Request for Records Disposition Authority

Records Schedule Number	DAA-0428-2012-0003		
Schedule Status	Approved		
Agency or Establishment	Department of the Navy		
Record Group / Scheduling Group	General Records of the Department of the Navy, 1947-		
Records Schedule applies to	Department-wide		
Schedule Subject	Junior Reserve Officers Training Corps (JROTC) and Navy National Defense Cadet Corps (NNDCC) Records.		
Internal agency concurrences will be provided	Yes		
Background Information	The Junior Reserve Officer Training Corps (JROTC) Program was first established by Public Law in 1916 and expanded in 1964 by Title 10, U.S. Code, Chapter 102, Section 2031. The JROTC Program applies to both the Navy and the Marine Corps and is taught at accredited secondary schools by instructors who are retired Navy, Marine Corps, and Coast Guard officers and enlisted personnel. The Navy National Defense Cadet Corps (NNDCC) Program was established in 2011 under authority granted in Title 10, U.S. Code, Chapter 667, Section 7911. NNDCC is an alternative to the Navy JROTC (NJROTC) Program and, unlike NJROTC (which reimburses host schools for a portion of instructor salaries and other costs), the host school funds NNDCC Program cost. The Navy certifies those seeking to become instructors as qualified to teach in either program. This schedule addresses the records generated by the Navy and Marine Corps for this program.		

Item Count

Number of Total Disposition Items		1 2	Number of Withdrawn Disposition Items
6	0	6	0

GAO Approval

Outline of Records Schedule Items for DAA-0428-2012-0003

Sequence Number	
1	1. Junior Reserve Officers Training Corps (JROTC) and Navy National Defense Ca det Corps (NNDCC) Records.
1.1	a. School Correspondence Records. Disposition Authority Number: DAA-0428-2012-0003-0001
1.2	b. General Correspondence Records. Disposition Authority Number: DAA-0428-2012-0003-0002
1.3	c. Instructor Application Records. Disposition Authority Number: DAA-0428-2012-0003-0003
1.4	d. Instructor Records. Disposition Authority Number: DAA-0428-2012-0003-0004
1.5	e. Instructor Decertification and Revocation Records. Disposition Authority Number: DAA-0428-2012-0003-0005
1.6	f. Instructor Pay Reimbursement Records. Disposition Authority Number: DAA-0428-2012-0003-0006

Records Schedule Items

Sequence Number				
1	 Junior Reserve Officers Training Corps (JROTC) and Navy National Defense Cadet Corps (NNDCC) Records. Consists of records concerning the establishment of the Navy and Marine Corps JROTC Program and Navy National Defense Cadet Corps Program in high schools and other secondary schools. Records include, but are not limited to, instructor applications that contain name, gender, race, SSN, rank at retirement, branch of service from which retired, active duty designated, retirement date, birth date, pay grade, address, home and work telephone numbers, electronic mail address, dates of employment as instructor, Instructor Certification Application, essay questions, school name, height, weight, body fat measurements or most recent Physical Readiness Test (PRT), photograph submission sheet, the interviewer's appraisal, applicant checklist, desired location for employment as an instructor, and academic degrees; certification letters; instructor status (probation/evaluation); instructor evaluations; instructor observation reports; school evaluations and probation letters; resignation letters; pay information; and associated correspondence. 			
1.1	a. School Correspondence Records.			
	Disposition Authority Number	DAA-0428-2	012-0003-0001	
	Includes information relating to the selection, establishment, and administrat JROTC and NNDCC Units and includes school applications, contracts estab units, establishment orders, and records of similar importance.			
	Final Disposition	Temporary		
	Item Status	Active		
	Is this item media neutral?	Yes		
	Do any of the records covered by this item currently exist in electronic format(s) other than e- mail and word processing?	No		
	Manual Citation		Manual Title	
	SSIC 5761.1a		SECNAV M-5210.1, Department of the Navy Records Management Program, Records Management Manual	
	GRS or Superseded Authority Citation	New		
	Disposition Instruction			
	Cutoff Instruction	Cutoff upon	disestablishment of unit.	

	Transfer to Inactive Storage	Transfer to F	FRC if local storage is unavailable.	
	Retention Period	Destroy 10 y	/ear(s) after cutoff.	
	Additional Information			
	GAO Approval	Not Required		
1.2	b. General Correspondence Records.			
	Disposition Authority Number	DAA-0428-2	012-0003-0002	
	Includes correspondence with school officials, other JROTC and/or N copies of statistical information, status reports submitted to higher au other similar information.			
	Final Disposition	Temporary		
	Item Status	Active		
	Is this item media neutral?	Yes		
	Do any of the records covered by this item currently exist in electronic format(s) other than e- mail and word processing?	No		
	Manual Citation		Manual Title	
	SSIC 5761.1b		SECNAV M-5210, Department of the	
			Navy Records Management Program, Records Management Manual	
	GRS or Superseded Authority Citation	New		
		New		
	Citation	New Cutoff at end	Records Management Manual	
	Citation Disposition Instruction	Cutoff at end	Records Management Manual	
	Citation Disposition Instruction Cutoff Instruction	Cutoff at end Transfer to F	Records Management Manual	
	Citation Disposition Instruction Cutoff Instruction Transfer to Inactive Storage	Cutoff at end Transfer to F	Records Management Manual d of CY. FRC if local storage is unavailable.	
	Citation Disposition Instruction Cutoff Instruction Transfer to Inactive Storage Retention Period	Cutoff at end Transfer to F	Records Management Manual d of CY. FRC if local storage is unavailable. ear(s) after cutoff.	
1.3	Citation Disposition Instruction Cutoff Instruction Transfer to Inactive Storage Retention Period Additional Information	Cutoff at end Transfer to F Destroy 3 ye Not Required	Records Management Manual d of CY. FRC if local storage is unavailable. ear(s) after cutoff.	
1.3	Citation Disposition Instruction Cutoff Instruction Transfer to Inactive Storage Retention Period Additional Information GAO Approval	Cutoff at end Transfer to F Destroy 3 ye Not Required	Records Management Manual d of CY. FRC if local storage is unavailable. ear(s) after cutoff.	
1.3	Citation Disposition Instruction Cutoff Instruction Transfer to Inactive Storage Retention Period Additional Information GAO Approval c. Instructor Application Reco Disposition Authority Number Includes initial application for	Cutoff at end Transfer to F Destroy 3 ye Not Required ords. DAA-0428-2	Records Management Manual d of CY. FRC if local storage is unavailable. ear(s) after cutoff.	

