel authorizations (including GSA Forms 87), travel vouchers (Standard Forms 1012, 1113, 1113A, and similar forms), related forms, correspondence, and supporting material.

LRS 6

a. Original or ribbon copies of accountable officer's accounts maintained OSHRC for site audit by GAO; chiefly in Office of Administrative Services.

Destroy 6 years and 3 months after period covered by account. (GRS 6, Item 1a1)

item lal

b. Issuing office's copies.

Destroy when 3 years old. (GRS 9, Item 3a)

GRS 9 item 3a

c. Obligation copies.

Destroy when funds are obligated. (GRS 9, Item 3b)

GRS 9 item 3b

d. All other copies and materials.

Cut off at end of fiscal year. Destroy NC1-455-76-1 year after cut-off.

(NC1-455-76-1, Item 4h4)

e. Instructional manuals and related materials.

Destroy when no longer needed for conduct way record of current business. Do not retire to WNRC or offer to National Archives.

- 5. Speeches and articles. Speeches, addresses, formal remarks made or articles written by or for employees of OSHRC in their official capacities concerning the agency's programs. Speeches and articles may be presented in governmental or private contexts. (NOTE: A record copy of these materials is usually maintained in the Information Office).
- a. Principal textual (paper) copies of speeches and articles made or written by or for Chairman, Commissioners, Executive Director, General Counsel, or Chief Administrative Law Judge. Maintained by Office of Public Information (1971-81), Office of the Chairman (1984-87; no records found for 1982-83).

National Archives.
Volume on hand: about 3 linear feet
(1971-81-, 1984-87).
Estimated annual accumulation: .5
linear inch.
(GRS 14, Item 1a: deviation reflects
Commissioners' 6-year terms)

PERMANENT. Cut off in 6-year blocks and

recent records are 20 years old; destroy

transfer to WNRC. Offer to National Archives in 6-year blocks when most

any parts of file not accessioned by

b. All other copies of speeches and articles, including speeches and articles by or for officials of OSHRC not specified in Item 5a. Destroy when no longer needed for conduct of current business. Do not retire to WNRC or offer to National Archives.

6. Personnel correspondence. Correspondence, memoranda, publications, and related documents regarding general and specific personnel policies and procedures, excluding records specifically described elsewhere in this schedule or in the General Records Schedules.

Destroy when 3 years old. (GRS 1, Item 3)

- 7. <u>Position descriptions</u>. Files describing established positions, including information on title, series, grade, duties, and responsibilities; include standards for appraisal of performance in positions, as well as logs, registers, and similar control documents.
 - a. Record copies. Maintained Destroy 5 years after position is abol- 6951 by Office of Personnel. ished or description is superseded. item 761 (GRS 1, Item 761)
 - b. All other copies.

 Destroy when position is abolished or non-record description is superseded.

 (GRS 1, Item 7b2)
- 8. <u>Time and attendance reports files</u>. Maintained by each office for its employees.
 - a. Time accounting records such as Optional Form 1130 (Time and Attendance Report), GSA Forms 544 and 873 (Annual Attendance Record), or equivalents.
 - (1) Payroll preparation and pestroy after GAO audit or when 3 years 605 2 processing copies.

 Old, whichever is sooner.

 (GRS 2, Item 3al)
 - (2) Attorneys' daily time—
 sheets and weekly cumu—
 lative time reports.

 Destroy 6 mc
 od or when r
 of current b
 later. Do r

Destroy 6 months after end of pay period or when no longer needed for conduct of current business, whichever is later. Do not retire to WNRC or offer to National Archives. (Deviation from GRS 2, Item 3a2 required by use in agency's study of attorneys' use of time: see Item 29 of this schedule)

- (3) All other copies. Destroy 6 months after end of pay period. item 3a2 (GRS 2, Item 3a2)
- b. Flexitime attendance records. Supplemental time and attendance re- cords, such as sign-in/sign-out sheets and work reports, used for time item 36 accounting under Flexitime systems.

