## **INACTIVE - ALL ITEMS SUPERSEDED OR OBSOLETE**

Schedule Number: N1-241-01-007

All items in this schedule are inactive. Items are either obsolete or have been superseded by newer NARA approved records schedules.

## Description:

Item 3 was superseded by N1-241-10-001, item 9
Item 4a was superseded by N1-241-10-001, item 9
Item 4b was superseded by N1-241-10-001, item 9
Item 5 was superseded by GRS 3.2 item 040 (DAA-GRS-2013-0006-0005)

Date Reported: 06/30/2022

REQUE		R RECORDS LEPOS (See instructions on separa	M1-241-01-7					
To: NATIONAL ARCHIVES and RECORDS ADMINISTRATION (NWM) WASHINGTON, DC 20408					カノー241-01-7 DATE RECEIVED 3-9-01			
FROM (Agency or establishment)     U.S. Patent and Trademark Office					NOTIFICATION TO AGENCY			
2. MAJOR SUBDIVISION Office of the Chief Information Officer/ Office of Data Management					In accordance with the provisions of 44 U.S.C. 3303a, the disposition request, including amendments, is approved except for items that may be marked "disposition not approved" or			
3. MINOR SUBDIVISION Data Administration Division/Records Management					"withdrawn" in column 10.			
4. NAME OF PERSON WITH WHOM TO CONFER Susan K. Brown (703) 308-7400					9-5-01 JULY AL			
I hereby of proposed the retenti	for disposation periods	I am authorized to act for thi al on the attached page	is agency in matters pertaining e(s) are not now needed for the oncurrence from the General Aes,  is attached; or	e busi	iness of thi	is agency or will	not be needed after visions of Title 8 of	
DATE 3/8	6/01	SIGNATURE OF AGENCY REP	RESENTATIVE C Brown		TITLE	Records Of	fficer	
7. Item NO.	8. DE	SCRIPTION OF ITEM AND	PROPOSED DISPOSITIO	N	SUP	GRS OR ERSEDED CITATION	10. ACTION TAKEN (NARA USE ONLY)	
	Please	see attached item(s):			:			
1	Invention Promoter Complaints							
	:			:				
					3			
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## United States Patent and Trademark Office (USPTO) Office of Independent Inventor Programs (OIIP)

## 1. Invention Promoter Complaints

The passage of the American Inventors Protection Act of 1999 as enacted under Public Law (P.L) 106-113 has a number of significant impacts on the United States Patent and Trademark Office (USPTO). A key provision of P.L. 106-113 is section 4001, codified at 35 U.S.C. 297, in which the USPTO is required to make complaints received by the USPTO involving invention promoters publicly available. The complaints and related responses are captured using a World Wide Web (WWW) based Commercial-off-the-shelf (COTS) application. The form includes but is not limited to the following data fields which allow the OIIP to track the nature of the complaint: the date a complaint was received; the date a letter was sent to the complainant; a file number; the complete name of the complainant; and the date the complaint was published on USPTO WWW page which contains the specific information for the OIIP. The total number of complaints received is estimated to be 20-30 per year.

Passer		•
T. System software and updates.	1. [N1-241-96-5 #71]. Transfer to the configuration management tool prior to unit testing.	Previously
2. Life Cycle Management Documentation.	2. [N1-241-96-5 #71]. Transfer electronic copy to the configuration management tool prior to Technical Review Board meeting for each phase as required in the Quality Assurance Plan and the Configuration Management Plan.	approved author:ty still applies. JNB 618/01.
Inputs: Complaint forms and responses received by mail (paper).	3. [Pending authorization]. Temporary. Destroy 2 years after online publication.	
4. Outputs (paper and electronic):	4. Outputs (paper and electronic):	Changes made per e-mail form
a. Complaint logs, registers, indexes (paper).	<ul><li>a. [Pending authorization]. Temporary. Destroy 2 years after online publication.</li></ul>	the agency
b. Online complaint (electronic) and response converted from paper received in item 3.	b. [Pending authorization]. Temporary. Delete 2 years after online publication.	7/18/01.
5. Backups.	5. [Pending authorization]. Back up daily and delete when replaced by a subsequent comprehensive backup. Back up tape will be used as vital record copy.	7/19