Item Status	Active		
Is this item media neutral?	Yes		
Do any of the records covered by this item currently exist in electronic format(s) other than e- mail and word processing?	No		
Manual Citation		Manual Title	
SSIC 5761.1c		SECNAV M-5210, Department of the Navy Records Management Program, Records Management Manual	
GRS or Superseded Authority Citation	New		
Disposition Instruction			
Cutoff Instruction	Cutoff at en	d of CY.	
Transfer to Inactive Storage	Transfer to FRC if local storage is unavailable.		
Retention Period	Destroy 10 year(s) after cutoff.		
Additional Information			
GAO Approval	Not Require	ed	
d. Instructor Records.			
Disposition Authority Number	DAA-0428-2	2012-0003-0004	
	oservation rep	tor status (probation/evaluation), ports, school evaluations and probation correspondence.	
Final Disposition	Temporary		
Item Status	Active		
Is this item media neutral?	Yes		
Do any of the records covered by this item currently exist in electronic format(s) other than e- mail and word processing?	No		
Manual Citation		Manual Title	
SSIC 5761.1d		SECNAV M-5210.1, Department of the Navy Records Management Program, Records Management Manual	

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GRS or Superseded Authority Citation	New		
Disposition Instruction			
Cutoff Instruction	Cutoff at end of CY when the instructor ceases teaching.		
Transfer to Inactive Storage	Transfer to I	FRC if local space is unavailable.	
Retention Period	Destroy 10 y	/ear(s) after cutoff.	
Additional Information			
GAO Approval	Not Require	d	
e. Instructor Decertification a	and Revocatio	on Records.	
Disposition Authority Number	DAA-0428-2	2012-0003-0005	
Information related to the de certification.	cision to dece	ertify and/or revoke individual's	
Final Disposition	Temporary		
Item Status	Active		
Is this item media neutral?	Yes		
Do any of the records covered by this item currently exist in electronic format(s) other than e- mail and word processing?	No		
Manual Citation		Manual Title	
SSIC 5761.1e		SECNAV M-5210.1, Department of the Navy Records Management Program, Records Management Manual	
GRS or Superseded Authority Citation	New		
Disposition Instruction			
Cutoff Instruction	Cutoff at end of CY after final decision.		
Transfer to Inactive Storage	Transfer to FRC if local storage space is unavailable.		
Retention Period	Destroy 10 year(s) after cutoff.		
Additional Information			
GAO Approval	Not Required		
f. Instructor Pay Reimbursen	nent Records.		
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Disposition Authority Number	DAA-0428-2012-0003-0006			
Includes information used to compute Navy's or Marine Corps' reimbursement portion of the JROTC Program instructor salary paid to the host school district.				
Final Disposition	Temporary			
Item Status	Active			
Is this item media neutral?	Yes			
Do any of the records covered by this item currently exist in electronic format(s) other than e- mail and word processing?	No			
Manual Citation		Manual Title		
SSIC 5761.1f		SECNAV M-5210.1, Department of the Navy Records Management Program, Records Management Manual		
GRS or Superseded Authority Citation	New			
Disposition Instruction				
Cutoff Instruction	Cutoff at end of CY after last payment.			
	Transfer to FRC if local storage space is unavailable.			
Transfer to Inactive Storage	I ransfer to I	-RC if local storage space is unavailable.		
Transfer to Inactive Storage Retention Period		-RC if local storage space is unavailable. year(s) after cutoff.		
		0		

Agency Certification

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal in this schedule are not now needed for the business of the agency or will not be needed after the retention periods specified.

Signatory Information

Date	Action	Ву	Title	Organization
04/17/2012	Certify	Charley Barth	Director of Records	Chief of Naval Operations (CNO) - Director Navy Staff (DNS)
04/18/2013	Submit for Concur rence	Steven Rhodes	Appraiser	National Archives and Records Administration - Records Management Services
04/23/2013	Concur	Margaret Hawkins	Director of Records Management Servic es	National Records Management Program - Records Management Services
04/29/2013	Concur	Laurence Brewer	Director, National R ecords Management Program	National Archives and Records Administration - National Records Management Program
05/01/2013	Approve	David Ferriero	Archivist of the Unite d States	Office of the Archivist - Office of the Archivist