

REQUEST FOR RECORDS DISPOSITION AUTHORITY <i>(See Instructions on reverse)</i>		LEAVE BLANK (NARA use only)	
TO NATIONAL ARCHIVES and RECORDS ADMINISTRATION (NIR) WASHINGTON, DC 20408		JOB NUMBER N1-483-93-5	DATE RECEIVED 1-6-93
1. FROM (Agency or establishment) Office of Thrift Supervision		NOTIFICATION TO AGENCY In accordance with the provisions of 44 U.S.C. 3303a the disposition request, including amendments, is approved except for items that may be marked "disposition not approved" or "withdrawn" in column 10.	
2. MAJOR SUBDIVISION Chief Counsel's Office			
3. MINOR SUBDIVISION			
4. NAME OF PERSON WITH WHOM TO CONFER Colleen M. Devine	5. TELEPHONE (202) 906-6025	DATE	ARCHIVIST OF THE UNITED STA WITHDRAWN

6. AGENCY CERTIFICATION
 I hereby certify that I am authorized to act for this agency in matters pertaining to the disposition of its records and that the records proposed for disposal on the attached 8 page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified; and that written concurrence from the General Accounting Office, under the provisions of Title 8 of the GAO Manual for Guidance of Federal Agencies,

is not required; is attached; or has been requested.

DATE 12/17/92	SIGNATURE OF AGENCY REPRESENTATIVE <i>Colleen M Devine</i>	TITLE Director, Directives Management Divis
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7. ITEM NO.	8. DESCRIPTION OF ITEM AND PROPOSED DISPOSITION	9. GRS OR SUPERSEDED JOB CITATION	10. ACTION TAKEN (N/A USE ONLY)
	See Attached		WITHDRAWN

GRS OR
SUPERSEDED
JOB CITATION

ITEM DESCRIPTION OF ITEM

This schedule includes the legal records of the Office of Thrift Supervision (OTS) and of the Federal Home Loan Bank Board (FHLBB), an independent regulatory agency which was succeeded by the OTS on October 8, 1989.

This schedule supersedes all items still active on schedules: NCI-195-77-11, 195-77-12, 195-77-13, 195-77-14, 195-77-15, 195-77-16, 195-77-17 and 195-77-18.

Records are in microform, paper and electronic media and are filed by subject, alphabetically and by case number.

ITEM DESCRIPTION OF ITEM

1. LEGAL RECORDS HAVING PERMANENT VALUE
 (See attached description of records series)
 1933 to Present

Disposition: Permanent
Privacy Act Considerations: Yes

A. 1933 TO 1992 RECORDS

Break the files at the end of 1992. Transfer all records to the Federal Records Center immediately. Transfer to the National Archives in 2010.
Volume of paper 36 cubic feet.
Volume of microfiche is 5,000 jackets/240,000 images.

B. 10-YEAR SEGMENTS DATED 1993 AND CONTINUING

Break files every 10 years. Transfer in 10-year blocks, when records activity permits, to the Federal Records Center (FRC). Transfer in 10-year blocks to the National Archives when newest records in each block are 30 years old.
Annual growth of paper is 9 cubic feet.
Annual growth of microfiche is 225 jackets/ 10,800 images.

AUG 25 1993

2. RECORDS PERTAINING TO SPECIFIC THRIFT INSTITUTIONS

A. LITIGATION AND THRIFT INSTITUTION FILES

(See attached description of records series)

1985 to present

Disposition: Temporary

Cut off at the end of calendar year in which records are received or case is closed (i.e., time period in which an appeal may be filed has elapsed).

Retain in office for 1 year. Transfer to the FRC and destroy when records are 20 years old.

Volume of paper is 336 cubic feet.

Volume of microfiche is 900 jackets/43,200 images.

Annual growth of paper is 48 cubic feet.

Annual growth of microfiche is 145 jackets/7,000 images.

Privacy Act Considerations: Yes

B. OTS CONSERVATORSHIP/RECEIVERSHIP FILES

(See attached description of records series)

1989 to Present

Disposition: Permanent

Cut off annually. Transfer to FRC immediately upon cutoff. Transfer to National Archives 20 years after cutoff.

Volume of paper is 108 cubic feet.

Volume of microfiche is 2,700 jackets/129,600 images.

Annual growth of paper is 36 cubic feet.

Annual growth of microfiche is 900/43,200 images.

Privacy Act Considerations: Yes

3. FEDERAL SAVINGS AND LOAN INSURANCE CORPORATION
(FSLIC) RELATED FILES

A. CASE FILES

(Various documents relating to thrift institutions that were placed into receivership by the FHLBB. Includes legal documents relating to the FSLIC's administration of the receiverships.)

