

INACTIVE - ALL ITEMS SUPERSEDED OR OBSOLETE

Schedule Number: N9-317-00-003

All items in this schedule are inactive. Items are either obsolete or have been superseded by newer NARA approved records schedules.

Description:

Records schedules starting with the number N9 were created in response to NARA Bulletins 99-04 and 2000-02. The N9 records schedules created from 1999-2002 allowed agencies to create bulk updates to previously approved schedules to cover copies of records in word processing and email formats. Word processing and email formats are now scheduled in the General Record Schedules, superseding all N9 records schedules approved by NARA. See GRS 5.1 Item 020 Non-record keeping copies of electronic records (DAA-GRS-2016-0016-0002).

Date Reported: 09/16/2014

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REQUEST FOR RECORDS DISPOSITION AUTHORITY*(See Instructions on reverse)***NATIONAL ARCHIVES and RECORDS ADMINISTRATION (NIR)**
WASHINGTON, DC 20408**1. FROM (Agency or establishment)****U.S. Department of Labor****2. MAJOR SUBDIVISION****Pension & Welfare Benefits Administration****3. MINOR SUBDIVISION****Office of Exemption Determinations****4. NAME OF PERSON WITH WHOM TO CONFER****Pamela Rhodes****5. TELEPHONE****202/219-6471****LEAVE BLANK (NARA use only)****OB NUMBER****N9-317-00-03****DATE RECEIVED****OCT 28 1999****NOTIFICATION TO AGENCY**

In accordance with the provisions of 44 U.S.C. 3303a the disposition request, including amendments, is approved except for items that may be marked "disposition not approved" or "withdrawn" in column 10

DATE**3-6-00****ARCHIVIST OF THE UNITED STATES***John W. Paul***6. AGENCY CERTIFICATION**

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached _____ page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified, and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies,



is not required,



is attached; or



has been requested.

DATE**10/8/99****SIGNATURE OF AGENCY REPRESENTATIVE***Maureen Hill***TITLE***Departmental Records Officer*

7. ITEM NO	8. DESCRIPTION OF ITEM AND PROPOSED DISPOSITION	9. GRS OR SUPERSEDED JOB CITATION	10. ACTION TAKEN (NARA USE ONLY)
	Please see the attached.		

115-109

NSN 7540-00-634-4064
PREVIOUS EDITION NOT USABLESTANDARD FORM 115 (REV. 3-91)
Prescribed by NARA
36 CFR 1228

MAR 09 2000

Copy to: agency

PENSION AND WELFARE BENEFITS ADMINISTRATION
OFFICE OF EXEMPTION DETERMINATIONS

(NI-317-93-1)

PWBA RECORDS SCHEDULE NO 1

Item 1. Exemption Petition File (OED) Consists of requests for exemptions from the prohibited transaction provisions of ERISA and/or the Internal Revenue Code. Petitions result in either granting or denying the exemption. Filed numerically by exemption request case number.

Superseded *NN-166-161*

Item 6c

A. Recordkeeping copy(paper) Remove from active file when case is resolved, hold two (2) years, transfer to WNRC for retention for twenty-three (23) additional years. Twenty-three (23) year retention period based on the fact that the exemption process is based solely on the record comprising the files. Public frequently requests access to back files to assist them in submitting similar requests. Records often needed for enforcement and litigation purposes. Staff of OED uses files as background to current exemption requests that are under active consideration.

B. Electronic version of records These files were compiled based upon the petitions received from the public, and any DOL generated files were created by wordprocessing applications. Destroy/delete after recordkeeping copy is produced or when dissemination, revision, or updating has been completed.