Request for Records Disposition Authority

Records Schedule Number DAA-0379-2013-0001

Schedule Status Modified Approved Version

Agency or Establishment Department of Justice

Record Group / Scheduling Group Records of the Community Relations Service

Records Schedule applies to Agency-wide

Schedule Subject Community Relations Service Records

Internal agency concurrences will

be provided

No

Background Information

The Community Relations Service is the Department's "peacemaker" for community conflicts and tensions arising from differences of race, color, and national origin. Created by the Civil Rights Act of 1964, CRS is the only Federal agency dedicated to assist State and local units of government, private and public organizations, and community groups with preventing and resolving racial and ethnic tensions, incidents, and civil disorders, and in restoring racial stability and harmony.

With passage of the Matthew Shepard and James Byrd, Jr. Hate Crimes Prevention Act, CRS also works with communities to employ strategies to prevent and respond to alleged violent hate crimes committed on the basis of actual or perceived race, color, national origin, gender, gender identity, sexual orientation, religion or disability. CRS facilitates the development of viable, mutual understandings and agreements as alternatives to coercion, violence, or litigation. It also assists communities in developing local mechanisms, conducting training, and other proactive measures to prevent racial/ethnic tension and violent hate crimes committed on the basis of actual or perceived race, color, national origin, gender, gender identity, sexual orientation, religion, or disability. CRS does not take sides among disputing parties and, in promoting the principles and ideals of nondiscrimination, applies skills that allow parties to come to their own agreement. In performing this mission, CRS deploys highly skilled professional conciliators, who are able to assist people of diverse backgrounds.

Item Count

Number of Total Disposition Items		' '	Number of Withdrawn Disposition Items
11	6	5	0

GAO Approval

Outline of Records Schedule Items for DAA-0379-2013-0001

Sequence Number	
1	Deputy Director's, Associate Director's, Regional Director's Files Disposition Authority Number: DAA-0379-2013-0001-0001
2	Media Affairs Files Disposition Authority Number: DAA-0379-2013-0001-0002
3	Organization and Conference Files Disposition Authority Number: DAA-0379-2013-0001-0003
4	Director's Initiative Records Disposition Authority Number: DAA-0379-2013-0001-0015
5	Policy Files Disposition Authority Number: DAA-0379-2013-0001-0004
6	Annual Work Plans Disposition Authority Number: DAA-0379-2013-0001-0012
7	CRS History Files
7.1	Written Documentation Disposition Authority Number: DAA-0379-2013-0001-0005
7.2	Photographs Disposition Authority Number: DAA-0379-2013-0001-0013
7.3	Video Recordings Disposition Authority Number: DAA-0379-2013-0001-0014
8	Regional Case Files
8.1	Significant Case Files Disposition Authority Number: DAA-0379-2013-0001-0006
8.2	All other case files Disposition Authority Number: DAA-0379-2013-0001-0007

Records Schedule Items

Sequence Number

2

Deputy Director's, Associate Director's, Regional Director's Files

Disposition Authority Number DAA-0379-2013-0001-0001

Records of the Associate Director and Regional Director to include calendars, appointment books, invitations, subject files, Delegation of Authority. This also includes program, project, and correspondence files documenting policy formulation and program responsibilities relating to coordination with the Director on matters of technical assistance, administration, and policy development within the CRS. NOTE: For Deputy Director's and Associate Director's records only created after 01-01-2016 use DAA-0060-2015-0005-0001.

Final Disposition Temporary

Item Status Active

Is this item media neutral? Yes

Do any of the records covered by this item currently exist in electronic format(s) other than email and word processing? No

GRS or Superseded Authority

Citation

NC1-379-85-01 / 2 N1-379-93-001 / 3

Disposition Instruction

Cutoff Instruction Cut off at the end of the respective individual's

tenure.

Retention Period Destroy 5 year(s) after cutoff

Additional Information

GAO Approval Not Required

Media Affairs Files

Disposition Authority Number DAA-0379-2013-0001-0002

Records of the Media Affairs Officer and any office maintaining Media Affairs records, including calendars, invitations, subject files, project and correspondence files, journals, news articles, and publications.

Final Disposition Temporary

Item Status Active

Is this item media neutral? Yes

3

Do any of the records covered by this item currently exist in electronic format(s) other than email and word processing?

No

Disposition Instruction

Cutoff Instruction Cut off at close of project.

Retention Period Destroy 5 year(s) after cutoff

Additional Information

GAO Approval Not Required

Organization and Conference Files

Disposition Authority Number DAA-0379-2013-0001-0003

Records documenting CRS' work with private and public organizations and in arranging, sponsoring, participating in and evaluating conferences on salient subjects. Included are correspondence, memoranda, draft and final agendas, transcripts, and evaluations reports.

Final Disposition Temporary

Item Status Active

Is this item media neutral? Yes

Do any of the records covered by this item currently exist in electronic format(s) other than email and word processing? No

Disposition Instruction

Cutoff Instruction Cut off annually.

