Records Schedule Number: DAA-0468-2013-0005

Status: INACTIVE
Date Approved: 01/09/2014

General Information

Agency or Establishment	Department of Health and Human Services	
Record/Scheduling Group	0468 - General Records of the Department of Health and Human Services	
Records Schedule Applies To	Agency Subdivision	
Major Subdivision	Office of the Secretary	
Minor Subdivision	Office of the Assistant Secretary for Preparedness and Response (ASPR)	
Schedule Subject	Recovery Coordination Records	
Additional Schedule Information	Records that document the Department of Health and Human Services role as the Coordinating Agency for the Health and Social Services (H&SS) Recovery Support Functions (RSF) under the National Disaster Recovery Framework (NDRF). The Department of Homeland Security's Federal Emergency Management Agency has primary responsibility for the NDRF. Activation of the NDRF is not limited to Stafford Act or FEMA-led incidents. The mission of the H&SS RSF is for the Federal Government to assist locally-led recovery efforts in the restoration of the public health, health care and social services networks to promote the resilience, health and well being of affected individuals and communities. These efforts support the Presidential Policy Directive 8: National Preparedness. Responsibility for recovery was completed by the Department of Health and Human Services, Office of the Secretary, Office of the Assistant Secretary for Health (OASH), prior to becoming the responsibility of the Office of the Assistant Secretary for Preparedness and Response. Records created by OASH are also covered by this schedule.	
Is There a Classified Version of This Schedule?	No	
Is consultation and coordination with Tribal Governments required?	Predate requirement	

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Item Count

Total number of disposition items: 3

Number of Temporary disposition items: $\boldsymbol{2}$

Number of Permanent disposition items: 1

Number of Items with Disposition Not Approved: 0

Number of Inactive disposition items: 3

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Outline of Records Schedule Items for DAA-0468-2013-0005

Item #	Title	Disposition
0001	Recovery Coordination Records: Recovery	Permanent
	Coordination Records that Engender Historical Interest	t
0002	Recovery Coordination Records: Other Recovery	Temporary
	Coordination Records	
0003	Recovery Working Records	Temporary

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Records Schedule Items

Group Title	Recovery Coordination Records		
Group Description	Records include plans, correspondence, deployment or activation		
	summaries, impact analyses reports, recovery support strategies		
	and final reports.		
DAA-0468-2013-0005-0001	STATUS: INACTIVE - NOT FOR		
	USE		
ITEM GENERAL INFORMATION			
Item Title	Recovery Coordination Records that Engender Historical Interest		
Item Description	Records that document natural and man-made disasters or other events that engender long-term, post-event review, lessons learned, and historical interest. These events are designated by multiple factors such as: 1) the appointment of a Federal Disaster Recovery Coordinator; 2) the activation of the Health and Social Services Recovery Support Function; and any of the following: a) a catastrophic incident that results in extraordinary levels of mass casualties, damage, or disruption severely affecting the population, infrastructure, environment, economy, national morale, and/or government functions; b) the declaration of an Incident of National Significance as defined by the National Response Plan. This category covers, for example, records		
	matching the scale of disasters such as September 11, 2001 and Hurricane Katrina.		
Is this item media neutral?	Yes		
Is this item a Big Bucket?	103		
•	ON AUTHORITIES AND GRS DEVIATIONS		
Does this item supersede existing	No		
disposition authorities?			
Is this item a deviation from the GRS?	No		
DISPOSITION INSTRUCTION			
DO NOT USE. Superseded by DAA-0468-2	2022-0002-0001 on 07/11/2024.		
Final Disposition	Permanent		
Cutoff Instructions	Other: Cutoff at the end of the fiscal year in which the recovery		
	response is closed.		
Are there multiple instructions for this item?	No		

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Transfer Instruction	Other: Transfer paper records to the National Archives in four
	year blocks when the most oldest records in the block are 15
	years old. Transfer electronic records in four year blocks when
	the earliest record in the block is 5 years old in accordance with
	NARA transfer guidance (36 CFR 1235. 44/.46/.48/.50).
ADDITIONAL INFORMATION	
Date span of the initial transfer	From://2011 To://2015
Frequency of transfer	4
Are any of the records covered by	
this item subject to a FOIA	
exemption?	
DAA-0468-2013-0005-0002	STATUS: INACTIVE - NOT FOR
	USE
ITEM GENERAL INFORMATION	
Item Title	Other Recovery Coordination Records
Item Description	Records that document recovery activities not described in item
	0001. i.e. small-scale disasters or incidents. Records include:
	plans, correspondence, deployment or activation summaries,
	impact analyses reports, recovery support strategies, incident
	guidance, site visit reports, and policy development documents.
Is this item media neutral?	Yes
Is this item a Big Bucket?	
SUPERSEDED AGENCY DISPOSIT	ION AUTHORITIES AND GRS DEVIATIONS
Does this item supersede existing	No
disposition authorities?	
Is this item a deviation from the	No
GRS?	
DISPOSITION INSTRUCTION	
DO NOT USE. Superseded by DAA-0468-	2022-0002-0002 on 07/11/2024.
Final Disposition	Temporary
Cutoff Instructions	Other: Cutoff at the end of the fiscal year in which the recovery
	response is closed
Retention Period	Destroy 6 year(s) after cutoff
ADDITIONAL INFORMATION	
Are any of the records covered by	
this item national security	
classified?	
GAO Approval Required	No

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Status: INACTIVE
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DAA-0468-2013-0005-0003	STATUS: INACTIVE - NOT FOR	
	USE	
ITEM GENERAL INFORMATION		
Item Title	Recovery Working Records	
Item Description	These records include the notes, background materials and drafts used to create the recovery coordination records in items 0001 and 0002.	
Is this item media neutral?	Yes	
Is this item a Big Bucket?		
SUPERSEDED AGENCY DISPOSIT	ION AUTHORITIES AND GRS DEVIATIONS	
Does this item supersede existing disposition authorities?	No	
Is this item a deviation from the GRS?	No	
DISPOSITION INSTRUCTION		
DO NOT USE. Superseded by DAA-0468-	2022-0002-0003 on 07/11/2024.	
Final Disposition	Temporary	
Cutoff Instructions	Other: Cutoff at the end of the fiscal year in which the recovery response is closed	
Retention Period	Destroy 3 year(s) after cutoff	
ADDITIONAL INFORMATION		
Are any of the records covered by		
this item national security		
classified?		
GAO Approval Required	No	

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Signatory Information

Action	User	Date	
Accept	Data Migration	03/14/2013	
Approve	David Ferriero	01/09/2014	

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