REQUEST FOR RECORDS ~ ~POSITION AUTHORITY (See Instructions on reverse)		~VE BLANK (NARA use only)
	JOB NUM~	*NI- 056-09-11*

DATE RECEIVED
6/30/09

1 FROM (Agency or establishment) Department of the Treasury	NOTIFICATION TO AGENCY

2 MAJOR SUBDIVISION	
Community Development Financial Institution	In accordance with the provisions of 44 U S C 3303a the disposition request, including amendments, is approved except for items that may be marked "disposition not approval" or "withdrawn" in column 10
3 MINOR SUBDIVISION	

4 NAME OF PERSON WITH WHOM TO CONFER		DATE	ARCHIVIST OF THE UNITED STATES
Michael Jones	202-622-7754	*14DEC09*	

5 AGENCY CERTIFICATION

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached __1__ pages(s) are not now needed for the business of this agency or will not be needed after the retention periods specified, and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies,

■ is not required,	☐ is attached, or	☐ has been requested

DATE 6/26/2009	SIGNATURE OF AGENCY REPRESENTATIVE	TITLE DO Records Officer

7 ITEM NO	8 DESCRIPTION OF ITEM OF PROPOSED DISPOSITION	9 GRS OR SUPERSEDED JOB CITATION	10 ACTION TAKEN (NARA USE ONLY)
	CDFI Compliance and Monitoring System (CCMS) **Background:** The CDFI Compliance and Monitoring System (CCMS) uses the CDFI reporting data currently entered into the Community Investment Impact System (CIIS) and data received in paper format CIIS developed by the CDFI Fund is the nation's first system to collect standardized institution and transaction level data from CDFI Program awardees and New Market Tax Credit (NMTC) Program allocates The New Markets Tax Credit (NMTC) Program permits taxpayers to receive a credit against Federal income taxes for making qualified equity investments in designated Community Development Entities (CDEs) The new system will perform compliance checks on that data by comparing it to the performance goals and measures listed in the Assistance Agreement The Assistance Agreement contains standard terms and conditions for all awardees/allocatees as well as terms and conditions that are specific to each awardee/allocatee The system will enable Compliance staff to impose sanctions or remedies on awardees that are determined to be noncompliant The system will also		

directly support other Fund staff members that need access to compliance data during the certification, application, application review, assistance/allocation agreement, closing, and disbursement processes.

Description:
CCMS will serve five major functions. The system will:
- Support Fund staff during the certification request, application, application review, closing, Allocation Agreement/Assistance Agreement, and disbursement processes;
- Collect data for and track non-CIIS reports;
- Perform report-based compliance;
- Perform continuous monitoring for CDFI awards;
- Track the remedy/sanction process.

A. Inputs:
Data is received from CIIS, Certification and Compliance Monitoring and Evaluation (CME) business areas.

Disposition: TEMPORARY. Destroy after successful entry and verification.

B. System Data:
CCMS will integrate seamlessly with CIIS, AAS 2.0 and the Certification System and will be comprised of data related to reporting and certification.

Disposition: TEMPORARY. Destroy 10 years upon close of award.

C. Outputs:
The Compliance Monitoring Evaluation (CME) and Certification personnel shall use the data to formulate reports.

Disposition: TEMPORARY. Destroy after 6 years or when no longer needed for operational purposes, whichever is later.

D. System Documentation:
Codebooks, records layout, user guide, and other related materials.

Disposition: Temporary. Delete/destroy when superseded or 5 years after the system is terminated, whichever is sooner.

GRS 20, item 2b