NOTICE - SOME ITEMS SUPERSEDED OR OBSOLETE

Schedule Number: N1-026-05-006

Some items in this schedule are either obsolete or have been superseded by new NARA approved records schedules. This information is accurate as of: $\frac{7}{1}/2025$

ACTIVE ITEMS

These items, unless subsequently superseded, may be used by the agency to disposition records. It is the responsibility of the user to verify the items are still active.

Items 1 and 2

SUPERSEDED AND OBSOLETE ITEMS

The remaining items on this schedule may no longer be used to disposition records. They are superseded, obsolete, filing instructions, non-records, or were lined off and not approved at the time of scheduling. References to more recent schedules are provided below as a courtesy. Some items listed here may have been previously annotated on the schedule itself.

Items 3 and 4 superseded by GRS 5.1 item 020 (DAA-GRS-2016-0016-0002)

NOTICE - SOME ITEMS SUPERSEDED OR OBSOLETE

As of 7/1/2025 N1-026-05-006

To: MATIONAL ARCHIVES & RECORDS ADMINISTRATION 8601 ADELPHI ROAD COLLEGE PARK, MD 20740-6001 1. FROM (Agency or establishment) Department of Homeland Security 2. MAJOR SUBDIVISION United States Coast Guard 3. MINOR SUBDIVISION United States Coast Guard 4. NAME OF PERSON WITH WHOM TO CONFER Carlton Perry 6. AGENCY CERTIFICATION 1 hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that it records proposed for disposal on the attached 2 page(s) are not needed and wife the previous for within accounting Office, under to provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies, Sia not required Status Statu	To: NATIONAL ARCHIVES & RECORDS ADMINISTRATION				ปีOB NUMBER N1-026-05-06				
Department of Homeland Security 2. MAJOR SUBDIVISION United States Coast Guard 3. MINOR SUBDIVISION G-OPB-I 4. NAME OF PERSON WITH WHOM TO CONFER Carlton Perry 6. AGENCY CERTIFICATION I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies, DATE					Date rec	eived	4-11-2	005	
United States Coast Guard 3. MINOR SUBDIVISION G-OPB-1 4. NAME OF PERSON WITH WHOM TO CONFER Carlton Perry 6. AGENCY CERTIFICATION I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that it records proposed for disposal on the attached 2 page(s) are not needed now for the business for this agency or will not needed after the retention periods specified; and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies, Sinot required is attached; or has been requested.						NOTIFICATION TO AGENCY			
6. AGENCY CERTIFICATION I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached _2	Unite 3. MINOR SUB	d State	es Coast Guard		disposition except for	reque items	st, including that may be	amendments, is approved e marked "disposition not	
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SIGNATURE OF AGENCY REPRESENTATIVE OLTHEA S. CROOM TITLE 10 January 2008 8. DESCRIPTION OF ITEM AND PROPOSED DISPOSITION The purpose of this request is to revise current schedule to correctly identify records created and maintained in accordance with the Coast Guard Records Disposition Schedule, COMDTINST 5212.12A, Information and Life Cycle Management Manual. SSIC 16200 GENERAL ENFORCEMENT OF LAWS AND TREATIES Recreational Boating Law Enforcement Case Files 1. a. Cases against owners/operators of vessels found in violations of Federal recreational boating laws or regulations. Records include such forms as CG 3629, Water Pollution; CG 1408, Loadline Inspection Report, and CG 4100, Report of Boarding, and associated acouments describing names of violators, address, SSN, description of boats, and note on alleged violations and copies of correspondence of any	I hereby corecords pro needed after	ertify that posed for er the re	at I am authorized to ac or disposal on the attache etention periods specific	d _2_ page(s) are not needed; and that written concurren	led now for ce from th	the bu	usiness for th	his agency or will not be	
OLTHEA S. CROOM T. ITEM NO. 8. DESCRIPTION OF ITEM AND PROPOSED DISPOSITION The purpose of this request is to revise current schedule to correctly identify records created and maintained in accordance with the Coast Guard Records Disposition Schedule, COMDTINST 5212.12A, Information and Life Cycle Management Manual. SSIC 16200 GENERAL ENFORCEMENT OF LAWS AND TREATIES Recreational Boating Law Enforcement Case Files 1. a. Cases against owners/operators of vessels found in violations of Federal recreational boating laws or regulations. Records include such forms as CG 3629, Water Pollution; CG 1408, Loadline Inspection Report, and CG 4100, Report of Boarding, and associated documents describing names of violators, address, SSN, description of boats, and note on alleged violations and copies of correspondence of any	DATE	is 1					een request	ed.	
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C. G. G. C. C. M.	1.	a. C violo regu Wat and doc SSN violo	Cases against owner ations of Federal regulations. Records in the Pollution; CG 14 CG 4100, Report of the Cuments describing ations and copies of the Cases of th	ers/operators of vessels for ecreational boating laws nclude such forms as CC 408, Loadline Inspection of Boarding, and associon of names of violators, add ats, and note on alleged	ound in s or 3 3629, Report, ated dress,	M52 SSIG Item	212.12A C 16200 I 2	0 T B	

STANDARD FORM SF 115 (REV. 3-91)
Prescribed by NARA 36 CFR 1228

7. ITEM 8. DESCRIPTION OF ITEM AND PROPOSED DISPOSITION SUPERSEDED JOB CITATION USE ONL	
Cases are used internally for case tracking and tracking of repeat offenders. External uses of data include reporting of debt owed from penalties, authorized oversight audits, congressional inquiries, inquiries related to intelligence gathering, and inquiries from other Federal agencies conducting litigation or proceedings before any court, adjudicative or administrative body. These files are treated as input into the Marine Information for Safety and Law Enforcement System (MISLE). Cutoff at the end of the calendar year in which the report is forwarded to district, area or data processing center or after final action whichever is later. Destroy three years after cutoff. b. Enforcement cases with final action consisting of written warnings or no violations including cases referred to other agencies or departments for actions consisting of investigation reports, violation reports, correspondence, and related. These files are treated as input into the Marine Information for Safety and Law Enforcement System (MISLE). Cutoff at the end of the calendar year after the final disposition of the case. Destroy one year after cutoff. c. Electronic Mail and Word Processing System Copies 1. Capies that have no further administrative value after the recordkeeping copy is made. Includes copies maintained by individuols in personal files, personal electronic mail directories, or other personal directories on hard disk or network drives, and copies on shared network drives that are used only to produce the recordkeeping copy. Delete within 180 days after the recordkeeping copy has been produced. 2. Copies used for dissemination, revision, or updating that are maintained in addition to the recordkeeping copy. Delete when dissemination, revision, or updating is complete.	