

<b>REQUEST FOR RECORDS DISPOSITION AUTHORITY</b> (See Instructions on reverse)	
NATIONAL ARCHIVES and RECORDS ADMINISTRATION (NIR) WASHINGTON, DC 20408	
1. FROM (Agency or establishment) <b>BUREAU OF THE PUBLIC DEBT</b>	
2. MAJOR SUBDIVISION <b>Office of Securities Operations</b>	
3. MINOR SUBDIVISION <b>Division of Systems Administration</b>	
4. NAME OF PERSON WITH WHOM TO CONFER <b>Melisa Williamson--Records Mgmt Specialist</b>	5. TELEPHONE <b>304-480-8107</b>

LEAVE BLANK (NARA use only)	
JOB NUMBER <b>71-053-06-2</b>	
DATE RECEIVED <b>2-7-2006</b>	
NOTIFICATION TO AGENCY  In accordance with provisions of 44 U.S.C. 3303a the disposition request, including amendments, is approved except for items that may be marked "disposition not approved" or "withdrawn" in column 10.	
DATE <b>3/10/06</b>	ARCHIVIST OF THE UNITED STATES <i>Alfred W. Smith</i>

6. AGENCY CERTIFICATION I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached <u>3</u> page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified; and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies,		
<input checked="" type="checkbox"/> is not required; <input type="checkbox"/> is attached; or <input type="checkbox"/> has been requested.		
DATE	SIGNATURE OF AGENCY REPRESENTATIVE <b>Vicki Thorpe</b> <i>Vicki S. Thorpe</i>	TITLE <b>Records Officer</b> <b>Reviewed by Office of the Chief Counsel</b>

7. ITEM NO.	8. DESCRIPTION OF ITEM AND PROPOSED DISPOSITION	9. GRS OR SUPERSEDED JOB CITATION	10. ACTION TAKEN (NARA USE ONLY)
	<p style="text-align: center; font-size: 1.5em;">See the Attached Sheets</p> <p><i>cc Agency, HR, NWMD, NWMDA</i></p>		

## REQUEST FOR RECORDS DISPOSITION AUTHORITY

For the Bureau of the Public Debt

January 26, 2006

7. ITEM NO. N1-53-06-A-	8. DESCRIPTION OF ITEM AND PROPOSED DISPOSITION.	9. GRS OR SUPERSEDED JOB CITATION	10. ACTION TAKEN (NARA USE ONLY)
0001	<p data-bbox="282 254 748 279">Title: TreasuryDirect System—Master File</p> <p data-bbox="282 317 1268 590">Title Memo: The TreasuryDirect system enables investors to open an account, buy United States Treasury obligations and manage both their accounts and holdings via the Internet. The system provides investors with an easy and secure way of viewing and managing all of their Treasury security holdings from one location. The system is being developed as a series of stand-alone phases, so new functionality is being incrementally deployed to the public. Currently the system provides full servicing of both Series EE and Series I United States Savings Bonds held in electronic form. The system also allows investors to purchase and redeem United States Treasury marketable securities.</p> <p data-bbox="367 621 1268 894">The system enables users to perform the following functions: Establish an account, verify the identity of the new customer on-line (through <u>pay.gov</u>, an on-line identity verification engine maintained by Financial Management Service), establish linked accounts, edit account and bank information, perform investment transactions for eligible United States Treasury securities, view and manage security holdings, edit security registrations, assign and revoke transaction rights to specific securities, manage the holdings of minor children, receive tax reporting information on-line, and receive notices regarding account information over a secured Internet connection.</p> <p data-bbox="367 926 1268 1073">The system records personally identifiable information (name, TIN, date of birth, etc), contact information (address, telephone number, e-mail address), financial information (name of bank, account number, ABA/routing number) and investment transaction information (security purchased, transferred, redeemed, etc) input by individual investors.</p> <p data-bbox="282 1104 1154 1199">Form Number:                      Form Series:                      Restrictions: Computer System: TreasuryDirect   Cost Code: 680 Retention Years:                      Retention Months:                      Vital Records: <input type="checkbox"/></p> <p data-bbox="282 1230 1268 1444">Retention Description: Temporary. Cutoff 5 years after all financial obligations have been discharged and no security or account transactions that generate a history record have been transacted. At cutoff, extract the entire account record, including transaction and account update history, to Computer Output to Laser Disk (COLD) storage. Delete when the agency determines the records are no longer needed for administrative, legal, audit, or other operational purposes.</p>		

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0002	<p>Title: TreasuryDirect—Electronic Inputs</p> <p>Title Memo: This file contains information that is input by customers of the TreasuryDirect website or by customer service representatives at the Bureau of the Public Debt on behalf of the customer. The information includes, but is not limited to, customer names, addresses, dates of birth, social security numbers, and banking information.</p> <p>Form Number:                      Form Series:                      Restrictions: Privacy Act Computer System: Treasury Direct      Cost Code: Retention Years: 50                      Retention Months: 0                      Vital Records: <input type="checkbox"/></p> <p>Retention Description: Temporary. Cut off files when account is closed. Destroy 50 years after cutoff.</p>		
0003	<p>Title: TreasuryDirect—Electronic Outputs</p> <p>Title Memo: The system produces daily reports that summarize the previous business day's account creation/update, security transaction activity, and ACH processing transactions. There are no hard-copy reports associated with TreasuryDirect.</p> <p>Form Number:                      Form Series:                      Restrictions: Computer System:                      Cost Code: 680 Retention Years: 8                      Retention Months: 4                      Vital Records: <input type="checkbox"/></p> <p>Retention Description: Temporary. Cut off daily. Export data 464 calendar days after cutoff to COLD storage. Delete data 7 years later.</p>		
0004	<p>Title: TreasuryDirect—System Documentation</p> <p>Title Memo: The system documentation includes, but is not limited to, system specifications, codebooks, record layouts, user guides, and contextual information. These items are covered under GRS-20, item 11.</p> <p>Form Number:                      Form Series:                      Restrictions: Computer System:                      Cost Code: 680 Retention Years:                      Retention Months:                      Vital Records: <input type="checkbox"/></p> <p>Retention Description: Temporary. Destroy when superseded or obsolete, or upon the authorized deletion of the related master file or database, or upon the destruction of the output of the system if the output is needed to protect legal rights, whichever is latest.</p>		

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0005	<p data-bbox="272 247 703 275"><del>Title: TreasuryDirect—Backups of Files</del></p> <p data-bbox="272 310 1257 464"><del>Title Memo: The system data is backed up on a regular daily, weekly, and monthly cycle. The output from these backups is stored in secured and access-restricted storage facilities both on- and off-site. In addition to the regular backup activity, the system data is simultaneously updated to a United States Government mainframe computer. These items are covered under GRS-20, item 8B.</del></p> <div data-bbox="272 495 1129 583"><div><del>Form Number:</del></div><div><del>Form Series:</del></div><div><del>Restrictions:</del></div><div><del>Computer System:</del></div><div><del>Cost Code: 680</del></div><div><del>Retention Years:</del></div><div><del>Retention Months:</del></div><div><del>Vital Records: <input type="checkbox"/></del></div></div> <p data-bbox="272 617 1166 709"><del>Retention Description: Temporary. Delete when the identical records have been deleted, or when replaced by a subsequent backup file.</del></p>	GRS	