

REQUEST FOR RECORDS DISPOSITION AUTHORITY

(See Instructions on reverse)

LEAVE BLANK

JOB NO.

N1-369-86-1

TO **GENERAL SERVICES ADMINISTRATION
NATIONAL ARCHIVES AND RECORDS SERVICE, WASHINGTON, DC 20408**

DATE RECEIVED
11-27-85

1 FROM (Agency or establishment)
Department of Labor

NOTIFICATION TO AGENCY

2 MAJOR SUBDIVISION
Employment and Training/Unemployment Insurance

In accordance with the provisions of 44 USC 3303a the disposal request, including amendments, is approved except for items that may be marked "disposition not approved" or "withdrawn" in column 10. If no records are proposed for disposal, the signature of the Archivist is not required.

3 MINOR SUBDIVISION
Office of Quality Control

4 NAME OF PERSON WITH WHOM TO CONFER
Charles H. Atkinson

5 TELEPHONE EXT
376-6704

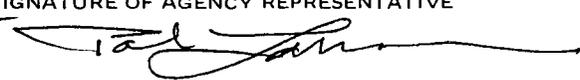
DATE
4-23-86

**REMARKS OF THE ARCHIVIST:
NOT REQUIRED FOR APPROVAL OF
DISPOSAL REQUEST OF RECORDS**

6 CERTIFICATE OF AGENCY REPRESENTATIVE

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposal of the agency's records, that the records proposed for disposal in this Request of 3 page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified, and that written concurrence from the General Accounting Office, if required under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies, is attached

A GAO concurrence is attached, or is unnecessary

B DATE 13 NOV 85	C SIGNATURE OF AGENCY REPRESENTATIVE 	D TITLE DEPARTMENTAL RECORDS OFFICER
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7 ITEM NO	8 DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9 GRS OR SUPERSEDED JOB CITATION	10 ACTION TAKEN (NARS USE ONLY)
	<p align="center"><u>UNEMPLOYMENT COMPENSATION</u></p> <p align="center"><u>A FEDERAL-STATE COOPERATIVE SYSTEM</u></p> <p align="center"><u>INTRODUCTION</u></p> <p>The Federal-State Unemployment insurance system, initiated in the Social Security Act in 1936, offers the first economic line of defense against the ripple effects of unemployment. By cash payments made directly to laid off workers it ensures that at least shelter and clothing can be met on a week-to-week basis while an active search for new work takes place. The mechanism, grounded upon Federal law but executed in its relationship to the employer and the unemployed worker through State law and by State employees.</p> <p>Conceptually, unemployment compensation is designed to provide benefits to most workers out of work due to no fault of their own for periods between jobs. Except in a few States where there are small employee payments, the system is financed by a payroll tax on employers. About 97 percent of wage and salary workers are now covered by the Federal-State system. The Federal taxing provisions are in the Federal Unemployment Tax Act, chapter 23 of the Internal Revenue Code (FUTA). Railroad workers are covered by a separate Federal program. Veterans with recent service in the Armed Forces and civilian Federal employees are covered by a Federal program, chapter 85, title 5, United States Code, with the States paying benefits as agents of the Federal Government.</p>		

4 items

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	<p>Under all State unemployment insurance laws, a worker's benefit rights depend on his experience in covered employment in a past period of time, called the based period. The period during which the weekly rate and the duration of benefits determined for a given worker apply to him is called his benefit year.</p> <p>The qualifying wage or employment provisions attempt to measure the worker's attachment to the labor force. To qualify for benefits as an insured worker, a claimant must have earned a specified amount of wages or must have worked a certain number of weeks or calendar quarters in covered employment within the base period, or must have met some combination of wage and employment requirements. He must also be free from disqualification for causes which vary among the States. All but a few States require a claimant to serve a waiting period before his unemployment may be compensable.</p> <p>All States determine an amount payable for a week for total unemployment as defined in the State law. Usually a week of total unemployment is a week in which the claimant performs no work and receives no pay. In most States a worker is partially unemployed in a week of less than full-time work when he earns less than his weekly benefit amount. The benefit amount such a week is the difference between the weekly benefit amount and the part-time earnings, usually with a small allowance as a financial inducement to take part-time work.</p> <p><u>QUALIFYING WAGES AND EMPLOYMENT</u></p> <p>All States require that an individual must have earned a specified amount of wages or must have worked for a certain period of time within his base period, or both, to qualify for benefits. The purpose of such qualifying requirements is to restrict benefits to covered workers who are genuinely attached to the labor force.</p> <p><u>BENEFIT ELIGIBILITY AND DISQUALIFICATION</u></p> <p>All State laws provide that, to receive benefits, a claimant must be able to work, must be seeking work and must be available for work. Also he must be free from disqualification for such acts as voluntary leaving without good cause, discharge for misconduct connected with the work, and refusal of suitable work. The purpose of these provisions is to limit payments to workers unemployed primarily as a result of economic causes.</p> <p>The Office of Quality Control has the mission to establish and maintain a quality control (QC) system and related initiatives which focus on the quality of administration by State Employment Security Agencies; to review and analyze the economy and effectiveness of the administration and operation of these activities including oversight of corrective actions:</p>		

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1.	<p>to develop new or model procedures for SESA use based on analyses of QC results, and to develop and validate quality control sampling methodologies and data gathering techniques used for QC data analysis and sampling validation purposes.</p> <p><u>RECORDS MAINTAINED -</u></p> <p><u>PROGRAM OVERVIEW</u> - Attachment # 1</p> <p><u>Record Type One</u> - Attachment # 2</p> <p><u>Record Type Two</u> - Attachment # 3</p> <p><u>Record Type Three</u> - Attachment # 4</p> <p>The information in the Quality Control System described does <u>not</u> duplicate the information in DOL's Unemployment Insurance Information System (1984) and is unique to the Office of Quality Control. The potential exception would be the MA 5-159 addressed in Record Type Three narrative.</p>		