Destroy after GAO audit or when 3 years old, whichever is sooner.

(GRS 2, Item 3b)

- Leave application files. Standard Forms 71 (Applications for Leave) or equivalents, and supporting documents relating to requests for and approval of taking leave.
 - If the employee has initialed the related timecard.

Destroy at the end of the applicable pay period. (GRS_2, Item 8a)

1-RS 2 item 80

b. If the employee has not initiated the related timecard.

Destroy ofter GAO audit or when 3 years 6-252 old, whichever is sooner. item 8b (GRS 2, Item 8b)

- 10. Employee performance file system records.
 - a. Non-SES appointees (as defined in 5 U.S.C. 4301[2]).
 - (1) Appraisals of unacceptable performance, when a notice of proposed demotion or removal is issued but not effected, and all related documents.

Destroy after employee completes 1 year 625/ of acceptable performance from date of item 23al written advance notice of proposed removal or reduction in grade. (GRS 1, Item 23a1)

(2) Performance records superseded through administrative, judicial, or quasi-judicial procedure.

Destroy upon supersession. (GRS 1, Item 23a2)

6-R51 item 23a2

(3) Performance-related records pertaining to a former employee.

Destroy when 3 years old, or sooner if GRS/ no longer needed. (GRS 1, Item 23a3)

item 23a3 6-RS/ Destroy 3 years after date of

(4) All other summary performance appraisals records, including performance appraisals and job elements and standards upon which they are based.

appraisal. (GRS 1, Item 23a4)

item 23a4

(5) Supporting documents.

Destroy 3 years after date of appraisal, or sooner if no longer needed. (GRS 1, Item 23a5)

GRS / item 23a5

- b. SES appointees (as defined in 5 U.S.C. 3132a[2]).
 - perseded through admin- (GRS 1, Item 23b1) istrative, judicial, or procedure.

Performance records su- Destroy upon supersession.

GRS 1 item 2361

	(2)	Performance-related re- conds pertaining to a former SES appointee.	Disposition pending. Do not destroy before receiving authorization from National Archives and Records Service (NARA). (GRS 1, Item 23b2)
	(3)	All other performance appraisals, along with job elements and standards (job expectations) upon which they are based.	Destroy 5 years after date of appraisal, exclusive of any interim service as a Presidential appointee. (GRS 1, Item 23b3)
	(4)	Supporting documents	Destroy 5 years after date of appraisal, or sooner if no longer item 236 needed. (GRS 1, Item 23b4)
c.	Pres	idential appointees.	Disposition pending. Do not destroy before receiving authorization from item 23 CNARA. (GRS 1, Item 23c)
क्रां		ts of individual employees	reports of plans, workloads, and accom- or offices. Generally arranged chrono-
a.		ine-readable reporting sys	tems. Maintained by Office of the
	(1)	Source materials.	Destroy when no longer needed for conduct of current operations. Do not retire to WNRC or offer to National Archives. (GRS 20, Part II, Item 6)
	(2)	Working data.	Destroy after 3 or more update cycles. Do not retire to WNRC or offer to National Archives. (GRS 20 Part II, Item 4)
	(3)	System specifications.	Destroy one year after discontinuance of reporting system. (GRS 20, Part III, Item 2) Part III i tem 3
h			_
b.	Text	ual (paper) reports.	•

Destroy when 5 years old, or sooner if longer needed.

(a) Copies maintained

tary.

by Office of the Executive Secre-

(b) All other copies. Destroy when no longer needed for conduct of current business. Do not retire to WARC or offer to National Archives.

NON-record

√(2) All other textual Destroy when 5 years old, or sooner if no longer needed.

- 12. <u>Case tracking and control reports</u>. Periodic lists and aggregate analyses of judicial cases in the agency. Generally arranged chronologically.
 - a. Machine-readable reporting systems. Maintained by Office of the Executive Secretary.

Destroy when no longer needed for conduct of current operations. Do not retire to WNRC or offer to National Archives.

