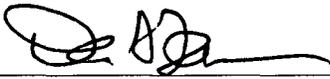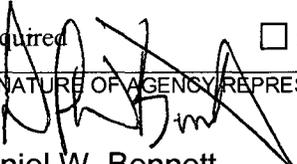| REQUEST FOR RECORDS DISPOSITION AUTHORITY	JOB NUMBER N1-058-09-28

To: NATIONAL ARCHIVES & RECORDS ADMINISTRATION
8601 ADELPHI ROAD COLLEGE PARK, MD 20740-6001

Date received 5/18/09	

NOTIFICATION TO AGENCY

1. FROM (Agency or establishment)
   Department of Treasury

2. MAJOR SUBDIVISION
   Internal Revenue Service (IRS)

3. MINOR SUBDIVISION
   Wage and Investment (W&I)

4. NAME OF PERSON WITH WHOM TO CONFER	5. TELEPHONE NUMBER	DATE	ARCHIVIST OF THE UNITED STATES
Daniel W. Bennett Beth Jones	(202) 435-6337 (770) 936-4598	23 Nov 09	

6. AGENCY CERTIFICATION

I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached __4_ page(s) are not needed now for the business for this agency or will not be needed after the retention periods specified; and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies,

☒ is not required   ☐ is attached; or   ☐ has been requested.

DATE 5/13/2009	SIGNATURE OF AGENCY REPRESENTATIVE Daniel W. Bennett IRS Records Officer	TITLE IRS Records Officer National Office, OS:A:RE:SC Washington, DC 20224

7. ITEM NO.	8. DESCRIPTION OF ITEM AND PROPOSED DISPOSITION	9. GRS OR SUPERSEDED JOB CITATION	10. ACTION TAKEN (NARA USE ONLY)
	RCS 1.15.19 for Records Control Schedule for Enterprise Computing Center - Martinsburg **Updates to RCS:** **1.) Add Third Party Data Store (TPDS), New Item 54.** **RCS 1.15.35 Records Control Schedule for Tax Administration – Systems (Electronic)** **1). Add new pointer to Item 54 in RCS 1.15.19.** **The records are owned by W&I, Electronic Products Services and Support and processed by the Enterprise Computing Center – Martinsburg.** *See Attached.*		

115-109   PREVIOUS EDITION NOT USABLE

STANDARD FORM 115 (REV. 3-91)
Prescribed by NARA 36 CFR 1228

Background:

Within E-Services is the E-File software application which is designed such that third party (individual taxpayers and businesses) involvement is required for the electronic transmission of tax returns and electronic payment options, resulting in third party participation in the process from start (tax preparation) to finish (tax filing and tax payment). Individual taxpayers or their tax preparers use tax preparation software to prepare State and Federal tax returns. The returns are then submitted electronically to a transmitter. The transmitter then sends the returns to the IRS and/or the appropriate State agency. For States participating in the Federal/State (Fed/State) program, both State and Federal returns are sent to the IRS, which then forwards State returns to the appropriate State tax authority.

Supporting E-Services and E-File is a web based application, Third Party Data Store (TPDS). TPDS is secure webs based database and application that is used to store and update all IRS E-File application information and generate electronic filing and transmitting identification numbers (EFINs/ETINs), letters, and reports. TPDS also monitors the information about electronic tax return originators, transmitters, software developers, and Intermediate Service Providers, who have applied to participate in the IRS E-File. TPDS database is used to store information for other e-Services products as well. The TPDS System contains Personal Identifiable Information (PII) data is categorized as Sensitive But Unclassified (SBU) and is maintained at Martinsburg Enterprise Computing Center in West Virginia.

| RCS/19, Item 54 | **Third Party Data Store (TPDS)**

Description:

The Third Party Data Store (TPDS) is a secure web based database and application that is used to store and update all IRS E-File application information and generate electronic filing and transmitting identification numbers, (EFINs/ETINs), letters, and reports. TPDS | NEW | | |

monitors informa..on regarding electronic tax return originators, transmitters, software developers, and Intermediate Service Providers, who have applied to participate in the IRS E-File.

Inputs:

Inputs to the data store include IRS E-File, Participants Acceptance Testing System, and the Preparation Tax Identification Number Application (PTIN).

Disposition: Not Applicable. Source data is transmitted from external systems. The source systems and data stores maintain the official records and will follow approved IRS Records Control Schedules.

GRS 20

a. System Data:

TPDS data includes the Third Party independent or affiliated company name, address, telephone number and Employer Identification Number (EIN), if applicable. Also contains Principal and Responsible Officials name, address telephone number, SSN, Date of Birth, e-mail address, if provided, and their professional background (i.e. CPA, EA, Attorney, Tax Practioner). The TPDS includes the type of company (Electronic Retrun Originator - ERO, Transmitter, etc), the Forms they intend to prepare/e-file and the company status, For Profit or Not For Profit. This information is provided by the applicant on the Practitioner Tax Information Number Application input. The IRS adds the results of the Suitability checks to the record.

Disposition: TEMPORARY. Archive Applications of individuals and companies when no longer participating in E-File as Third Party Filers. Mark Application Status as "Deleted". Destroy Application and all other related Third Party data in TPDS 3 years after PTIN Application has been moved to a status of "Deleted".

Note - There is no disposition or retention for Third Party E-File participant individuals and/or companies in "good standing" with the IRS and continuing to e-file tax returns and tax related information for taxpayers.

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Prescribed by NARA 36 CFR 1228

b. Outputs:

Internal Revenue Service employees use the TPDS to access information on Third Party participants in E-File. The data retrieved is based on information necessary to fulfill a taxpayer request for third party information, examine third parties for compliance and/or status, measure third party participation, and conduct matching for Taxpayer Identification Numbers to investigate possible fraud. The data is transmitted to the parties via downloads and outputs from other linked systems (Modernized Electronic Filing – MeF, Electronic Fraud Detection System – EFDS, Secure Audit and Analysis System – SAAS, E-Help Support System). The linked systems provide the output and are linked to the TPDS through E-Services. No textual record is created.

Disposition: Not applicable. Output data is directly accessible to external systems and is not recorded or printed.

d. System Documentation

TPDS documentation includes Core Record Layouts, Schematics, and protocol instructions for use of the Command Codes to access the system. All system documentation is stored in the DocIT Documentation Repository.

Disposition: TEMPORARY. Delete/destroy when superseded or 5 years after the system is terminated, whichever is later.

GRS 20

exception to
GRS 20
Item 11a2