

Request for Records Disposition Authority

Records Schedule Number DAA-0330-2022-0009

Schedule Status Approved

Agency or Establishment Office of the Secretary of Defense

Record Group / Scheduling Group Records of the Office of the Secretary of Defense

Records Schedule applies to Major Subdivision

Major Subdivision Office of Net Assessment (ONA)

Schedule Subject Net Assessment Analysis

Internal agency concurrences will be provided No

Background Information The Office of the Secretary of Defense (OSD) is a headquarters-level staff of the Department of Defense of the United States of America. It is the principal civilian staff element of the Secretary of Defense, and it assists the Secretary in carrying out authority, direction and control of the Department of Defense in the exercise of policy development, planning, resource management, fiscal, and program evaluation responsibilities.

The Director of the Office of Net Assessment (ONA) is a Principal Staff Assistant (PSA) and reports directly to the Secretary of Defense. Guided by NDAA and DoD Directive 5111.11, ONA is the sole organization in the U.S. government mandated to execute the function of net assessment. ONA develops and coordinates independent net assessments of the standing trends, and future prospects of U.S. military capabilities and national potential in comparison with those of other countries or groups of countries so as to identify emerging or future threats or opportunities for the United States. As such, these bodies of work provide the Secretary of Defense, Deputy Secretary of Defense, Chairman of the Joint Chiefs of Staff, and Vice Chairman of the Joint Chiefs of Staff appraisals of the state of affairs that affect the character and success of the national security enterprise. The diagnostic nature of net assessment generates insights that are typically useful to senior defense leaders for longer time periods than other types of analyses oriented on shorter-term challenges or transactional interactions between the military forces of the United States and its adversaries. ONA distributes these products among senior leaders in the Department, National Security Council, Intelligence community, and Congress. As such, typical net assessment products require longer-term safeguarding by classification and distribution restrictions. ONA

products that are less sensitive or that are not deliberative in nature are frequently released to the public in consultation with the Defense Office of Publication and Security Review.

Item Count

| Number of Total Disposition Items | Number of Permanent Disposition Items | Number of Temporary Disposition Items | Number of Withdrawn Disposition Items |
|-----------------------------------|---------------------------------------|---------------------------------------|---------------------------------------|
| 1 | 1 | 0 | 0 |

GAO Approval

Outline of Records Schedule Items for DAA-0330-2022-0009

| Sequence Number | |
|-----------------|--|
| 1 | Net Assessment Analysis |
| 1.1 | Net Assessment Analysis Disposition Authority Number: DAA-0330-2022-0009-0001 |

Records Schedule Items

| Sequence Number | |
|-----------------|--|
| 1 | <p>Net Assessment Analysis</p> <p>A net assessment is a highly-classified analysis of the military balance between the United States and its competitors. Net assessment is a method of analysis that synthesizes a variety of research methods and intelligence reporting at all levels of classification to identify for the Secretary of Defense and other national security senior leaders' opportunities and vulnerabilities in national defense. Net assessment products—internally produced assessments and work commissioned to external sources—yield bodies of work covering different aspects of military power, operational domains, national strategy, and tools of national power.</p> |
| 1.1 | <p>Net Assessment Analysis</p> <p>Disposition Authority Number DAA-0330-2022-0009-0001</p> <p>Records and information documenting current and projected U.S. and foreign military capabilities by theater, region, domain, function, or mission. Created to provide DoD executive management, Congress and POTUS with studies, analysis, new ideas, principles, and theories, technology and techniques into strategic assessments of U.S. and foreign military capabilities. Net Assessment Analysis can be separated into 4 primary fields: • Net Assessments: Records and information on proposals, independent research, and scenarios, numbers, and simple models used to develop net assessments of all types, • Future-Oriented War Games, Simulations, and War Gaming: Records and information on scripted and unscripted field exercises and command post exercise scenarios on the full spectrum of military activities, and internally-sponsored and administered wargames that assist ONA in developing a clear assessment of U.S. capabilities, ranging from: o Full-scale field-exercises, to abstract computerized models, o Observations, analysis, map exercises, o Heuristic or stochastic simulations, Political-military and reality based simulations. o Validation is the process of testing a model by supplying it with historical data and comparing its output to the known historical result. • DoD wide and Interagency Net Assessments Reviews and Coordination: Reviews of DoD net assessment efforts and coordination with other Federal Agencies conducting net assessments (including the Intelligence Community) for the improvement of and coordination on the development of technical, intelligence and joint military net assessments. • ONA-commissioned, independent research: Records and information requested and received from educational, commercial, private, government and non-governmental entities, both internal and contracted, including but not limited to: think tanks, U.S, foreign colleges and universities, non- profit organizations, intelligence agencies (US and Foreign), federally funded research and development centers and defense analyses organizations.</p> <p>Final Disposition Permanent</p> |

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|---|--|
| Item Status | Active |
| Is this item media neutral? | Yes |
| Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? | Yes |
| Do any of the records covered by this item exist as structured electronic data? | No |
| Disposition Instruction | |
| Cutoff Instruction | Cutoff project file in fiscal year when project is complete. |
| Transfer to the National Archives for Accessioning | Transfer to the National Archives 30 year(s) after cut off |
| Additional Information | |
| First year of records accumulation | 1974 |
| What will be the date span of the initial transfer of records to the National Archives? | From 1974 To 1997 |
| How frequently will your agency transfer these records to the National Archives? | Every 1 Years |

Agency Certification

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal in this schedule are not now needed for the business of the agency or will not be needed after the retention periods specified.

Signatory Information

| Date | Action | By | Title | Organization |
|------------|------------------------|------------------|---|--|
| 06/14/2022 | Certify | Luz Ortiz | OSD Records Manager | Department of Defense - Office of the Secretary of Defense |
| 09/20/2022 | Submit for Concurrence | David Weber | Senior Appraisal Archivist | National Archives and Records Administration - Records Management Services |
| 10/14/2022 | Concur | Margaret Hawkins | Director of Records Management Services | National Records Management Program - ACNR Records Management Services |
| 10/17/2022 | Concur | Laurence Brewer | Chief Records Officer | National Records and Archives Administration - National Records and Archives Administration |
| 10/19/2022 | Approve | Debra Wall | Deputy Archivist | National Archives and Records Administration - ND Archives I Office |