(GRS 20, Part II, Item 6)

Destroy after 3 or more update cycles. GPS 20

Do not retire to WNRC or offer to Part II

National Archives. i tem 4

(3) System specifications. Destroy one year after discontinuance of reporting system.

(GRS 20, Part III, Item 2)

b. Textual (paper) reports.

Print-outs of machine-readable reporting systems.

(a) Copies maintained Destroy when 5 years old, or sooner if by Office of the Executive Secretary.

(b) All other copies. Destroy when no longer needed for con-Mon-record duct of current business. Do not retire to WNRC or offer to National Archives.

(2) All other textual Destroy when 5 years old, or sooner if no longer needed.

13. Copies of judicial case files. May include copies of any or all of the following notices of contest, administrative law judge's decision, decisional memorandum, draft decision, proposed decision, final order, correspondence, related documents. Maintained as reference or working files by offices other than Office of the Executive Secretary. Generally arranged by docket number of case.

Destroy when no longer needed for conduct of current business. Do not

retire to WNRC or offer to National Archives.

14. Publications. Official publications of OSHRC, including Rules of Procedure and OSHtalk, issued for distribution within and beyond the agency.

✓ a. News releases. One copy of each prepared statement or announcement, regarding decisions made by the agency. appointments within and retirements from the agency. and other events, issued for distribution to news media. Arranged chronologically. Maintained by Office of Public Information.

PERMANENT. Cut off in 5 year block and retire block to record holding area. Transfer to WNRC 3 years after cut-off. Offer to National Archives in 5-year blocks when most recent records are 20 years old.

Volume on hand: 6 linear feet (1972-1987).

Estimated annual accumulation: .25 linear inch. (GRS 14, Item 1b1)

- ✓ b. Other publications, not specified in Item 14a above, in General Record Schedules, or in schedule NC1-455-76-1, but excluding slip opinions which are later microfilmed for publication as Commission Decisions (NC1-455-76-1, Item 2b). These other publications include the agency Annual Report to the President, Rules of the Procedure of the OSHRC, agency Directives, and the Guide to Procedures of the U.S. OSHRC.
 - (1) Record copy. Partially arranged by date of publication. Maintained by Office of Public Information.

PERMANENT. Offer to National Archives in in 10-year blocks when most recent records in block are 10 years old. Destroy those records not accessioned by National Archives.

Volume on hand: 2 linear feet (1971-1987).

HOH-record

Estimated annual accumulation: 2 linear inches. (GRS 13, Item 1a)

(2) All other copies.

Destroy when no longer needed for conduct of current business. Do not retire to WNRC or offer to National Archives. (GRS 13, Item 1b)

- galley proofs, and other materials used in preparation of publications.
- (3) Working papers, drafts, Destroy when no longer needed for conduct of current business. Do not retire to WRNC or offer to National Archives.
- 15. <u>Procurement request files</u>. Purchase orders (including Standard Forms 147, Optional Forms 347), contracts, invoices, correspondence, related documents. Generally arranged by fiscal year, thereunder by subject or by purchase-order number.
 - a. Procurement or purchasing organization copies, with related papers. Primarily maintained by Office of Administrative Services.

Records of the Occupational Safety and Health Review Commission (OSHRC)

(T) Transactions of more than \$25,000 and all construction contracts exceeding \$2,000.

Destroy 6 years and 3 months after final payment. (GRS 3, Item 4a[1])

6-RS3 Hen 4aU)

(2) Transactions of \$25,000 or less and all construction contracts which do not exceed \$2,000.

Cut off at end of fiscal year in which last action is completed. Destroy 3 years after cut-off. (GRS 3 Item 4a[2])

URS3 Item 4a(2)

b. Obligation copies.

Destroy when funds are obligated. (GRS 3, Item 4b)

c. Copies maintained by other offices.

Destroy when no longer needed for conduct of current business. Do not retire to WNRC or offer to National Archives.

Hon-record

16. <u>Individual schedules of daily activities</u>. Calendars, appointment books, and other records documenting the official activities of Commissioners and employees. The substance of any significant information is incorporated into memoranda, reports, correspondence, or other records of the agency.

