INACTIVE - ALL ITEMS SUPERSEDED OR OBSOLETE

Schedule Number: N1-053-02-001

All items in this schedule are inactive. Items are either obsolete or have been superseded by newer NARA approved records schedules.

Description:

This schedule This schedule is superseded by N1-053-06-005 items38, 40 and 51, GRS 5.2 item 020 (DAA-GRS-2017-0003-0001), and GRS 3.1 item 051 (DAA-GRS-2013-0005-0003)

Date Reported: 8/6/2019

| REQUEST FOR RECORDS DISPOSITION AUTHORITY | LĖAVE BLANK (| NARA use only) |
|--|---|--|
| (See Instructions on reverse) | JOB NUMBER | ล |
| NATIONAL ARCHIVES and RECORDS ADMINISTRATION (NIR) | DATE RECEIVED | 02-1 |
| FROM (Agency or establishment) | 12-10-0 | / |
| BUREAU OF THE PUBLIC DEBT | NOTIFICATION | TO AGENCY |
| 2. MAJOR SUBDIVISION SECURITIES OPERATIONS | U.S.C. 3303a the | rith provisions of 44 disposition request, dments, is approved |
| MINOR SUBDIVISION DIRECT-ACCESS MARKETABLES BRANCH | except for items "disposition | that may be marked not approved" or |
| 4. NAME OF PERSON WITH WHOM TO CONFER 5. TELEPHONE (304) 480-6019 | | of the INITED STATES |
| 6. AGENCY CERTIFICATION I hereby certify that I am authorized to act for this agency in matters per that the records proposed for disposal on the attached4_ page(s) are n or will not be needed after the retention periods specified; and that writt Office, under the provisions of Title 8 of the GAO Manual for Guidance X is not required; is attached; or | ot now needed for the busten concurrence from the G | General Accounting |
| DATE SIGNATURE OF AGENCY REPRESENTATIVE Vicki Thorpe Rafiel OKony For | TITLE Reco | ords Officer |
| | | |
| 7. ITEM 8. DESCRIPTION OF ITEM AND PROPOSED DISPOSITION NO. | 9. GRS OR SUPERSEDED JOB CITATION | 10. ACTION TAKEN (NARA USE ONLY) |
| See the Attached Sheets | | |
| <u>Ce: Agence, NR. HWMW</u> 115-109 NSN 7540-00-634-4064 | STANDARD F | ORM 115 (REV. 3-91) |

Prescribed by NARA 36 CFR 1228

| 7. ITEM NO. | 8. DESCRIPTION OF ITEM AND PROPOSED DISPOSITION. 9. GRS OR SUPERSEDED JOB CITATION | 10. ACTION TAKEN (NARA USE ONLY) |
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| N1-53-02-01-0001 | Title: TREASURYDIRECT FEE SYSTEM (TDFeeS) – MASTER FILE | |
| | Title Memo: TDFeeS was implemented May 19, 2000; and is a client server based system designed to process the annual maintenance fee for each <i>TreasuryDirect</i> investor account that exceeds a specified par value. This system replaces a manual process in which items received relating to or regarding payment of account fees where held in case files and followed the retention description for authority code (N1-53-87-02, 02). The application provides the functionality to bill investors, track receivables, | S |
| | and calculate late charges on established <i>TreasuryDirect</i> accounts. The operational period for TDFeeS is May through October. The master file contains several data tables, which are the investor information tables. These tables contain, but are not limited to, <i>TreasuryDirect</i> account numbers, TDFeeS remittance numbers, taxpayer identification numbers, payment amounts, types of account processing, fees information, investors' names, and comments. There are no electronic e-mails or word processing documents associated with these items. | |
| 9 | Form Number: Form Series: Restrictions: SBU Computer System: TDFeeS Cost Code: 63500 Retention Years: 99 7 Retention Months: 0 Vital Records: | , 60 · |
| | Retention Description: Temporary. Investor data tables: Cut off yearly at the beginning of the fee year (May). Destroy 7 years after cut off. Public Debt will hold data on COLD for the life of the system or until obsolete. | 8 |
| N1-53-02-01-0002 | Title: TDFeeS-CASE FILES-INPUTS | |
| | Title Memo: Information is received from the general public and manually entered into the TDFeeS by personnel at the Federal Reserve Bank of Boston. This information is kept in case files that are maintained at Boston, and includes but is not limited to, phone calls, correspondence, TDFeeS Reports, Fee Assessment Notices (TD 5368), and investor's checks. | |
| | Form Number: Form Series: Restrictions: SBU Computer System: TDFeeS Cost Code: FRB | 674 5 |
| | Retention Years: 7 Retention Months: 0 Vital Records: Retention Description: Temporary. | :50 :50 :00 |
| | Cut off files at end of the fiscal year of receipt. Destroy 7 years after cut off. | ê. |
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| . ITEM NO. | 8. DESCRIPTION OF ITEM AND PROPO | OSED DISPOSITION. | 9. GRS OR SUPER | RSEDED JOB CITATION | 10. ACTION TAKEN (NARA USE ONLY) |
| N1-53-02-01-0003 | 2-01-0003 Title: TDFeeS-INITIAL FILES RECEIVED FROM FRB'S-INPUTS | | | | |
| | Title Memo: FRB Boston and Phila season (May) these initial files TreasuryDirect account numb types of accounts, check numb received, dates processed, and investors' accounts. These file is converted into a file and loa | s contain, but are no ers, taxpayer identificers, 215 voucher no I remarks on fees the es are sent via bulk | ot limited to, involuted to, involute to, involute to, involved to, in | estor names, s, account balances, ounts, dates epaid from | # ? |
| | Form Number: Computer System: TDFeeS | Form Series: Cost Code: 63500 |) | etions: SBU | |
| | Retention Years: 7 | Retention Months | : 0 Vital R | Records: | € + 0 |
| | Retention Description: Temporary. Initial load files: Cut off after verifi years after cutoff. | ication that data wa | s entered into T | DFeeS. Destroy 7 | |
| N1-53-02-01-0004 | Title: TDFeeS-PAYMENT FILES F | RECEIVED FROM | FRB'S-INPUT | S | |
| | Title Memo: FRB Philadelphia send payments daily via bulk data to payment files for check payment be entered into TDFeeS. They and contain, but are not limite payment amounts, types of payments, and total amounts. | o be entered into Thents and returned chese files are loaded to to, the following in the fo | DFeeS. FRB Bo leck payments do the investors to information: rer | oston sends aily via bulk data to ables of TDFeeS nittance numbers, | |
| | Form Number: Computer System: TDFeeS Retention Years: 3 | Form Series: Cost Code: 63500 Retention Months |) | ctions: SBU | |
| | Retention Description: Temporary. TDES file: Cut off daily after verif years after cut off. | ication that data wa | s entered into T | DFeeS. Delete 3 | |
| N1-53-02-01-0005 | Title: TDFeeS-OFFSET FILE-OUT | PUT | | | |
| | Title Memo: This is a bulk data file sent to FRB Philadelphia at the end of the fee season (March/April). This file contains, but is not limited to, information on account numbers of investors' who haven't paid their fees and will have a hold indicator put against their accounts. | | | | |
| | Form Number: Computer System: TDFeeS Retention Years: 3 | Form Series: Cost Code: 63500 Retention Months |) | ctions: SBU | |
| | Retention Description: Temporary. Offset file: Cut off at the end of the off. | | | _ | |

