

## Request for Records Disposition Authority

Records Schedule Number DAA-0330-2021-0007

Schedule Status Approved

Agency or Establishment Office of the Secretary of Defense

Record Group / Scheduling Group Records of the Office of the Secretary of Defense

Records Schedule applies to Department-wide

Schedule Subject Trusted Capital Digital Marketplace (TCDM)

Internal agency concurrences will be provided No

Background Information Office of the Under Secretary of Defense for Acquisition and Sustainment (OUSD/A&S):  
The Under Secretary for Acquisition and Sustainment is the principal staff assistant and advisor to the Secretary and Deputy Secretary of Defense for all matters relating to acquisition and sustainment in the DoD, including the DoD Acquisition System; system design and development; production; logistics and distribution; installation maintenance, management, and resilience; military construction; procurement of goods and services; materiel readiness; maintenance; environment and energy resilience (including renewable energy, as appropriate); utilities, renewable energy, and energy management; business management modernization; document services; nuclear, chemical, and biological defense programs; nuclear command, Control, and communications; and related matters.

### SERIES DESCRIPTION:

The Trusted Capital program connects companies critical to the defense industrial base with vetted trusted capital providers. The Trusted Capital Digital Marketplace provides a standardized application with data sets developed by OUSD/A&S in support of Department of Defense Trusted Capital to determine if an applicant is eligible for admission to the Trusted Capital Digital Marketplace

### Item Count

Number of Total Disposition Items	Number of Permanent Disposition Items	Number of Temporary Disposition Items	Number of Withdrawn Disposition Items
2	0	2	0

### GAO Approval



## Outline of Records Schedule Items for DAA-0330-2021-0007

Sequence Number	
1	Approved Applications for Admission to the Trusted Capital Digital Marketplace (TCDM) Disposition Authority Number: DAA-0330-2021-0007-0001
2	Denied Applications for Admission to the Trusted Capital Digital Marketplace (TCDM) Disposition Authority Number: DAA-0330-2021-0007-0002

## Records Schedule Items

Sequence Number	
1	<p data-bbox="345 380 1495 453"><b>Approved Applications for Admission to the Trusted Capital Digital Marketplace (TCDM)</b></p> <p data-bbox="345 474 1154 506">Disposition Authority Number      <b>DAA-0330-2021-0007-0001</b></p> <p data-bbox="345 527 1495 863">Trusted Capital Digital Marketplace is a federal information system established to connect sources of private capital referred to as "Capital Providers" with vetted domestic companies, referred to as "Capability Providers" designed to meet DoD requirements to identify and protect technology sectors critical to the U.S. defense industrial base, domestic manufacturing and limiting foreign access to critical technology. The master file includes but are not limited to applications identifying company name, entity type, vendor capabilities, foreign influence, business proprietary, tax, and financial information provided by Capital Providers" or "Capability Providers."</p> <p data-bbox="345 884 919 915">Final Disposition      <b>Temporary</b></p> <p data-bbox="345 936 850 968">Item Status      <b>Active</b></p> <p data-bbox="345 989 818 1020">Is this item media neutral?      <b>Yes</b></p> <p data-bbox="345 1041 818 1167">Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing?      <b>Yes</b></p> <p data-bbox="345 1188 818 1283">Do any of the records covered by this item exist as structured electronic data?      <b>Yes</b></p> <p data-bbox="345 1314 659 1346"><b>Disposition Instruction</b></p> <p data-bbox="345 1377 1430 1409">Cutoff Instruction      <b>Cut off upon annually acceptance into program.</b></p> <p data-bbox="345 1430 1430 1461">Retention Period      <b>Destroy 20 year(s) after applicants acceptance.</b></p> <p data-bbox="345 1503 656 1535"><b>Additional Information</b></p> <p data-bbox="345 1566 951 1598">GAO Approval      <b>Not Required</b></p>
2	<p data-bbox="345 1619 1495 1692"><b>Denied Applications for Admission to the Trusted Capital Digital Marketplace (TCDM)</b></p> <p data-bbox="345 1713 1154 1745">Disposition Authority Number      <b>DAA-0330-2021-0007-0002</b></p> <p data-bbox="345 1766 1495 1871">Applications submitted by "Capability Providers" or "Capital Providers" not meeting criteria for participation in TCDM, due to inability to meet criteria or remediate conflicts necessary for participation.</p> <p data-bbox="345 1892 919 1923">Final Disposition      <b>Temporary</b></p>

Item Status	Active
Is this item media neutral?	Yes
Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing?	Yes
Do any of the records covered by this item exist as structured electronic data?	Yes
Disposition Instruction	
Cutoff Instruction	Cut off annually
Retention Period	Destroy after three (3) calendar years of inactivity or notification of denial.
Additional Information	
GAO Approval	Not Required

## Agency Certification

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal in this schedule are not now needed for the business of the agency or will not be needed after the retention periods specified.

## Signatory Information

Date	Action	By	Title	Organization
05/05/2021	Certify	Luz Ortiz	OSD Records Manager	Department of Defense - Office of the Secretary of Defense
01/12/2022	Submit for Concurrence	David Weber	Senior Appraisal Archivist	National Archives and Records Administration - Records Management Services
01/19/2022	Concur	Margaret Hawkins	Director of Records Management Services	National Records Management Program - ACNR Records Management Services
01/19/2022	Concur	Laurence Brewer	Chief Records Officer	National Records and Archives Administration - National Records and Archives Administration
01/19/2022	Approve	David Ferriero	Archivist of the United States	Office of the Archivist - Office of the Archivist