Retention Period Destroy 5 year(s) after cutoff

Additional Information

GAO Approval Not Required

Director's Initiative Records

Disposition Authority Number DAA-0379-2013-0001-0015

Special Initiatives, under the Director's auspices, in which CRS arranges, sponsors, participates, and/or evaluates conferences on salient subjects. Included are correspondence, memoranda, draft and final agenda, transcripts, and evaluations reports.

Final Disposition Permanent

Item Status Active

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Is this item media neutral? Yes

Do any of the records covered by this item currently exist in electronic format(s) other than email and word processing?

Do any of the records covered No by this item exist as structured

electronic data?

Disposition Instruction

Cutoff Instruction Cut off at conclusion of event.

Yes

Transfer to the National Archives Transfer to the National Archives 15 year(s) after

cutoff

for Accessioning

Additional Information

What will be the date span of the initial transfer of records to the

National Archives?

Unknown

Will be determined by date of event.

How frequently will your agency transfer these records to the

National Archives?

Unknown

Will be determined by date of event.

Policy Files

5

Disposition Authority Number DAA-0379-2013-0001-0004

CRS' internal mission related policy and procedures files, documenting policy development, program choices, project plans and reports.

Final Disposition Permanent

Item Status Active

Is this item media neutral? Yes

Do any of the records covered by this item currently exist in electronic format(s) other than e-

mail and word processing?

Do any of the records covered by this item exist as structured

electronic data?

No

Yes

GRS or Superseded Authority

Citation

N1-379-91-001 / 3

Disposition Instruction

Cutoff Instruction Cut off superseded policies annually.

Records Schedule: DAA-0379-2013-0001

Transfer to the National Archives

for Accessioning

Transfer to the National Archives in 5 year blocks 15

year(s) after cutoff

Additional Information

First year of records accumulation 1974

What will be the date span of the

initial transfer of records to the

National Archives?

From 1974 To 1998

How frequently will your agency transfer these records to the

National Archives?

Every 5 Years

	Estimated Current Volume	Annual Accumulation
Electronic/Digital		
Paper	4 Cubic feet	
Microform		
Hardcopy or Analog Special Media		

Annual Work Plans

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Disposition Authority Number

DAA-0379-2013-0001-0012

Projection of CRS' service deliverables for the next fiscal year. Included are funding, staffing, and training requests. Record copy originals are maintained in the Regional Offices. Copies are provided to CRS HQ.

Final Disposition Temporary

Item Status Active

Is this item media neutral? Yes

Do any of the records covered by this item currently exist in electronic format(s) other than email and word processing? No

Disposition Instruction

Cutoff Instruction Cut off annually.

Retention Period Destroy 5 year(s) after cutoff

Additional Information

GAO Approval Not Required

CRS History Files

Records selected by the Director or official designee which mark significant periods in history's great watersheds, such as school segregation and desegregation, civil rights marches and protests, incidents, and civil disorders; relate to the establishment and history of the office; and are historically significant to CRS' mission.

7.1 Written Documentation

Disposition Authority Number DAA-0379-2013-0001-0005

This Item covers the following documentation: -Background History of CRS -Civil Rights History -CRS Historical Budget and Employment Levels -CRS Historical Rosters - Annual Reports & Publications - Historic Celebrations Marking Civil Rights Anniversaries -Legislative History of CRS

Final Disposition Permanent

Item Status Active

Is this item media neutral? No

Explanation of limitation limited to hard copy documentation only

Do any of the records covered by this item currently exist in electronic format(s) other than email and word processing?

No

Disposition Instruction

Cutoff Instruction Cutoff at calendar year after publication.

Transfer to the National Archives

for Accessioning

Transfer to the National Archives in 15 year blocks 15

year(s) after cutoff

Additional Information

First year of records accumulation 1964

What will be the date span of the From 1965 To 1998 initial transfer of records to the

National Archives?

How frequently will your agency

transfer these records to the

Every 15 Years

National Archives?

	Estimated Current Volume	Annual Accumulation
Electronic/Digital		
Paper	4 Cubic feet	

Microform	
Hardcopy or Analog Special Media	

7.2 **Photographs**

Disposition Authority Number DAA-0379-2013-0001-0013

Photographs which document significant activities, such as meetings with local and state agencies; international meetings; forums that originated from major conferences; Congressional presentations; and official photographs and portraits of the Director or official designee. This item covers negatives; printed black and white and color copies and contact sheets, and digital images; and any accompanying system documentation and electronic finding aids for digital images.

Final Disposition Permanent

Item Status Active

Is this item media neutral? Yes

Do any of the records covered by this item currently exist in electronic format(s) other than email and word processing?

Yes

Do any of the records covered by this item exist as structured

No

electronic data?

Disposition Instruction

If this item has multiple sections, indicate here records to which

this section apply

Electronic Records

Cutoff Instruction Cut off annually.

Transfer to the National Archives

for Accessioning

Transfer to the National Archives 3 year(s) after

cutoff

Additional Information

First year of records accumulation 2010

What will be the date span of the From 2010 To 2013 initial transfer of records to the

National Archives?

