

National Archives and Records Administration
REQUEST FOR DISPOSITION AUTHORITY

Records Schedule Number: DAA-0138-2025-0002

Status: APPROVED
Date Approved: 08/20/2025

General Information

Agency or Establishment	Federal Energy Regulatory Commission
Record/Scheduling Group	0138 - Records of the Federal Energy Regulatory Commission
Records Schedule Applies To	Agency-wide
Schedule Subject	Compliance and Enforcement of Reliability Standards (All RC Dockets)
Additional Schedule Information	RC Dockets are used for Compliance and Enforcement of Reliability Standards, typically registry appeals and the Annual Review of the Find, Fix, Track and Report and Compliance Exception Programs. OER often leads these dockets and works with the Office of Enforcement (OE) and the Office of the General Counsel (OGC) to provide feedback. Many records in this type of docket will be designated as Controlled Unclassified Information (CUI), Critical Energy Infrastructure Information (CEII), and/or Privileged (PRIV). The docketed prefix for RC dockets is "RC" followed by two digits representing the year.
Is There a Classified Version of This Schedule?	No
Is consultation and coordination with Tribal Governments required?	No - the records covered by this schedule do not implicate Tribal interests

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Item Count

Total number of disposition items: 1

Number of Temporary disposition items: 1

Number of Permanent disposition items: 0

Number of Items with Disposition Not Approved: 0

Number of Inactive disposition items: 0

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Outline of Records Schedule Items for DAA-0138-2025-0002

Item #	Title	Disposition
0001	Compliance and Enforcement of Reliability Standards (RC Dockets)	Temporary

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Records Schedule Number: DAA-0138-2025-0002

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Records Schedule Items

DAA-0138-2025-0002-0001		STATUS: Active
ITEM GENERAL INFORMATION		
Item Title	Compliance and Enforcement of Reliability Standards (RC Dockets)	
Item Description	All RC Docketed Formal Case Files, such as filings or compliance filings submitted by the North American Electric Reliability Corporation (NERC) or the Regional Entities, orders, notices, comments, protests, reports, transcripts, information requests and responses, and general correspondence related to Electric Reliability Organization (ERO) Rules and organizational filings.	
Is this item media neutral?	Yes	
Is this item a Big Bucket?	No	
MANUAL CITATION		
Agency Code	0138	
Manual Title	N/A	
SUPERSEDED AGENCY DISPOSITION AUTHORITIES AND GRS DEVIATIONS		
Does this item supersede existing disposition authorities?	No	
Is this item a deviation from the GRS?	No	
DISPOSITION INSTRUCTION		
Final Disposition	Temporary	
Cutoff Instructions	Cut off after all agency actions have been taken.	
Retention Period	Destroy 25 year(s) after cutoff or when all legal proceedings have been closed occurs, whichever is later	
ADDITIONAL INFORMATION		
Legal citation related to record retention (if applicable)	16 U.S.C. § 824o(f), 18 C.F.R. § 39.4(b)	
Are any of the records covered by this item national security classified?	No	
GAO Approval Required	No	

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Signatory Information

Action	User	Date
Approve	Marco Rubio (Acting Archivist)	08/20/2025



Office of the Chief
Records Officer for the
U.S. Government

This schedule was signed outside of the ERA system using Standard Form 115.

NARA staff updated ERA to reflect this approval, moving the record schedule into an approved status. The approved status allows for generation of a PDF indicating that the schedule has been approved, and allows an agency to use the schedule in ERA to create transfer requests. The approved date in the system and on the PDF version of the records schedule reflects the system actions.