> Destroy when no longer needed for conduct 62523 of current business. Do not retire to WNRC or offer to National Archives. (GRS 23, Item 2c)

item 2c

17. Requisitions for local duplicating. Work orders for photocopies made within the agency (Commission Form OAS 420). Arranged chronologically. 6RS 13 item 3a

Bestroy 1 year after completion of job. (GRS 13, Item 3a)

Office of the Chairman

18. Background investigations. Reports of investigations, carried out by other Federal agencies, of actual or potential employees of OSHRC; used in making determinations regarding security or suitability.

item 23b

Destroy in accordance with the investigating agencies' instructions. (GRS 18, Item 23b)

 \checkmark 19. Budgetary testimony before Congress. Transcripts of Chairman's statements, anticipated questions.

> Destroy when 6 years old, or sooner if no longer needed. Do not retire to WNRC or offer to National Archives.

Office of the Chief Counsel

20. Financial disclosure reports. Annual reports by Commissioners, administrative law judges, and other officials of the agency on Standard Forms 278 and equivalents, regarding individual financial interests.

GRS 1 items 25a2

Destroy when 6 years old or when no longer needed for a continuing investigation, whichever is later. (GRS 1, Items 25a2 and 25b)

21. Inter-library loan requests. Formal requests to or from other local libraries for temporary loan of books or other library holdings.

Destroy 6 months after return of lent book or other item to lending library.

Office of the Executive Secretary

V22. Docket sheets. Single-page chronologies of judicial cases before OSHRC, some with Hearing Examiner's Docket Sheets attached. Particularly for cases settled before hearing, Docket sheets may constitute the sole record. Arranged by docket number.

Destroy when no longer needed for conduct of current business. Do not retire to WNRC or offer to National Archives.

√23. <u>Docket</u>, <u>case</u>, <u>and file control logs</u>. Registers of dates and activity, tracking the progress of judicial cases before OSHRC and the use of files within the Office.

Destroy when no longer needed for conduct of current business. Do not retire to WNRC or offer to National Archives.

- 24. Records of Commissioners' meetings. Two or all members of the Commission William N meet periodically to review cases forwarded from Office of the General Counsel, to adopt rules and administer agency.
 - a. Cassette audial recordings.
 Arranged chronologically.

PERMANENT. Cut off in 10-year blocks and transfer to record holding area. Offer to National Archives in 10-year blocks when most recent records are 20 years old; destroy any parts of file not accessioned by National Archives.

Volume on hand: about 4 cubic feet (ca. 1971-1987).

Estimated annual accumulation: less than .25 cubic foot.

Records of the Occupational Safety and Health Review Commission (OSHRC)

b. Minutes. Brief textual synopses.

PERMANENT. Cut off in 10-year blocks and transfer to record holding area. Offer to National Archives in 10-year blocks when most recent records are 20 years old; Volume on hand: 1 cubic foot (1971-1987).

Estimated annual accumulation: less than .25 cubic foot.

Office of Administrative Services

√25. Hearing room schedule. Calendar of reservations for use of hearing room in agency's national office.

Destroy when no longer needed for conduct of current business. Do not retire to WNRC or offer to National Archives.

- 26. <u>Budgetary</u> <u>files</u>. Correspondence, Congressional proceedings, reports regarding budgetary requests, allocations, expenditures.
 - a. Files in Office of Financial Management.

Cut off at close of fiscal year. Destroy 6 years after cut-off. Do not retire to WNRC or offer to National Archives. (GRS 5 is inapplicable to files, which total 2 cubic feet for 1971-87, because of administrative structure of agency)

b. Files maintained elsewhere in agency and not covered elsewhere in this schedule.

Cut off at close of fiscal year. Destroy 6 years after cut-off, or sooner if no longer needed. Do not retire to WNRC or offer to National Archives.

