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REQUEST FOR RECORDS DISPOSITION AUTHORITY					JOB NUMBER *			
					N1-440-05-2			
To: NATIONAL ARCHIVES & RECORDS ADMINISTRATION					Date received			
8601	8601 ADELPHI ROAD COLLEGE PARK, MD 20740-6001					7/20/05		
FROM (Agency or establishment)								
Description of SYL of the security of the secu					NOTIFICATION TO AGENCY			
Department of Health and Human Services 2. MAJOR SUBDIVISION								
Centers for Medicare & Medicaid Services					In accordance with the provisions of 44 U.S.C. 3303a, the			
					disposition request, including amendments, is approved except for items that may be marked "disposition not			
MINOR SUBDIVISION Office of Strategic Operations and Regulatory Affairs					pproved" or "w	ithdrawn" in co	lumn 10.	
Regulations Staff, Lisa Parker (410) 786-4665								
4. NAME OF PERSON WITH WHOM TO CONFER 5. TELEPHONE NUMBER					DATE	ARCHIVIST	OF THE UNITED STATES	
						14.		
Vickie Robey Vickie Robey 7-24 0 410-786-7883					Y/uloz Alla Winster			
V								
6. AGENCY CERTIFICATION								
I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached 1 page(s) are not needed now for the business for this agency or will not be								
needed after the retention periods specified; and that written concurrence from the General Accounting Office, under the								
provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies,								
X is not required is attached; or has been requested.								
DATE SIGNATURE OF AGENCY REPRESENTATIVE					TITL	E		
SEP 9 2005 APrentice Barnes, Sr. Aprentice Barnes, Sr.					DHHS Records Management Officer			
								7. ITEM NO.
1	Rulemaking Record for Regulations				N1-440-95-1, Items 1 and 2			
	A media-neutral collection of information (paper,				allu Z			
	electronic and other formats) that supports the							
	issuance of regulations for the Centers for Medicare &							
	Medicaid Services.							
		11						
	Salaw M. Mant							
	OGC Concurrence: Wyw War							
	Date:							
		Chi	anges appoint in email on 7/20/04					
			7/20/04					
			INACTIVE - ALL ITEMS SUF	ER	EDED			

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PREVIOUS EDITION NOT USABLE STANDARD FORM 115 (REV. 3-91)

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Numb, Numb,

Rulemaking Record for Regulations



1. The official Rulemaking record consists of the published proposed rule, all public comments received in response to the proposed rule or notice that the agency considered in developing the final policy, the public comment log prepared by the recordkeeping office, any computer runs, internal/external studies, final actuarial determinations, and all data that supported the policy, data that refuted the policy and data that would support alternative options.

Substantial Rulemaking Records. Original record (paper or electronic). Consists of cases that generate substantial public interest, media, or legal precedent and any proposed or final regulation or notice that is signed by the Secretary of Health and Human Services. Examples are those involving the Prescription Drug Program, payment rules, and other agency policy.

Superseded by: DAA-0440-2015-0001-0001 DATE (MM/DD/YYYY):

DISPOSITION: PERMANENT. Cutoff after publication of final notice or rule and transfer to the Federal Records Center (if paper) or transfer physical custody to NARA through preaccessioning process (see NARA Bulletin 2004-02) at end of year in which the final notice or rule is published. Transfer physical (if paper) and legal costody (paper and electronic) to the National Archives 30 years after cut off in accordance with National Archives and Records Administration's regulations at 36 CFR 1228.270 with any associated -finding aids. - supersedes NI-440-95-1 Them 1a

Superseded by: 1000-500-105-0440-AAK DATE (MM/DD/YYYY): 8(c5)

b. Non-Substantial Rulemaking Records. All other cases that have not met the threshold for Item 1.a Example of non-substantial are those that are CMS-only notices that are delegated to the Administrator for signature, including meeting notices that announce a meeting between CMS staff and outside groups; deeming notices that inform providers of their accreditation status, and demonstration notices that solicit applications from providers for participation in specific CMS programs.

DISPOSITION: TEMPORARY. Cutoff after publication of final notice or rule and transfer to the Federal Records Center (if paper) or transfer to off line storage (if electronic) at end of year in which notice or rule is published. Destroy/delete 5 years after cut off.

Superseded by:

Original paper records used to create the electronic record such as hard copy of public DAA -G15 - 2. Q - 9407 - 1402. comments or correspondence that is scanned to .pdf or other electronic format.

DATE (MM/DD/YYYY):

DISPOSITION: Temporary. Destroy/delete after (1) verification of electronic record copy is maintained in 1.a. or 1 b. and, (2) verification that plans and procedures are in place to migrate records to accessible hardware and software as necessary throughout their retention period.

Superseded by: 2. Rulemaking Support File consists of internal, pre-decisional documents and drafts, including: MA 0144 Co 15-0 002-000 (clearances, drafts of the rules, internal comments received on the drafts, regulation logs, regulation DATE (MM/DD/YYYY) specifications, preliminary actuarial estimates, internal recommendations and briefing papers. This file may also contain the memorandum to the Secretary and copies of the signed proposed rule, the 8(15/2017 memorandum to the Secretary for the final rule, and the final rule signed by the Secretary.

> DISPOSITION: Temporary. Cutoff file no later than 45 days after publication of final notice or rule. Destroy/delete 5 years after cutoff. - supersedes NI-440-95-1

Superseded by: 11-99-044-12

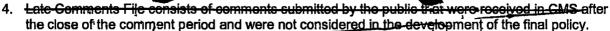
Web postings on CMS or Food and Drug Administration (FDA) Rulemaking Website, Records consist of public comments received in the AIMS System (operated by FDA) and then posted on the DATE (MM/DD/YYYY)CMS website. (CMS downloads comments in .pdf format and places in appropriate file).

7/20/2010

DISPOSITION: Temporary. Delete posted comments from website (CMS and FDA) 5 years after year in which posted.

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BISPOSITION. Temporary. Destroy/delete 30 days after final notice or rule is published.

5. Electronic Mail and Word Processing System Copies

λi.

a. Copies that have no further administrative value after the recordkeeping copy is made. Includes copies maintained by individuals in personal files, personal electronic mail directories, or other personal directories on hard disk or network drives, and copies on shared network drives that are used only to produce the recordkeeping copy.

DISPOSITION: **Temporary**. Delete when 180 days after the recordkeeping copy has been produced.

b. Copies used for dissemination, revision, or updating that are maintained in addition to the recordkeeping copy.

DISPOSITION: Temporary. Delete when dissemination, revision or updating is completed.

Superseded by job / item number:

DAA-0440-2015-0002-000

Date (MM/DD/YYYY): 8(15(2017

INACTIVE - ALL ITEMS SUPERSEDED

115-109