Records Schedule: DAA-0457-2019-0001

Request for Records Disposition Authority

Records Schedule Number	DAA-0457-2019-0001
Schedule Status	Approved
Agency or Establishment	National Security Agency/Central Security Service
Record Group / Scheduling Group	Records of the National Security Agency/Central Security Service
Records Schedule applies to	Major Subdivsion
Major Subdivision	Security and Counterintelligence
Schedule Subject	Security Case Control - Investigative Files
Internal agency concurrences will be provided	No

Background Information

Item Count

Number of Total Disposition Items			Number of Withdrawn Disposition Items
2	1	1	0

GAO Approval

Outline of Records Schedule Items for DAA-0457-2019-0001

Sequence Number	
1	Security Investigative Files Disposition Authority Number: DAA-0457-2019-0001-0001
2	Security Investigative Files - Significant Files Disposition Authority Number: DAA-0457-2019-0001-0002

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Records Schedule Items

Sequence Number				
1	Socurity Investigative Eiles			
1	Security Investigative Files Disposition Authority Number	DAA 0457 2010 0001 0001		
		DAA-0457-2019-0001-0001		
	Files relate to counterintelligence and countermeasures programs. Records created and used in the course of detecting, preventing, and nullifying hostile intelligence operations against NSA/CSS and Agency contractor equities. These files may include summaries of counterintelligence, compromise, espionage and terrorist incidents, interviews related thereto, as well as recordings recorded in any format which support and/or result from these activities. also included in this series is the Security Operations Command Center (SOCC) Log which tracks events/ activities requiring security awareness and action.			
	Final Disposition	Temporary		
	Item Status	Active		
	Is this item media neutral?	Yes		
	Do any of the records covered by this item currently exist in electronic format(s) other than e- mail and word processing?	Yes		
	Do any of the records covered by this item exist as structured electronic data?	Yes		
	GRS or Superseded Authority Citation	N1-0457-07-001 / 340-12		
	Disposition Instruction			
	Cutoff Instruction	Office of Primary Interest (OPI) to retain active files.		
	Transfer to Inactive Storage	Inactive files transferred to NSA/CSS Records Center when 5 years old.		
	Retention Period	Destroy when 50 years old, or when no longer needed for agency business, whichever is later.		
	Additional Information			
	GAO Approval	Not Required		
2	Security Investigative Files -	Significant Files		
	Disposition Authority Number	DAA-0457-2019-0001-0002		

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Those files that receive Congressional, Presidential, and/or senior leadership attention, and/or significant media attention, due to the nature and/or outcome of the case. **Final Disposition** Permanent Item Status Active Is this item media neutral? Yes Do any of the records covered Yes by this item currently exist in electronic format(s) other than email and word processing? Do any of the records covered Yes by this item exist as structured electronic data? GRS or Superseded Authority N1-457-07-001 / 340-12 Citation **Disposition** Instruction Transfer to the National Archives Transfer to the National Archives 25 year(s) after for Accessioning case closure Additional Information What will be the date span of the Unknown initial transfer of records to the The date range of transfer is not known at this time, National Archives? as it is dependent upon case closure. How frequently will your agency Every 25 Years transfer these records to the National Archives?

Agency Certification

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal in this schedule are not now needed for the business of the agency or will not be needed after the retention periods specified.

Signatory Information

Date	Action	By	Title	Organization
03/15/2019	Certify	NSA One	NSA User	NSA-NSA
07/18/2019	Return for Revisio n	Sean Curry	Senior Appraisal Arc hivist	National Archives and Records Administration - Agency Services
07/22/2019	Submit For Certific ation	NSA One	NSA User	NSA-NSA
07/22/2019	Certify	NSA One	NSA User	NSA-NSA
11/22/2019	Submit for Concur rence	Sean Curry	Senior Appraisal Arc hivist	National Archives and Records Administration - Agency Services
12/09/2019	Concur	Margaret Hawkins	Director of Records Management Servic es	National Records Management Program - ACNR Records Management Services
12/09/2019	Concur	Laurence Brewer	Chief Records Office r	National Records and Archives Administration - National Records and Archives Administration
12/11/2019	Approve	David Ferriero	Archivist of the Unite d States	Office of the Archivist - Office of the Archivist