

INACTIVE - ALL ITEMS SUPERSEDED OR OBSOLETE

Schedule Number: N9-317-00-002

All items in this schedule are inactive. Items are either obsolete or have been superseded by newer NARA approved records schedules.

Description:

Records schedules starting with the number N9 were created in response to NARA Bulletins 99-04 and 2000-02. The N9 records schedules created from 1999-2002 allowed agencies to create bulk updates to previously approved schedules to cover copies of records in word processing and email formats. Word processing and email formats are now scheduled in the General Record Schedules, superseding all N9 records schedules approved by NARA. See GRS 5.1 Item 020 Non-record keeping copies of electronic records (DAA-GRS-2016-0016-0002).

Date Reported: 09/16/2014

INACTIVE - ALL ITEMS SUPERSEDED OR OBSOLETE

REQUEST FOR RECORDS DISPOSITION AUTHORITY

(See Instructions on reverse)

LEAVE BLANK (NARA use only)

JOB NUMBER

N9-317-00-02

DATE RECEIVED

05/16/00

NOTIFICATION TO AGENCY

In accordance with the provisions of 44 U.S.C. 3303a the disposition request, including amendments, is approved except for items that may be marked "disposition not approved" or "withdrawn" in column 10

DATE

3-6-00

ARCHIVIST OF THE UNITED STATES

John W. Paul

TO NATIONAL ARCHIVES and RECORDS ADMINISTRATION (NIR)
WASHINGTON, DC 20408

1 FROM (Agency or establishment)
U.S. Department of Labor

2. MAJOR SUBDIVISION
Pension & Welfare Benefits Administration

3 MINOR SUBDIVISION
Office of Enforcement

4 NAME OF PERSON WITH WHOM TO CONFER 5 TELEPHONE
Pamela Rhodes 202/219-6471

6 AGENCY CERTIFICATION

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached ___ page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified, and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies,

is not required; is attached, or has been requested.

DATE

10/8/99

SIGNATURE OF AGENCY REPRESENTATIVE

Maureen Hill

TITLE

Departmental Records Officer

7. ITEM NO.

8 DESCRIPTION OF ITEM AND PROPOSED DISPOSITION

Please see the attached.

9 GRS OR SUPERSEDED JOB CITATION

10. ACTION TAKEN (NARA USE ONLY)

MAR 09 2000

It copy to: agency

PENSION AND WELFARE BENEFITS ADMINISTRATION
OFFICE OF ENFORCEMENT

(NC1-317-85-2)

OPWBP Records Schedule No. 1

The Office of Pension and Welfare Benefit Programs (OPWBP) provides for the administration and enforcement of the Employee Retirement Income Security Act of 1974 (ERISA), approved September 2, 1974 (88 Stat 829, 29 U S C 1001 note) The Act is administered by the Department of Labor and Treasury, and the Pension Benefit Guaranty Corporation and protects the financial interest of 45 million welfare and pension plans with 200 million participants and assets of \$920 billion The Welfare and Pension Plans Disclosure Act (WPPDA) and its reporting provisions, were repealed by the ERISA on January 1, 1975

1 Investigative Case Files

Correspondence and complaints, investigative reports, and exhibits relating to ERISA investigations Arranged numerically by case number

NN166-161 and NC1-317-81-1

a Primary Case Files Accumulated by office assigned primary investigative responsibility

Disposition

(1) Cases referred for legal action

a Recordkeeping copy(paper): Cut off and remove from active files when litigation is completed Transfer to Federal Records Center when six (6) months old Destroy when seven (7) years old

b Electronic version of records Created by wordprocessing applications Delete after recordkeeping copy has been produced

2 All other records

a Recordkeeping copy(paper) Cut off and remove from active files when case is closed Transfer to Federal Records Center when six (6) months old Destroy when seven (7) years old

b, Electronic version of records: Created by wordprocessing applications Delete after recordkeeping copy has been produced

~~**b Auxiliary Case Files** Accumulated by office assigned auxiliary investigative responsibility~~

~~**Disposition**~~

~~**(1) Original exhibits and other records necessary to document the investigation** Remove from active files when case is closed Forward to office having primary investigative responsibility, which will incorporate these records into primary case files (items 1a(1) and 1a(2) above)~~

~~**Electronic version of records** None~~

~~**(2) All other records** Remove from active files when case is closed Destroy when (1) year old~~

~~Electronic version of records None~~

~~2. Intelligence (Zero) Files~~

~~Correspondence, newspaper clippings, and other records that document general intelligence information concerning individuals and organizations either subject to provisions of ERISA or parties relevantly associated with the Act~~

~~Superseded *NN166-161*~~

~~**Dispositions**~~

~~(1) Documents forming a basis for investigative actions~~

~~Transfer to the appropriate investigative case files (items 1a and 1b above)~~

~~**Electronic version of records:** None~~

~~(2) All other records~~

~~Cut off annually Destroy when three (3) years old Do not retire to the Federal Records Center~~

~~**Electronic version of records** None~~