

Request for Records Disposition Authority

Records Schedule Number **DAA-0433-2020-0004**

Schedule Status **Approved**

Agency or Establishment **Mine Safety and Health Administration**

Record Group / Scheduling Group **Records of the Mine Safety and Health Administration**

Records Schedule applies to **Major Subdivision**

Major Subdivision **Office of Standards, Regulations and Variances**

Minor Subdivision **Regulatory Development Division**

Schedule Subject **Regulatory Rulemaking Documents**

Internal agency concurrences will
be provided **No**

Background Information **Rulemaking activities from the preproposal stage to publication of a final rule. The Office of Standards is the Agency liaison with the Federal Register and is responsible for coordinating publication of all FR documents**

Item Count

Number of Total Disposition Items	Number of Permanent Disposition Items	Number of Temporary Disposition Items	Number of Withdrawn Disposition Items
3	1	2	0

GAO Approval

Outline of Records Schedule Items for DAA-0433-2020-0004

Sequence Number	
1	Regulatory Rulemaking Documents Disposition Authority Number: DAA-0433-2020-0004-0001
2	Rulemaking Working Papers Disposition Authority Number: DAA-0433-2020-0004-0002
3	Records of Non Rulemaking Public Hearings Disposition Authority Number: DAA-0433-2020-0004-0003

Records Schedule Items

Sequence Number		
1	Regulatory Rulemaking Documents Disposition Authority Number DAA-0433-2020-0004-0001 Rulemaking activities from the preproposal stage to publication of a final rule, including concept papers and comments, transcripts or correspondence from public hearings related to the rule, regulatory and environmental impact analysis, and litigation related to the specific rule. Final Disposition Permanent Item Status Active Is this item media neutral? Yes Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing? No GRS or Superseded Authority Citation DAA-0433-2015-0004-0009e DAA-0433-2015-0004-0010 Disposition Instruction Cutoff Instruction Cutoff at end of calendar year when rulemaking process is complete Transfer to the National Archives for Accessioning Transfer to the National Archives in 5 year blocks 15 year(s) after block is closed Additional Information First year of records accumulation 2011 What will be the date span of the initial transfer of records to the National Archives? From 2011 To 2015 How frequently will your agency transfer these records to the National Archives? Every 15 Years	
	Estimated Current Volume	Annual Accumulation
Electronic/Digital		
Paper	10 Cubic feet	

Microform		
Hardcopy or Analog Special Media		

Disposition Instruction

Cutoff Instruction

Cut off end of calendar year when rulemaking process is complete

Transfer to the National Archives for Accessioning

Transfer to the National Archives in 5 year blocks 15 year(s) after block is closed

Additional Information

First year of records accumulation 2014

What will be the date span of the initial transfer of records to the National Archives? From 2010 To 2015

How frequently will your agency transfer these records to the National Archives? Every 5 Years

	Estimated Current Volume	Annual Accumulation
Electronic/Digital	500 MB	100 MB
Paper		
Microform		
Hardcopy or Analog Special Media		

Rulemaking Working Papers

Disposition Authority Number DAA-0433-2020-0004-0002

Records of non-significant or non-historical value, such as notices and advanced notices of proposed rulemaking and other working papers of temporary value.

Final Disposition Temporary

Item Status Active

3	Is this item media neutral?	Yes
	Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing?	No
	GRS or Superseded Authority Citation	DAA-0433-2015-0004-0010
	Disposition Instruction	
	Cutoff Instruction	Cutoff at end of calendar year when rulemaking process is complete
	Retention Period	Destroy 10 year(s) after cutoff
	Additional Information	
	GAO Approval	Not Required
	Records of Non Rulemaking Public Hearings	
	Disposition Authority Number	DAA-0433-2020-0004-0003
	Notices, transcripts, correspondence and other records related to public hearings that do not pertain to specific rules.	
	Final Disposition	Temporary
	Item Status	Active
	Is this item media neutral?	Yes
	Do any of the records covered by this item currently exist in electronic format(s) other than e-mail and word processing?	No
	Disposition Instruction	
	Cutoff Instruction	Cutoff at end of calendar year when hearing process is complete,
	Retention Period	Destroy 25 year(s) after cutoff
	Additional Information	
	GAO Approval	Not Required

Agency Certification

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal in this schedule are not now needed for the business of the agency or will not be needed after the retention periods specified.

Signatory Information

Date	Action	By	Title	Organization
05/19/2020	Certify	Tanisha Bynum-Frazier	Supervisory Program Analyst	Department of Labor - OASAM
01/04/2021	Submit for Concurrence	David Weber	Senior Appraisal Archivist	National Archives and Records Administration - Records Management Services
01/08/2021	Concur	Margaret Hawkins	Director of Records Management Services	National Records Management Program - ACNR Records Management Services
01/11/2021	Concur	Laurence Brewer	Chief Records Officer	National Records and Archives Administration - National Records and Archives Administration
01/11/2021	Approve	David Ferriero	Archivist of the United States	Office of the Archivist - Office of the Archivist