1982 to 1989

Disposition: Temporary

Cut-off completed in August 1989.

Transfer of paper records to the FRC is completed.

Immediately transfer microfiche to the FRC. Destroy records in January 2010.

Volume of microfiche is 10,000 jackets/480,000 images.

Privacy Act Considerations: Yes

B. FSLIC CLOSING BOOKS

(Contains documents showing a snapshot of the financial institution being closed or merged by the FHLBB, including the memoranda to the FHLBB, the resolutions adopted by the FHLBB board members and the signed documents between the acquirer and the FSLIC.)
1982 TO 1989

Disposition: Permanent

Cut off was completed in August 1989.
Transfer of paper to the FRC is completed.
Immediately transfer microfiche to the FRC. Transfer all records to the National Archives in January 2010.
Volume of microfiche is 10,000 jackets/480,000 images.
Privacy Act Considerations: Yes

C. FSLIC INSURANCE APPEALS/HUDSPETH CLAIMS

(These files are the administrative appeals made by individuals or corporations of initial determinations/decisions made by the Insurance Division or the Operations and Liquidations Division of FSLIC. Under the Hudspeth decision, the FSLIC as receiver for an institution could abrogate any contract deemed to be excessive. When insured accounts were transferred to another institution or paid out in cash by FSLIC Corporate, the Insurance Division made the initial determination on whether or not the individual or corporation had uninsured money in the institution.)
1983 TO 1989

Disposition: Permanent

Cut off was completed in August 1989.
Transfer of paper to the FRC is completed.
Immediately transfer microfiche to the FRC. Transfer all records to the National Archives in January 2010.
Volume of microfiche is 5,000 jackets/240,000 images.
Privacy Act Considerations: Yes

~~D. LEGAL DIVISION CHRON FILES
(Chron files of the legal divisions of the Federal
Savings and Loan Insurance Corporation and
Receiverships/Conservatorships)
1987 TO 1990~~

~~Disposition: Temporary~~

~~Cut off is completed. Immediately transfer all
records to the FRC and destroy when 10 years old.
Volume is 3 cubic feet.~~

~~Privacy Act Considerations: Yes~~

4. LEGAL RECORDS NOT SELECTED AS HAVING PERMANENT VALUE

~~A. REPORTS, STAFF MEETINGS AND CORRESPONDENCE
(See attached description of records series)
1985 to present~~

~~Disposition: Temporary~~

~~Cut off at end of calendar year. Destroy five years
after cut off.~~

~~Volume of paper is 10 cubic feet.~~

~~Annual growth is 3 cubic feet.~~

~~Privacy Act Considerations: No~~

~~B. LEGAL REFERENCE MATERIALS
(See attached description of records series)
1985 to present~~

~~Disposition: Temporary~~

~~Retain records in office until no longer needed or
life of function then destroy.~~

~~Volume is 9 cubic feet.~~

~~Annual growth is 9 cubic feet.~~

~~Privacy Act Considerations: Yes~~

~~C. NON-THRIFT CASE FILES
(See attached description of records series)
1985 to present~~

~~Disposition: Temporary~~

~~Cut off at end of calendar year in which case is
closed or final audit report is published. Retain in
office for 1 year. Transfer to the FRC and destroy
when records are 10 years old.~~

~~Volume is 3 cubic feet.~~

~~Annual growth is 1 cubic foot.~~

~~Privacy Act Considerations: Yes~~

July 12, 1996

Ms. Colleen Devine, Director
Directives Management Division
Office of Thrift Supervision
1700 G Street N.W.
Washington, D.C. 20552

Dear Colleen:

As we discussed during our phone conversation of July 9, we are withdrawing Jobs No. N1-483-92-5 and N1-483-93-5, covering the records from OTS' Chief Counsel's Office. These two jobs require extensive revision, including their merging into one comprehensive schedule. Mary and I have discussed these revisions at length. We look forward to receiving the revised job; Chief Counsel creates a number of series which are potentially archival. Completion of this schedule will assure their preservation.

We are also withdrawing Job No. N1-483-93-25, pending its revision. You and I discussed the need to generalize the disposition to cover the records of all such "extraordinary" litigation rather than it being specific to the Lincoln/Keating case.

Should you have any questions regarding these jobs, please call me at (301) 713-7110 ext. 233.
Sincerely,

RICHARD W. MARCUS
Records Appraisal &
Disposition Division

Enclosures

Official File - NIR
Day File - NIR

cc.: Job No. N1-483-92-5
N1-483-93-5
N1-483-93-25
NIRC

RWMarcus:mhv

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File: Department of the Treasury