Every 1 Years

How frequently will your agency transfer these records to the

National Archives?

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Disposition Instruction

If this item has multiple sections, indicate here records to which this section apply

Non-electronic Photographs and Other Graphic **Materials**

Transfer to the National Archives for Accessioning

Transfer to the National Archives immediately after schedule approval

Additional Information

First year of records accumulation 1965

What will be the date span of the From 1965 To 2010 initial transfer of records to the National Archives?

How frequently will your agency transfer these records to the

Unknown

National Archives?

This will be a one-time transfer.

	to.	u
	Estimated Current Volume	Annual Accumulation
Electronic/Digital		
Paper		
Microform		
Hardcopy or Analog Special Media	2 boxes	

7.3 Video Recordings

Disposition Authority Number DAA-0379-2013-0001-0014

Video recordings of significant events attended by the Director or official designee which are mission-related. This series includes descriptive information about the related recordings, including title/subject, event name and date.

Final Disposition Permanent

Item Status Active

Is this item media neutral? Yes

Do any of the records covered by this item currently exist in

Yes

electronic format(s) other than email and word processing?

Do any of the records covered by this item exist as structured

electronic data?

No

Disposition Instruction

Cutoff Instruction Cut off annually.

Transfer to the National Archives

for Accessioning

Transfer to the National Archives in 3 year blocks 7

year(s) after cutoff

Additional Information

First year of records accumulation 1980

What will be the date span of the From 1980 To 2005

initial transfer of records to the

National Archives?

How frequently will your agency

transfer these records to the National Archives?

Every 3 Years

Regional Case Files

8

Case Files documenting CRS' nationwide observation of community conflicts and tensions arising from alleged violent hate crimes committed on the basis of actual or perceived race, color, national origin, gender, gender identity, sexual orientation, religion, or disability and their involvement in attempted resolution.

8.1 Significant Case Files

> Disposition Authority Number DAA-0379-2013-0001-0006

Significant case files, selected by the Regional Director or designee, which meets any one or more of the following criteria: 1.) the case received local, regional, or national media attention or the interest of a Congressional committee or the Executive Office of the President, or widespread public interest; 2.) the case is regarded as landmark or precedent in terms of impact of CRS involvement on its resolution. If unsure, the following supplemental criteria may be used in determining if a case meets the above historical criteria: 3.) the case was referred to CRS by a Federal or State court; 4.) the case involved mediation (B case); or 5.) the case required 100 or more hours of regional staff time. This series ended in 2011.

Final Disposition Permanent

Item Status Active Is this item media neutral? No

Explanation of limitation This series is limited to paper only. Do any of the records covered by this item currently exist in electronic format(s) other than email and word processing?

No

GRS or Superseded Authority

Citation

N1-379-93-001 / 1/A

Disposition Instruction

Cutoff Instruction Cut off closed cases annually.

Transfer to the National Archives

for Accessioning

Transfer to the National Archives in 5 year blocks 15

year(s) after cutoff

Additional Information

First year of records accumulation 1974

What will be the date span of the From 1974 To 1998 initial transfer of records to the

National Archives?

How frequently will your agency transfer these records to the

National Archives?

Every 5 Years

	Estimated Current Volume	Annual Accumulation
Electronic/Digital		
Paper	38 Cubic feet	
Microform		
Hardcopy or Analog Special Media		

8.2 All other case files

> Disposition Authority Number DAA-0379-2013-0001-0007

All other case files that do not meet the criteria for significant case files. This series ended in 2011.

Final Disposition Temporary

Item Status Active

Is this item media neutral? No

Explanation of limitation This series is limited to paper only. Do any of the records covered by this item currently exist in electronic format(s) other than email and word processing? No

GRS or Superseded Authority

Citation

N1-379-93-001 / 1/B

Disposition Instruction

Cutoff Instruction Cut off closed cases annually.

Transfer to Inactive Storage Transfer hard copy cases to the regional FRC 1 year

after cutoff.

Retention Period Destroy 15 year(s) after cut off.

Additional Information

GAO Approval Not Required

Agency Certification

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal in this schedule are not now needed for the business of the agency or will not be needed after the retention periods specified.

Signatory Information

Date	Action	Ву	Title	Organization
05/08/2013	Return to Submitte r	Deborah Allen	Assistant Director, O RMP	Justice Management Division - Office of Records Management Policy
05/16/2013	Certify	Deborah Allen	Assistant Director, O RMP	Justice Management Division - Office of Records Management Policy
01/30/2014	Submit for Concur rence	Erin Cayce	Appraiser	National Archives and Records Administration - Records Management Services
02/03/2014	Concur	Margaret Hawkins	Director of Records Management Servic es	National Records Management Program - Records Management Services
02/06/2014	Concur	Laurence Brewer	Director, National R ecords Management Program	National Archives and Records Administration - National Records Management Program
02/07/2014	Approve	David Ferriero	Archivist of the Unite d States	Office of the Archivist - Office of the Archivist