Records Schedule: DAA-0440-2012-0013

Request for Records Disposition Authority

Records Schedule Number

DAA-0440-2012-0013

Schedule Status

Modified Approved Version

Agency or Establishment

Centers for Medicare and Medicaid Services

Record Group / Scheduling Group

Records of the Centers for Medicare and Medicaid Services

Records Schedule applies to

Agency-wide

Schedule Subject

Chronic Condition Data Repository (CCDR)- System of Record

Internal agency concurrences will

be provided

Yes

Background Information

The purpose of this system is to collect and maintain a personlevel view of identifiable data to establish a data repository to study chronically ill Medicare beneficiaries. This system will utilize data extraction tools to support accessing data by chronic conditions and process complex customized research data requests related to chronic illnesses.

Item Count

| Number of Total Disposition Items | | Number of Temporary Disposition Items | Number of Withdrawn Disposition Items |
|-----------------------------------|---|--|--|
| 2 | 1 | 1 | 0 |

GAO Approval

Records Schedule: DAA-0440-2012-0013

Outline of Records Schedule Items for DAA-0440-2012-0013

| Sequence Number | |
|-----------------|---|
| 1 | Master Files |
| • | Disposition Authority Number: DAA-0440-2012-0013-0001 |
| 2 | Public Use Files (PUFs) |
| | Disposition Authority Number: DAA-0440-2012-0013-0002 |

Records Schedule: DAA-0440-2012-0013

| Records Sche | dule Items | | |
|---------------------------|---|--|----------------------------|
| Sequence Number | | | |
| 1 | Master Files | | |
| | Disposition Authority Number | DAA-0440-2012-0013-0001 | |
| | Individually identifiable and other data collected from multiple CMS databases pertaining to Medicare beneficiaries and their providers who provide service to those beneficiaries. The collected information will contain but is not limited to: name, address, telephone number, health insurance claim number, geographic location, race/ethnicity, gender, and date of birth, as well as background information relating to Medicare or Medicaid issues (i.e., non-identifiable claims data collected such as the institutional provider information and any claims statistics that are non-identifiable (Medicare, Medicaid and Assessment). | | |
| | Final Disposition | Temporary | |
| | Item Status | Inactive | |
| | Is this item media neutral? | Yes | |
| | Do any of the records covered by this item currently exist in electronic format(s) other than email and word processing? | Yes | |
| | Do any of the records covered by this item exist as structured electronic data? | No | |
| | Inactive Status Explanation | This item is inactive because it wa New Disposition Authority Number DAA-0440-2015-0007-0001 | • |
| | Disposition Instruction | | |
| | Cutoff Instruction | Annually | |
| | Retention Period | Destroy 30 year(s) after cutoff. | |
| | Additional Information | | |
| | GAO Approval | Not Required | |
| 2 | Public Use Files (PUFs) | | |
| | Disposition Authority Number | DAA-0440-2012-0013-0002 | |
| | Public use files created to support studies requiring the use and analysis of Medicare data related to chronic conditions. Currently known as "Chronic Condition PUF." | | |
| | Final Disposition | Permanent | |
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Item Status Inactive

Is this item media neutral? No

Explanation of limitation Records are limited to electronic.

Do any of the records covered by this item currently exist in electronic format(s) other than email and word processing?

Yes

Do any of the records covered by this item exist as structured

electronic data?

Yes

Inactive Status Explanation This item is inactive because it was superseded by

New Disposition Authority Number:

DAA-0440-2015-0009-0001

Disposition Instruction

Cutoff Instruction Cutoff at the end of the year in which PUF was

created.

Transfer to the National Archives

for Accessioning

Transfer to the National Archives 5 year(s) after

cutoff.

Additional Information

First year of records accumulation 2008

What will be the date span of the initial transfer of records to the

From 2008 To 2008

National Archives?

How frequently will your agency

transfer these records to the

National Archives?

Every 1 Years

| | Estimated Current Volume | Annual Accumulation |
|------------------------------------|--------------------------|---------------------|
| Electronic/Digital | 15 MB | 7.5 MB |
| Paper | | |
| Microform | | |
| Hardcopy or Analog Special , Media | | |

Records Schedule: DAA-0440-2012-0013

Agency Certification

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal in this schedule are not now needed for the business of the agency or will not be needed after the retention periods specified.

Signatory Information

| Date | Action | Ву | Title | Organization |
|------------|----------------------------|--------------------|--|--|
| 11/15/2012 | Return to Submitte | Victoria Robey | Records Officer | Office of Strategic Operations and Regulatory Affairs - Issuances and Records Management Group |
| 12/04/2012 | Return to Submitte r | Victoria Robey | Records Officer | Office of Strategic Operations and Regulatory Affairs - Issuances and Records Management Group |
| 12/04/2012 | Certify | Victoria Robey | Records Officer | Office of Strategic Operations and Regulatory Affairs - Issuances and Records Management Group |
| 10/23/2013 | Submit for Concur rence | Lisa Clavelli | Supervisor, ACNR A ppraisal Team 2 | National Archives and Records Administration - Records Management Services |
| 10/23/2013 | Concur | Laurence Brewer | Director, National R ecords Management Program | National Archives and Records Administration - National Records Management Program |
| 10/23/2013 | Concur | Laurence Brewer | Director, National R ecords Management Program | National Archives and Records Administration - National Records Management Program |
| 10/24/2013 | Approve | David Ferriero | Archivist of the Unite d States | Office of the Archivist - Office of the Archivist |

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