- 27. Still photographs. Mostly color prints of judicial conferences, activities, and Commissioners and employees of OSHRC. Most photographs are fully or partly captioned. Maintained by Office of Public Information. Partially arranged chronologically, in june, 1987, in binders covering 1971-78 and totaling 9 linear inches.
 - ✓ a. Photographs which document the basic mission and functions of OSHRC.

PERMANENT. Offer original negative and a captioned print (and, for color negative photography, a duplicate negative) with related documentation, if available, to National Archives. Transfer in 10 year blocks when the oldest record is 15 years old or when no longer needed for conduct of current operations.

(GRS 21. Items 6 and 7)

√ b. Official portraits of Commissioners and other senior agency officials.

PERMANENT. Offer original negative and a captioned print (and, for color negative photography, a duplicate negative) with related documentation, if available, to National Archives. Transfer in 10 year blocks when oldest record is 15 years old or when no longer needed for conduct of current operations.

(GRS-21, Item 5)

c. Photographs of routine ceremonies, social events, and activities not related to the mission of OSHRC.

Destroy when one year old or when no longer needed. Do not retire to WNRC or offer to National Archives.
(GRS 21, Item 1)

GRS 23 item 1

d. Duplicate items in excess of record elements required for preservation, duplication, and reference by 41 CFR 101-11.411-4. Destroy when no longer needed. Do not retire to WNRC or offer to National Archives.
(GRS 21, Item 4)

6RS 21 item 4

Office of Chief Administrative Law Judge and Regional Offices

V28. Court-space facilities files. Lists, manuals, card files, correspondence, other records regarding space available for OSHRC's use for judicial hearings. Generally maintained alphabetically by name of geographic location.

Destroy superseded references or listings upon supersession; destroy entire file when no longer needed for conduct of current business. Do not retire to WNRC or offer to National Archives.

Offices of Commissioners 1 and 2

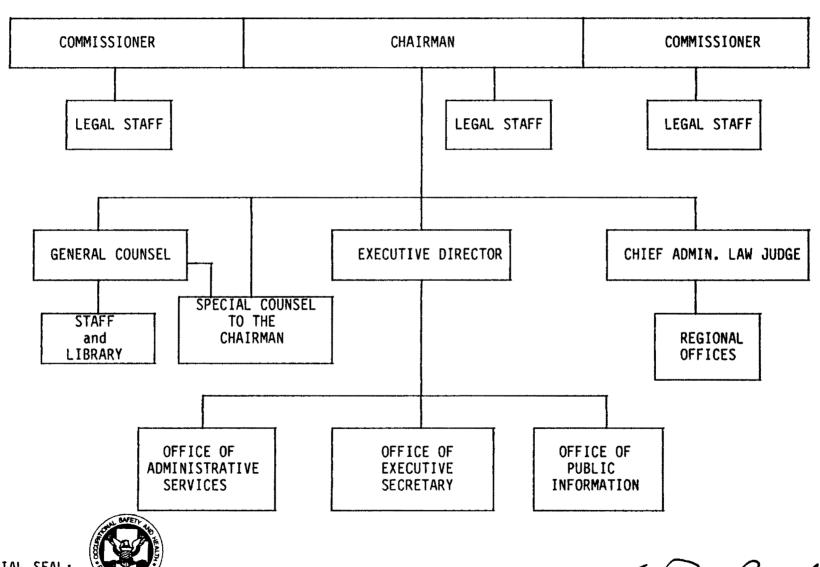
- 29. Administrative studies. Analyses of aspects of the routine operations of OSHRC, such as staff attorneys' use of time, not covered elsewhere in this schedule, General Records Schedules, or schedule NC1-455-76-1.
 - a. Working papers and source materials.

Dispose of in accordance with applicable disposition instructions or when no longer needed for conduct of current business, whichever is later.

√ b. Reports of studies.

Destroy when no longer needed for conduct of current business. Do not retire to WNRC or offer to National Archives.

OVERALL ORGANIZATION



OFFICIAL SEAL:

PAUL M. LYONS EXECUTIVE DIRECTOR E. ROSS BUCKLEY