| 7. ITEM NO. | 8. DESCRIPTION OF ITEM AND PROPO | OSED DISPOSITION. | 9. GRS OR | SUPERSEDED JOB CITATIO | 0N 10. ACTION TAKEN (NARA USE ONLY) |
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| N1-53-02-01-0006 | Title: TDFeeS-STATEMENT FILE | -OUTPUT | | | |
| | Title Memo: This is a bulk data file sent to FRB Philadelphia every 30 days. This file contains, but is not limited to, information on fee assessments for investor account numbers. | | | ** | |
| | Form Number: Computer System: TDFeeS Retention Years: 3 | Form Series: Cost Code: 63500 Retention Months |) | Restrictions: SBU | |
| | Retention Description: Temporary. Statement file: Cut off monthly aft | | | _ | es: |
| N1-53-02-01-0007 | Title: TDFeeS-FEE DATA FILE-O | UTPUT | 1,000 | | 3 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 |
| | Title Memo: This is a bulk data file is not limited to, investor info | | | | 8 90 95 # |
| | Form Number: Computer System: TDFeeS Retention Years: 0 | Form Series: Cost Code: 63500 Retention Months |) | Restrictions: SBU Vital Records: | |
| | Retention Description: Temporary. Fee data file: Cut off daily after cre | | ays after (| cut off. | _ |
| N1-53-02-01-0008 | Title: TDFeeS-HISTORIC REPOR | TS AVAILABLE A | FTER TH | IE FEE SEASON | |
| | Title Memo: These reports are created and stored on COLD at the end of the fee season (March/April) and are available for inquiry purposes only. These reports include, but are not limited to, TDFeeS Account Information Report (TDFEESI), TDFeeS Payment Information Report (TDFEESP), and TDFeeS Exception Information Report (TDFEESE). | | | i t | |
| B | Form Number: Computer System: TDFees Retention Years: 92 N/A CK RB For BPD | Form Series: Cost Code: 6350 Retention Months | 0 | Restrictions: SBU Vital Records: | 2 |
| | Retention Description: Temporary. Public Debt will hold in COLD unt | | r needed. | | |
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REQUEST FOR RECORDS DISPOSITION AUTHORITY

For the Bureau of the Public Debt

November 29, 2001

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| . ITEM NO. | 8. DESCRIPTION OF ITEM AND PROPOSED DISPOSITION. 9. GRS OR SUPERSEDED JOB CITATION | 10. ACTION TAKEN (NARA USE ONLY) |
| VI-53-02-01-0009 | Title: TDFeeS-SYSTEM DOCUMENTATION Title Memo: These include hard copies and electronic copies of the record layouts, contextual information, and user handbook relating to data. | |
| | Form Number: Form Series: Restrictions: SBU Computer System: TDFees Cost Code: 63500 Retention Years: 99 Retention Months: 0 Vital Records: | |
| | Retention Description: Temporary. a. Hard copies: Destroy when superseded, or upon authorized deletion of the related master file or database, or upon the destruction of the output of the system, whichever is the latest. b. Electronic copies: Destroy when record keeping copy is produced or when no longer needed for revision and update, whichever is the later. | : |
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