

REQUEST FOR RECORDS DISPOSITION AUTHORITY
(See Instructions on reverse)

LEAVE BLANK	
JOB NO NC1 - 56-76-5	
DATE RECEIVED APR 22 1976	
NOTIFICATION TO AGENCY In accordance with the provisions of 44 U.S.C. 3303a the disposal request, including amendments, is approved except for items that may be stamped "disposal not approved" or "withdrawn" in column 10	
11-30-76 Date	<i>James B. Rhoads</i> Archivist of the United States

TO **GENERAL SERVICES ADMINISTRATION,
NATIONAL ARCHIVES AND RECORDS SERVICE, WASHINGTON, DC 20408**

1. FROM (AGENCY OR ESTABLISHMENT)

Department of the Treasury

2. MAJOR SUBDIVISION

Office of the Secretary

3. MINOR SUBDIVISION

Foreign Portfolio Investment Study Project

4. NAME OF PERSON WITH WHOM TO CONFER

Miss Sarah J. Allen

5. TEL EXT

964-5091

IDS Code 184

6. CERTIFICATE OF AGENCY REPRESENTATIVE

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposal of the agency's records; that the records proposed for disposal in this Request of three page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified.

☐ **A** Request for immediate disposal.

☒ **B** Request for disposal after a specified period of time or request for permanent retention.

C. DATE 4-21-76	D. SIGNATURE OF AGENCY REPRESENTATIVE <i>Sarah J. Allen</i>	E. TITLE Acting Paperwork Management Officer Office of the Secretary	
7. ITEM NO	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. SAMPLE OR JOB NO	10. ACTION TAKEN
	<p>The records described in this schedule cover the administration of the Foreign Portfolio Investment Project established by Public Law 93-479, "Foreign Investment Study Act of 1974." The records document a study of the nature, scope, and magnitude of foreign portfolio investment activities in the United States; the analysis and effects of such investments; and, recommendations as to means whereby information and statistics on foreign portfolio investment activities can be kept current.</p> <p>Certain of the information contained in these records is of such a nature that access to the records is confined to officials of the Project Study Office or other appropriately designated officials of the Department of the Treasury. This is in conformance with Section 7(c) of the Public Law (93-479).</p>		

Copy to Agency 12-2-76 AD
Int to NCH - 12/14/77 AD

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7. ITEM NO	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. SAMPLE OR JOB NO	10. ACTION TAKEN
1.	<p>Central Classified Files of the Foreign Portfolio Investment Project</p> <p>Arranged by a decimal system. The first two digits represent the major and minor subject areas. Digits following the decimal point indicate successive sub-topics. Files document the origin, development, and program of the survey; its legislative history; contacts made between survey personnel and both governmental and private organizations; and the survey's budget, publicity and computer programs. Included are correspondence, reports, forms, memoranda, and press releases. Major subject areas are: 0 - Origins of the Benchmark Survey, 1 - Treasury Organization for Survey, 2 - Developing the Reporting System, 3 - Conducting the Survey, 4 - Computer Programming and Operations, 5 - Statistical Tabulations, 6 - Economic Analysis, 7 - Outside Contacts, 8 - Administrative Records, 9 - Historical and Other Related Materials. 10 cubic feet.</p> <p>PERMANENT: Transfer to WNRC one year after termination of the program. Review for Offer to NARS 10 years after termination of the program.</p>		
2.	<p>Reporting case files of U.S. Corporations, partnerships, investment companies, and other organizations required by statute to file FPI-1: Reporting Form of U.S. Issuers of Securities; and, FPI-2: Reporting Form for U.S. Holders of Record.</p> <p>TEMPORARY: Transfer to WNRC upon termination of the program. Upon enactment of proposed legislation on this same subject, review by competent Treasury authority that files have served all immediate purposes and may be destroyed.</p>		
3.	<p>Computer printouts of all source data (FPI-1 and FPI-2 reports) and data summaries from which are extrapolated statistical charts for use in the Final Report and to make recommendations. Both computer printouts and extrapolated statistical charts are available in Item 1. In addition, a large portion of the Final Report, also found in Item 1, consists of statistical charts based on this data.</p> <p>TEMPORARY: Destroy on site upon approval of this schedule.</p>		

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7. ITEM NO	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. SAMPLE OR JOB NO	10. ACTION TAKEN
4.	<p>Exempt corporations case files containing correspondence with organizations, who, under the terms of the study, were not required to submit reporting forms.</p> <p>TEMPORARY: Destroy on site upon approval of this schedule.</p>		
5.	<p>Cumulative data base consisting of the program tapes or disk packs on the implementation of the project, including the program instructions.</p> <p>NOTE: This data is retained by the Federal Reserve Bank in New York City.</p> <p>TEMPORARY: Maintain on site one year after termination of program. Upon enactment of proposed legislation on this same subject, review by competent Treasury authority that files have served all immediate purposes and may be destroyed.</p>		

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7. ITEM NO	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. SAMPLE OR JOB NO	10. ACTION TAKEN
1	<p>Policy, planning, development and implementation files (subject correspondence), with indexes. Records include correspondence on the survey, development of the reporting system and actual conduct of the survey.</p> <p>PERMANENT: Transfer to WNRC one year after termination of the program. Review for Offer to NARS 10 years after termination of the program.</p>		
2	<p>Reporting case files of U.S. Corporations, partnerships, investment companies, and other organizations required by statute to file FPI-1: Reporting Form of U.S. Issuers of Securities; and, FPI-2: Reporting Form for U.S. Holders of Record.</p> <p>TEMPORARY: Transfer to WNRC upon termination of the program. Upon enactment of proposed legislation regarding future studies or reporting requirements on this same subject, review by competent Treasury authority that files have served all immediate purposes and may be destroyed.</p>		
3	<p>Data files, including electronic data processing media (ADP records) such as tapes, printouts and program documentation compiled on economic statistical data.</p> <p>a. Computer printouts of all source data (FPI-1 and FPI-2 reports).</p> <p>TEMPORARY: Destroy on site upon approval of this schedule.</p> <p>b. Data summaries from which are extrapolated statistical charts on the extent of portfolio investments for use in the Final Report and to make recommendations.</p> <p>PERMANENT: Transfer to WNRC one year after termination of the program. Review for Offer to NARS 10 years after termination of the program.</p>		

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7. ITEM NO	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. SAMPLE OR JOB NO	10. ACTION TAKEN
3	<p>c. Cumulative data base consisting of the program tapes or disk packs on the implementation of the project, including the program instructions.</p> <p>NOTE: This data is retained by the Federal Reserve Bank in New York City.</p> <p>Maintain on site one year after termination of program. Pending result of proposed legislation in this area, if enacted within one year of the termination of the study, review for immediate destruction on site.</p>		
4	<p>Exempt corporations case files containing correspondence with organizations, who, under the terms of the study, were not required to submit reporting forms.</p> <p>Destroy on site upon approval of this schedule.</p>		
5	<p>Issuances, internal and external, published and unpublished, including press releases, interim reports, final report, Federal Register notices, and transcripts of testimony to Congressional bodies.</p> <p>PERMANENT: Transfer to WNRC upon termination of study. Offer to NARS 10 years from termination of the study.</p>		